

# **REPORT**

## **MEETING OF THE CONFERENCE OF THE PARTIES TO THE AGREEMENT TO PREVENT UNREGULATED HIGH SEAS FISHERIES IN THE CENTRAL ARCTIC OCEAN**

**Incheon, Korea  
June 12-14, 2023**

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**CONTRACTING PARTIES TO THE AGREEMENT TO  
PREVENT UNREGULATED HIGH SEAS FISHERIES IN THE  
CENTRAL ARCTIC OCEAN**

- Canada
- People's Republic of China
- Kingdom of Denmark, in respect of the Faroe Islands and Greenland
- Republic of Iceland
- Japan
- Republic of Korea
- Kingdom of Norway
- Russian Federation
- United States of America
- European Union

## **EXECUTIVE SUMMARY**

The second meeting of the Conference of the Parties (COP) to the Agreement to Prevent Unregulated High Seas Fisheries in the Central Arctic Ocean was held in Incheon, Korea on June 12-14, 2023. A total of 75 participants attended the meeting including delegates from the 10 Parties to the Agreement and representatives of three Observers. The meeting was chaired by the COP Chairperson, Mrs. Nadia Bouffard of Canada, with the support of the Vice-Chairperson, Ambassador Youngki Hong of the Republic of Korea.

The COP acknowledged and considered the report of the first meeting of the Scientific Coordination Group (SCG) held on March 14-17, 2023 ([Appendix 4](#)). A Joint Program of Scientific Research and Monitoring Framework including a Data Sharing Protocol was **established** by the COP ([Appendix 6](#)). The COP also **established** two SCG working groups with Terms of Reference: the SCG Working Group on Data Management (WG-DM) to be chaired by Dr. Robert Foy of the United States and the Exploratory Fishing Questions Working Group (EFQ-WG) ([Appendix 8](#)) to develop responses to the COP's Questions regarding exploratory fishing which provide guidance to the SCG on priorities for responding to the questions. It was agreed that the Parties would consider candidates for chairing the EFQ-WG for intersessional appointment.

The COP **adopted** the Rules of Procedure for the Scientific Coordinating Group ([Appendix 7](#)). It **agreed** that the SCG would hold 2 meetings prior to the next COP in-person meeting: one meeting to be held virtually in the fall of 2023, and one in-person meeting to be hosted by Canada in the first quarter of 2024, at a place and time to be determined by the host.

The COP **agreed** to meet by correspondence or virtually intersessionally before October 1, 2023, to consider the adoption of the draft resolution on climate change ([Appendix 9](#)).

In respect of conservation and management measures for exploratory fishing, the COP **established** an Ad Hoc Working Group on Exploratory Fishing to be chaired by Dr. Kelly Kryc of the United States with Terms of Reference approved by the COP ([Appendix 10](#)).

The Parties **agreed** to meet in-person as the third COP in the Republic of Korea, in June 2024, at a date to be confirmed by the hosts. A calendar of approved meetings is provided in [Appendix 11](#) and an organisation chart is provided in [Appendix 12](#).

### **1. Opening of the session**

1. The second in-person meeting of the Conference of the Parties (COP) to the Agreement to Prevent Unregulated High Seas Fisheries in the Central Arctic Ocean was opened and chaired by the Chairperson of the Conference of the Parties, Mrs. Nadia Bouffard of Canada from June 12-14, 2023, in Incheon, Republic of Korea.
2. The Vice-Chairperson, Ambassador Youngki Hong of the Republic of Korea, welcomed the meeting participants to Incheon, South Korea.

### **2. Admission of Observers**

3. Consistent with the agreed to process, the Parties **admitted** the following Observers to the meeting:
  - United Kingdom,
  - International Council for the Exploration of the Sea (ICES),
  - World Wide Fund for Nature (WWF) Arctic Programme.

### **3. Opening Remarks by Delegations**

4. The Parties and Observers provided opening remarks.
5. A list of Participants (CAOFA-2023-COP2-REF03) is provided in [Appendix 3](#).

### **4. Adoption of the agenda and arrangements for the session**

6. The adopted agenda (CAOFA-2023-COP2-01) is provided in [Appendix 1](#). The documents presented to the COP are listed (CAOFA-2023-COP2-REF02) and provided in [Appendix 2](#).

### **5 & 6. Science**

#### **(a) Presentation of 2023 SCG Meeting Report**

7. The Scientific Coordinating Group (SCG) met in Utqiagvik , Alaska, US, on March 14-17, 2023. The Chairperson of the SCG, Dr. John L. Bengtson of the United States of America, presented the report of the meeting (CAOFA-2023-COP2-02) attached as [Appendix 4](#), and the recommendations of the SCG to the COP contained therein. The SCG Chairperson's presentation is attached as [Appendix 5](#).

## **COP - CAO Fisheries Agreement CAOFA-2023-COP2-R**

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8. Dr. Bengtson summarized the SCG processes to develop and finalize the Joint Program of Scientific Research and Monitoring (JPSRM) provisional framework through the work of the Mapping and Monitoring Working Group (MM-WG) and the Data Management and Sharing Protocol through the Data Sharing Protocol Working Group (DSP-WG). The SCG then agreed to merge the complete texts of both documents into one new document entitled, “Framework of the CAOFA Joint Program of Scientific Research and Monitoring” (JPSRM Framework). The SCG adopted this document and recommended it for the COP’s approval.
9. The SCG Chairperson summarized the SCG’s review of the Scientific and Indigenous Knowledge Questions for the SCG on Exploratory Fishing provided by the COP (CAOFA-2023-COP2-04). Highlighting the complexity of some of the questions and the lack of baseline data from which to draw conclusions, the Chairperson noted that developing answers to some of the questions would require more time than for others. Dr. Bengtson indicated that partial or preliminary responses may be provided to some of the questions, which could later be supplemented when more information and data are available. However, he cautioned that developing answers to other questions will take longer.
10. Dr. Bengtson summarized the SCG’s request for guidance from the COP as to the priority order in which responses may be developed by the SCG, while providing the SCG’s estimated three-tier timeframe of when it may be able to provide answers to specific questions: 1) approximately within two months, 2) within one year, and 3) between one and five years. The Chairperson highlighted the SCG’s recommendation to the COP to establish a Working Group of the SCG to do this work.
11. The SCG Chairperson also summarized the SCG’s brief review and expression of general support for Draft #10 of the Rules of Procedure for the Scientific Coordinating Group (SCG). While not having sufficient time to develop a consensus response to the COP, the Chairperson recognized the progress made on the draft rules at the SCG meeting in Utqiagvik.
12. Dr. Bengtson furthermore raised the need for each Party to designate focal contact points and alternate for each Working Group to help advance the work of the SCG and its subsidiary bodies. The SCG Chairperson recognized that the SCG has a substantial amount of work to accomplish during the coming months. He flagged that it will be necessary for the SCG and its working groups to meet relatively frequently to undertake the development of the implementation plan for JPSRM and other activities.
13. At the closing of the SCG meeting, the SCG Chairperson extended his congratulations and thanks to all SCG meeting participants, meeting organizers, the hosts and the community of Utqiagvik for their contributions, dedication, and commitment to the goals of CAOFA, which resulted in a very successful meeting.

14. The COP thanked the United States for hosting the SCG meeting in 2023 and thanked the Chairperson for his excellent work in chairing the meeting. The COP also expressed appreciation for the work of the SCG Members and their contributions to the work of the SCG.

**(b) Decisions sought from the COP:**

15. The COP considered the SCG Report and its recommendations, including the proposed JPSRM Framework (CAOFA-2023-COP2-06 and the Data Management and Sharing Protocol (CAOFA-2023-COP2-07), both appended to the SCG Report (CAOFA-2023-COP2-02; [Appendix 4](#)).

16. The COP acknowledged the importance of the JPSRM as a framework for the Parties to cooperate in improving the knowledge and understanding of the living marine resources of the central Arctic Ocean and the ecosystems in which they occur. The COP thanked the SCG for the significant work that went into finalizing the content of the JPSRM Framework for the COP's approval.

17. Following discussions and agreed amendments, the COP **established** the JPSRM Framework, which incorporates the Data Management and Sharing Protocol (CAOFA-2023-COP2-06-rev2) [Appendix 6](#).

18. With the adoption of the JPSRM Framework, the COP then considered the SCG's recommendation and **established** a new Data Management Working Group (DM-WG) under the SCG to be chaired by Dr. Robert Foy of the United States. As set out in the Data Management and Sharing Protocol within the JPSRM, this new DM-WG will be responsible for storing the JPSRM data in a centralized data archive and coordinating meta-data of the original data in the distributed data archives. The DM-WG will oversee the operation of the CAOFA data portal for the duration of the Agreement. The specific terms of reference including responsibilities are embedded in the JPSRM Data Sharing Protocol approved by the COP.

• **New Questions for the SCG**

19. The COP considered the various recommendations of the SCG in respect of its future work. The COP also considered the information papers submitted by two observers: ICES and WWF Arctic Programme that provided oral presentations (CAOFA-2023-COP2-INFO01; CAOFA-2023-COP2-02 and CAOFA-2023-COP2-03).

20. The COP **supported and encouraged** the SCG to pursue its work to develop an Implementation Plan for the JPSRM Framework for the COP to consider at its next in-person meeting.



21. In response to the SCG’s request for guidance on work related to responding to the COP’s questions regarding exploratory fishing (CAOFA2023-COP2-04), the COP provided guidance to prioritize this work, and **established** an Exploratory Fishing Questions Working Group (EFQ-WG) under the SCG to effect this work with associated Terms of Reference (CAOFA-2023-COP2-08; [Appendix 8](#)). The list of COP questions in priority order provided by the COP was appended to these Terms of Reference. It was agreed that the Parties would consider candidates for chairing this working group for the COP to appoint one intersessionally.
22. The United States introduced a proposed resolution on climate change (CAOFA-2023-COP2-09) for the COP’s consideration which recognizes the importance of assessing and considering the potential impacts of climate change on species and marine ecosystems of the central Arctic Ocean and Arctic Indigenous communities and local communities bordering the Agreement Area. The Parties collaboratively engaged in discussions regarding the proposed resolution, focused on the linkages between climate change, the Agreement and the work of the Parties, and arrived at final text found in [Appendix 9](#) that will be further considered for adoption by the COP during the intersessional period prior to October 1, 2023.
- **2024 Joint Scientific Meetings**
23. The COP **agreed** that the SCG would meet virtually in the fall of 2023 and in-person in the first quarter of 2024 and welcomed Canada’s offer to host the in person SCG meeting in 2024, at a date and venue to be confirmed by the host.

## **7. CAOFA Website and Secure Data Portal**

24. The COP considered the US proposal for hosting a website and data portal for CAOFA related documents and material. The COP applauded the US initiative and indicated support for moving forward in the development of the website and data portal. The COP tasked the SCG, through its Data Sharing Protocol Working Group (DSP-WG), to further develop the website and make recommendations to the COP regarding the scope and type of public and private data and information to be posted as well as to improve its public interface, and report back to the COP at its next in-person meeting. The COP also requested that the DSP-WG identify the following information to be included on the site or secure portal:
- Past reports from meeting that led up to the Agreement;
  - Meeting documents from the PSCG, SCG, and COP;
  - Historical meta-data and data from CAOFA Parties that they wish to share with other CAOFA Parties;
  - External data sources (meta-data or links) that pertain to the CAO and may assist the CAOFA Parties in development of the JPSRM and implementing the Agreement.
25. The Co-Chairperson of the DSP-WG indicated that this task will take approximately one year to complete, after which the COP could consider dissolving the SCG DSP-WG.

## **8. Rules of Procedure for the Scientific Coordinating Group**

26. The COP considered Draft #11 of the Rules of Procedure for the Scientific Coordinating Group (CAOFA-2023-COP02-03) and, following discussions and revisions on the outstanding issues primarily related to the duties of the SCG Chair, reporting and creation of subsidiary bodies, the COP, **adopted** the Rules appended as [Appendix 7](#).

## **9. Consideration of conservation and management measures to govern exploratory fishing in the Agreement Area.**

27. Mindful of the three-year deadline provided by the Agreement to develop conservation and management measures for exploratory fishing, and further to a proposal from the Republic of Korea, the COP **agreed** to establish a COP Ad Hoc Working Group on Exploratory Fishing (EF-WG) to be chaired by Dr. Kelly Kryc of the United States. The COP developed and adopted the Terms of Reference for this Working Group (CAOFA-2023-COP2-10; [Appendix 10](#)). It was agreed that the EF-WG would meet at dates to be determined intersessionally, factoring in the possibility of holding a meeting adjacent to a scheduled in-person meeting, such as the SCG meeting or the COP3 meeting.

## **10. Next COP Meeting**

28. The COP thanked the Republic of Korea for agreeing to host the next in-person COP3 meeting in June 2024 at a date and place to be determined. The COP further welcomed Norway's proposal to host the COP4 in-person meeting, and it expressed appreciation for Japan's consideration to host the COP5 in-person meeting, both at dates and venues to be confirmed by the respective hosts.

## **11. Report of COP Meeting**

29. The report of the second in-person COP Meeting was **adopted** July 17, 2023.

## **12. Other Business**

30. No other business was raised by the Parties.

## **13. Meeting Closure**

31. The Chairperson closed the meeting at 3pm on June 14, 2023.

**APPENDIX 1**

CAOFA-2023-COP2-01

**MEETING OF THE CONFERENCE OF THE PARTIES (COP) TO THE  
AGREEMENT TO PREVENT UNREGULATED HIGH SEAS FISHERIES  
IN THE CENTRAL ARCTIC OCEAN**

**June 12-14, 2023**

Incheon, Republic of Korea

9:00–17:30 local time, each day

Coffee Break 10:30-11:00

Lunch Provided 12:30-13:30

Coffee Break 15:00-15:30

Chairperson: Mrs. Nadia Bouffard

**ADOPTED AGENDA**

**Day 1**

1. Call to Order and Introduction by Chairperson
2. Welcoming Remarks and Introduction by Vice-Chairperson
3. Opening Remarks by Delegations
4. Adoption of agenda

**Documents:**

[CAOFA-2023-COP2-01 \(Provisional Agenda\)](#)

[CAOFA-2023-COP2-REF01 \(Chairperson's Memorandum to Participants\)](#)

**5. Science**

- (a) 2023 SCG Meeting Report and Recommendations

**Documents:**

[CAOFA-2023-COP2-02 \(Report of March 2023 SCG Meeting\)](#)

[CAOFA-2023-COP2-INFO01 \(WWF Paper: Sustaining Tomorrow's CAO Today\)](#)

[CAOFA-2023-COP2-INFO03 \(ICES Paper: ICES and the Arctic\)](#)

- (i) Presentation by SCG Chairperson

[CAOFA-2023-COP2-05 \(SCG Chair Presentation to COP on SCG March 2023 Meeting to be provided at the meeting\)](#)

(ii) Questions and Answers and Discussion

(b) Decisions sought from the COP:

- Establish the JPSRM Framework

[CAOFA-2023-COP2-06 \(SCG Proposed JPSRM Framework\)](#)

- Establish the Data Sharing Protocol

[CAOFA-2023-COP2-07 \(SCG Proposed Data Management and Sharing Protocol\)](#)

- New questions for the SCG
- Future Meetings of the SCG

## **Day 2**

6. Science (continued)

7. Presentation by the United States of a website and secure data portal for consideration

8. Rules of Procedure for the SCG

**Documents:**

[CAOFA-2023-COP2-03 \(Draft #11 SCG RoPs\)](#)

[CAOFA-2023-COP2-03WV \(Word Version of Draft #11 SCG RoPs\)](#)

## **Day 3**

9. Conservation and management measures to govern exploratory fishing in the Agreement Area

**Documents:**

[CAOFA-2023-COP2-04 \(Exploratory Fishing Questions from the COP to SCG\)](#)

[CAOFA-2023-COP2-02 \(Report of March 2023 SCG Meeting\)](#)

[CAOFA-2023-COP2-INFO01 \(WWF Paper: Sustaining Tomorrow's CAO Today\)](#)

(a) Consideration of SCG Responses to COP Questions regarding exploratory fishing

(b) Process for developing conservation and management measures for exploratory fishing

10. Next COP Meeting

11. Report of Meeting

12. Other Business

13. Meeting Closure

**APPENDIX 2**

CAOFA-2023-COP2-REF02-Rev 1

**CAOFA 2023 COP2 MEETING – DRAFT LIST OF DOCUMENTS**

WORKING DOCUMENTS	
CAOFA-2023-COP2-01	Provisional Agenda
CAOFA-2023-COP2-02	Report of March 2023 SCG Meeting
CAOFA-2023-COP2-03-Rev 1 to 4	Draft SCG Rules of Procedure
CAOFA-2023-COP2-03WV	Word Version of Draft #11 Rules of Procedure for Joint Scientific Meetings
CAOFA-2023-COP2-04	Exploratory Fishing Questions from COP to SCG
CAOFA-2023-COP2-05	SCG Chair Presentation to COP on SCG March 2023 Meeting
CAOFA-2023-COP2-06 and Rev1	JPSRM Framework
CAOFA-2023-COP2-07	Data Management and Sharing Protocol (merged into JPSRM)
CAOFA-2023-COP2-08	Terms of Reference: SCG Exploratory Fishing Questions Working Group (EFQ-WG)
CAOFA-2023-COP2-09-Rev1 to 3	US Proposed Resolution on Climate Change
CAOFA-2023-COP2-10	Terms of Reference: COP Ad Hoc Working Group on Exploratory Fishing (EF-WG)
CAOFA-2023-COP2-R v.1	Draft Report of CAOFA-2023-COP2 Meeting
REFERENCE DOCUMENTS	
CAOFA-2023-COP2-REF01	Chairperson’s Memorandum to Participants
CAOFA-2023-COP1-REF02	List of Documents
CAOFA-2023-COP2-REF03	List of Participants at COP2
INFORMATION DOCUMENTS	
CAOFA-2023-COP2-INFO01	WWF Paper: Sustaining Tomorrow’s CAO Today
CAOFA-2023-COP2-INFO02	WWF Paper: Submission to COP2 CAOFA
CAOFA-2023-COP2-INFO03	ICES Paper: ICES and the Arctic

WV: Word Versions provided for drafting and editing during meeting

## **APPENDIX 3 - Participants List of Meeting of the Conference of the Parties (COP) to the Agreement to Prevent Unregulated High Seas Fisheries in the Central Arctic Ocean**

CAOFA-2023-COP2-REF03

7/2/2023

#	Country	Title	Full Name	Participation Type	Participation Format
1	Bereau	Ms.	Nadia Bouffard	Chairperson	In-person participation
2		Dr.	Youngki Hong	Vice-chairperson	In-person participation
3		Dr.	John Bengtson	Other	In-person participation
4	Canada	Mr.	Adam Burns	Head of Delegation	In-person participation
5		Mr.	Alain Dupuis	Delegate (Others)	In-person participation
6		Mr.	Robert Apro	Delegate (Designated Focal Point for the Delegation)	In-person participation
7		Mr.	Herbert Nakimayak	Delegate (Others)	In-person participation
8		Mr.	Matthew Zammit-Maempel	Delegate (Others)	In-person participation
9		Mr.	John Crump	Delegate (Others)	Virtual participation
10		Mr.	Kevin Hedges	Delegate (Others)	Virtual participation
11		Mr.	Rainer Duschinsky	Delegate (Others)	Virtual participation
12		Mr.	Patrice Laquerre	Delegate (Others)	Virtual participation
13		Ms.	Susanna Fuller	Delegate (Others)	Virtual participation
14		Ms.	Nicole Zanesco	Delegate (Others)	Virtual participation
15	China	Mr.	Feng Gao	Head of Delegation	In-person participation
16		Ms.	Yuanxin Qin	Delegate (Designated Focal Point for the Delegation)	In-person participation
17		Mr.	Lei Yang	Delegate (Others)	In-person participation
18		Dr.	Hai Li	Delegate (Others)	In-person participation
19		Dr.	Lizong Wu	Delegate (Others)	In-person participation
20	DFG	Ms.	Birgitte Jacobsen	Head of Delegation	In-person participation
21		Ms.	Helle Siegstad	Delegate (Designated Focal Point for the Delegation)	In-person participation
22		Dr.	Hugo Hansen	Delegate (Others)	In-person participation
23		Mr.	Kuluk Lyberth	Delegate (Others)	In-person participation
24	EU	Mr.	Luis Molledo	Head of Delegation	In-person participation
25		Dr.	Erik Molenaar	Delegate (Others)	In-person participation
26		Dr.	Sarah Davie	Delegate (Others)	In-person participation
27	Iceland	Dr.	Matthías Pálsson	Head of Delegation	In-person participation
28	Japan	Mr.	Joji Morishita	Head of Delegation	Virtual participation
29		Mr.	Masahiro Akiyama	Delegate (Designated Focal Point for the Delegation)	In-person participation
30		Mr.	Tomohiro Kondo	Delegate (Others)	In-person participation
31		Mr.	Toshihisa Fujiwara	Delegate (Others)	In-person participation
32		Mr.	Yutaka Hiroe	Delegate (Others)	Virtual participation
33		Mr.	Toya Takehara	Delegate (Others)	Virtual participation
34		Ms.	Ki Hyeon Kim	Head of Delegation	In-person participation
35		Ms.	Jin Heui Kim	Delegate (Others)	In-person participation
36		Mr.	Hyoung Chul Shin	Delegate (Others)	In-person participation

**APPENDIX 3 - Participants List of Meeting of the Conference of the Parties (COP) to the Agreement to Prevent Unregulated High Seas Fisheries in the Central Arctic Ocean**

CAOFA-2023-COP2-REF03

7/2/2023

#	Country	Title	Full Name	Participation Type	Participation Format
37	ROK	Mr.	Wonsang Seo	Delegate (Others)	In-person participation
38		Mr.	Jihoon Jeong	Delegate (Others)	In-person participation
39		Mr.	Hyoung Sul La	Delegate (Others)	In-person participation
40		Ms.	Jeehye Kim	Delegate (Others)	In-person participation
41		Mr.	Tae Hoon Woon	Delegate (Others)	In-person participation
42		Mr.	Jeong Seok Park	Delegate (Others)	In-person participation
43		Mr.	Sang Deok Chung	Delegate (Others)	In-person participation
44		Mr.	Doo Nam Kim	Delegate (Others)	Virtual participation
45		Ms.	Jung Re Kim	Delegate (Others)	Virtual participation
46		Ms.	Mikyeong Yoon	Delegate (Others)	Virtual participation



## **APPENDIX 3 - Participants List of Meeting of the Conference of the Parties (COP) to the Agreement to Prevent Unregulated High Seas Fisheries in the Central Arctic Ocean**

CAOFA-2023-COP2-REF03

7/2/2023

#	Country	Title	Full Name	Participation Type	Participation Format
47	Norway	Mr.	Kristoffer Bjørklund	Head of Delegation	In-person participation
48		Dr.	Åge Høines	Delegate (Others)	In-person participation
49		Mr.	Petter Meier	Delegate (Others)	In-person participation
50	Russia	Mr.	Dmitry Kremenyuk	Head of Delegation	In-person participation
51		Mr.	Andrei Kim	Delegate (Others)	In-person participation
52		Mr.	Temur Tairov	Delegate (Others)	Virtual participation
53		Dr.	Andrey Dolgov	Delegate (Others)	Virtual participation
54		Mr.	Konstantin Sokolov	Delegate (Others)	Virtual participation
55	USA	Dr.	Kelly Kryc	Head of Delegation	In-person participation
56		Dr.	Lauren Fields	Delegate (Designated Focal Point for the Delegation)	In-person participation
57		Ms.	Elana Mendelson	Delegate (Designated Focal Point for the Delegation)	In-person participation
58		Dr.	Robert Foy	Delegate (Designated Focal Point for the Delegation)	In-person participation
59		Ms.	Vernae Angnaboogok	Delegate (Others)	In-person participation
60		Ms.	Nicole Wojciechowski	Delegate (Others)	In-person participation
61		Mr.	Harry Brower, JR.	Delegate (Others)	In-person participation
62		Mr.	David Benton	Delegate (Others)	In-person participation
63		Mr.	Demian Schane	Delegate (Others)	In-person participation
64		Ms.	Rebecca Robbins Gisclair	Delegate (Others)	Virtual participation
65		Ms.	Candace Nachman	Delegate (Others)	Virtual participation
66		Mr.	Michael St Jeanos	Delegate (Others)	Virtual participation
67		Mr.	Scott Highleyman	Delegate (Others)	Virtual participation
68	Observer (WWF)	Mr.	Jan Dusik	Observer	In-person participation
69		Ms.	Chelsea Boaler	Observer	In-person participation
70		Mr.	Matthew Spencer	Observer	Virtual participation
71	Observer (ICES)	Dr.	Alan Haynie	Observer	In-person participation
72	Observer (UK)	Ms.	Hayley Cox	Observer	In-person participation
73		Ms.	Michelle Hackett	Observer	In-person participation
74		Ms.	Heidi Richardson	Observer	Virtual participation
75		Ms.	Nikki Hutchinson	Observer	Virtual participation
76		Dr.	Carl O'Brien	Observer	Virtual participation

## **APPENDIX 4**

### **REPORT OF REPORT OF THE FIRST MEETING OF THE CAOFA SCIENTIFIC COORDINATING GROUP (SCG) MARCH 14-17, 2023**

**REPORT OF THE  
FIRST MEETING OF THE CAOFA  
SCIENTIFIC COORDINATING GROUP**

Utqiagvik, Alaska, USA

14-17 March 2023

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## **EXECUTIVE SUMMARY**

The first meeting of the CAOFA Scientific Coordinating Group (SCG) to the Agreement to Prevent Unregulated High Seas Fisheries in the Central Arctic Ocean (CAOFA) was opened by the SCG Chairperson Dr. John L. Bengtson (USA). The meeting was held from 14-17 March 2023 in Utqiagvik, Alaska, United States of America. The Chairperson extended thanks to the United States for hosting the meeting and to meeting organizers and local hosts from the North Slope Borough, Inuit Circumpolar Council Alaska, and National Oceanic and Atmospheric Administration.

A total of 80 persons participated in the SCG meeting including 77 members from the 10 Parties to the Agreement and three representatives of two observer organizations. Of the participants, 22 were present in person in Utqiagvik and 58 participated via online video-conferencing.

The Co-chairpersons of the Mapping and Monitoring Working Group (MM-WG), Kevin Hedges (Canada) and Stanislovas Jonusas (EU) introduced the draft provisional framework for the Joint Program of Scientific Research and Monitoring (JPSRM) developed by the MM-WG. The SCG formally accepted text for most of the paragraphs after short discussions and minor revisions. Other paragraphs required longer discussions and drafting of alternative text to reach SCG consensus. The SCG *adopted* the revised provisional JPSRM framework document.

The Co-chairpersons of the Data Sharing Protocol Working Group (DSP-WG), Robert Foy (USA) and Lizong Wu (China), summarized the most recent version of a draft data management and sharing protocol. That document, which was drafted to be the “data sharing protocol” of the JPSRM stipulated in Article 4(5) of the CAOFA, was reviewed by the SCG and revised where appropriate. The SCG *adopted* the revised data management and sharing protocol document.

The SCG agreed to merge the complete texts of both revised documents into one new document entitled, “Framework of the CAOFA Joint Program of Scientific Research and Monitoring” (JPSRM Framework). The SCG *adopted* the final version of the JPSRM Framework.

The SCG reviewed the “Scientific and Indigenous Knowledge Questions for the SCG on Exploratory Fishing.” The SCG provided an estimated timeframe of when it may be able to provide answers to specific questions: 1) about two months, 2) one year, and 3) between one and five years.

Following a brief review of Draft #10 of the SCG Rules of Procedure document, the SCG expressed general support for the rules as drafted. The SCG had some specific suggestions with respect to Rule 8, but did not have time to develop a consensus response to the COP.

The SCG recognized that it has a substantial amount of work to accomplish during the coming months. It will be necessary for the SCG and its working groups to meet relatively frequently as the development of the implementation plan for JPSRM and other activities are undertaken.

In closing the SCG meeting, the Chairperson extended his congratulations and thanks to all meeting participants, meeting organizers, and hosts for their contributions, dedication, and commitment to the goals of CAOFA, which resulted in a very successful meeting. The meeting was adjourned on 17 March 2023. Due to time constraints, the meeting’s report was prepared and reviewed by correspondence. The SCG *adopted* the report of its inaugural meeting by correspondence on 3 May 2023.

**REPORT OF THE  
FIRST MEETING OF THE CAOFA  
SCIENTIFIC COORDINATING GROUP**

**Utqiagvik, Alaska, USA  
14-17 March 2023**

**Agenda item 1: Call to order and introduction by the Chairperson**

1. The first meeting of the Scientific Coordinating Group (SCG) to the Agreement to Prevent Unregulated High Seas Fisheries in the Central Arctic Ocean (CAOFA) was opened and chaired by the SCG Chairperson Dr. John L. Bengtson of the United States. The meeting was held from 14-17 March 2023 in Utqiagvik, Alaska, United States of America.

2. The Chairperson welcomed participants and thanked them for their work to prepare for the SCG meeting. In particular, he expressed appreciation to members of the SCG's two working groups (Mapping and Monitoring Working Group and Data Sharing Protocol Working Group) for their diligent work over many months to develop the draft component parts of a framework document for the CAOFA Joint Program of Scientific Research and Monitoring (JPSRM). He noted that finalizing and adopting the JPSRM framework was the principal objective of the SCG meeting.

3. The Chairperson also thanked the meeting organizers and local hosts from the North Slope Borough, Inuit Circumpolar Council Alaska, and National Oceanic and Atmospheric Administration for arranging the excellent meeting venue in Utqiagvik, Alaska.

**Agenda item 2: Welcoming remarks by the Vice-chairperson and local hosts**

4. The SCG Vice-chairperson, Dr. Sebastian Rodriguez of the European Union, welcomed meeting participants. He noted the great progress made in recent years bringing us close to a framework for the CAOFA JPSRM including a Data Sharing Protocol. He noted that effective management of scientific resources is of great importance and therefore, must be carried out in a systematic and planned way. He noted that the SCG meeting was held to facilitate and implement this cooperation in scientific activities with the goal of increasing knowledge of the living marine resources and the ecosystems of the central Arctic Ocean.

5. Ms. Nadia Bouffard, the Chairperson of the CAOFA Conference of Parties (COP), thanked participants for their ongoing dedication and work. She reminded the SCG of deadlines specified in the Agreement for the preparation of documents to be considered by the COP. She advised that in preparation for its June 2023 meeting, the COP expects to receive the final versions of several documents ahead of its meeting to allow adequate time for review by Parties.

6. On behalf of the North Slope Borough's Mayor, Harry Brower, Jr., and the Mayor's Office, Jack Franz extended greetings and welcome to Utqiagvik. Mayor Brower was unable to be

present at the start of the meeting, but he joined the meeting later in the week. Taqulik Hepa, who represented the North Slope Borough for Mayor Brower, gave an overview of Utqiagvik's long history of working with Arctic scientists from the Naval Arctic Research Laboratory and other research organizations.

### **Agenda item 3: Adoption of the agenda**

7. The Chairperson outlined the main points of the provisional agenda and asked SCG participants if they wished to suggest any revisions.
8. The EU requested the addition of a presentation on analysis of samples collected during two Arctic sea-going expeditions over the last several years. The Chairperson proposed that the presentation be included under agenda item 10. There was no objection to this change.
9. The agenda for the First Meeting of the CAOFA Scientific Coordinating Group was *adopted* (CAOFA-2023-SCG1-01) and is provided in Appendix 1. A list of the working materials and documents presented to the SCG (CAOFA-2023-SCG1-REF01-Rev03) is provided in Appendix 2.

### **Agenda item 4: Opening remarks by Delegations**

10. The Heads of all ten Delegations delivered opening remarks.
11. Consistent with the SCG's agreed process, two organizations were admitted to the SCG meeting as observers, both of which provided opening remarks:
  - International Council for the Exploration of the Sea (ICES),
  - World Wildlife Fund for Nature (WWF) Arctic Programme.
12. A total of 80 persons participated in the first meeting of the SCG meeting including 77 members from the 10 Parties to the Agreement and three representatives of two observer organizations. Of the 80 participants, 22 were present in person in Utqiagvik and 58 participated via online video-conferencing.
13. A list of participants (CAOFA-2023-SCG1-02-Rev01) is provided in Appendix 3.

### **Agenda item 5: Mapping and Monitoring Working Group (MM-WG)**

14. The Co-chairpersons of the SCG Mapping and Monitoring Working Group (MM-WG), Kevin Hedges (Canada) and Stanislovas Jonusas (EU) presented an overview of the working group's drafting and review efforts to help develop a draft provisional framework for the CAOFA Joint Program of Scientific Research and Monitoring (JPSRM) (CAOFA-2023-SCG1-03-Rev03).

15. The most recent version of the draft framework document was provided to all delegations and meeting participants on 2 March 2023, twelve days prior to the start of the SCG meeting in Utqiagvik, Alaska.

16. The working group Co-chairpersons led a group review of the framework document, proceeding paragraph by paragraph. During that review, the SCG formally accepted text for most of the paragraphs after short discussions and minor revisions, but several paragraphs required longer discussions and drafting of alternative text. In general, the paragraphs that required significant discussion and revision were those that referenced the aim of the JPSRM, the need for dedicated JPSRM data collection, SCG responsibilities under the JPSRM, Indigenous Knowledge, the ecological scope of the JPSRM, and the development of the implementation plan.

17. The SCG spent several days reviewing and revising the draft JPSRM framework document, working together both in plenary and in small groups. Based on interventions during plenary discussions at the time of adoption, the Russian delegation expressed interest in including a new map (i.e., new Figure 4) to show the identity and distribution of the Indigenous Peoples of Russia. The SCG agreed to allow that single addition (including an appropriate text reference to the figure) to the adopted report with the understanding that the Russian delegation would prepare and submit a map of the Indigenous Peoples of Russia to the SCG Chairperson by 20 March 2023.

18. The SCG *adopted* the revised provisional JPSRM framework document (CAOFA-2023-SCG1-03-Rev04).

#### **Agenda item 6: Data Sharing Protocol Working Group (DSP-WG)**

19. The Co-chairpersons of the SCG Data Sharing Protocol Working Group (DSP-WG), Robert Foy (USA) and Lizong Wu (China), summarized the working group's activities to refine and to agree to the most recent version of a draft data management and sharing protocol (CAOFA-2023-SCG1-04-Rev04).

20. That document, which was drafted to be the "data sharing protocol" of the JPSRM stipulated in Article 4(5) of the CAOFA, was reviewed by the SCG on a paragraph-by-paragraph basis and revised where appropriate.

21. The SCG *adopted* the revised data management and sharing protocol document (CAOFA-2023-SCG1-04-Rev05), and deemed it ready for incorporation into the framework of the CAOFA JPSRM.

22. The SCG *recommended* that the COP adopt the revised data management and sharing protocol document (CAOFA-2023-SCG1-04-Rev05) as adopted by the SCG.



## **Agenda item 7: Joint Program of Scientific Research and Monitoring (JPSRM)**

- 23.** Once both the revised provisional JPSRM framework (CAOFA-2023-SCG1-03-Rev04) and the revised data management and sharing protocol (CAOFA-2023-SCG1-04-Rev05) documents had been adopted by the SCG, the group considered how the two documents could best be combined into one document.
- 24.** The SCG agreed to merge the complete texts of both revised documents into one new document entitled, “Framework of the CAOFA Joint Program of Scientific Research and Monitoring” (JPSRM Framework) (CAOFA-2023-SCG1-07).
- 25.** The SCG *adopted* the final version of the JPSRM Framework (CAOFA-2023-SCG1-07). In doing so, the SCG also gave permission to the SCG Chairperson, Vice-chairperson, and MM-WG and DSP-WG Co-chairpersons to complete any editorial revisions needed to ensure consistency in formatting, terminology, and proper grammar.
- 26.** The SCG *recommended* that the COP approve the establishment of the CAOFA JPSRM Framework (CAOFA-2023-SCG1-07) as adopted by the SCG.
- 27.** The SCG *requested* that the Chairperson of the SCG contact the external groups listed in Table 4 of the JPSRM to explore what types of partnerships may be possible to support the work of the JPSRM and contribute to the data archive and report back to the SCG.

## **Agenda item 8: Questions pertaining to exploratory fishing**

- 28.** A COP drafting group developed a series of questions for the SCG to consider in providing scientific advice based on scientific knowledge, Indigenous Knowledge, and local knowledge for the development of conservation and management measures on exploratory fishing in accordance with 3(d) of the SCG terms of reference.
- 29.** The Chairperson introduced the document “Scientific and Indigenous Knowledge Questions for the SCG on Exploratory Fishing under Article 5 of the CAOFA,” conveyed by the COP for review and response by the SCG. Twenty-one questions and sub-questions were posed, organized in three topical categories: 1) data and information, 2) impacts, and 3) advice on conservation and management measures. In reviewing the questions, the SCG agreed that whereas it may be possible to develop answers for some of these questions in the near future, other questions may require additional time, possibly years, to provide meaningful answers.
- 30.** The SCG offered its expectations on the estimated timeframe that may be required to gather the information needed to answer the questions. Three temporal categories were identified within which the SCG expects it may be able to provide answers: 1) within about two months (i.e., prior to the June 2023 COP meeting), 2) within one year (e.g., prior to a June 2024 COP meeting), and 3) between one and five years (i.e., as answers emerge from the results of JPSRM investigations). Table 1 presents the SCG’s estimates of when it may be possible to provide the COP with answers to the exploratory fishing questions.

Table 1. Estimates of approximately how long it will take the SCG to provide answers to the “Scientific and Indigenous Knowledge Questions for the SCG on Exploratory Fishing under Article 5 of the CAOFA.” Time categories: 1=2 months; 2=1 year; 3=1-5 years (two time categories indicates that a partial answer will be available first, followed by a fuller answer later).

No.	Question	Time
1	Including the results of the FiSCAO meeting and the mapping phase, what baseline data currently exist for and related to the Agreement area?	1
2	What ecosystem information is currently available or needed to establish conservation and management measures for exploratory fishing in order to minimize its ecosystem effects?	2
3	How will the Parties collaborate to collect information on fishery-independent surveys, fishery dependent data collection, other platforms, and inclusion of Indigenous Knowledge and Local Knowledge?	1, 2
4	What communication regarding Science Knowledge, Indigenous Knowledge and Local Knowledge with Arctic Indigenous peoples is needed to support COP exploratory fishing decisions?	1, 2
4a	How will Indigenous Knowledge and Local Knowledge be incorporated with national research programs and the JPSRM to develop the knowledge base for this region that contributes to decision-making regarding exploratory fishing? How will multiple knowledge systems be evaluated?	2
4b	What type of Indigenous Knowledge and geographical coverage is available?	2
5	What is the estimated timeframe needed to provide existing and future data and information described in this list to conduct necessary evaluation of exploratory fishing by the SCG?	1
6	How do we define and identify vulnerable species and ecosystems in the context of the Central Arctic Ocean, in light of existing guidelines, including the FAO Deep-Sea Fisheries in the High Seas Guidelines?	1, 2
7	What components of the CAO ecosystems are vulnerable to perturbations from fishing gear and therefore should be avoided by exploratory fishing efforts using that type of gear? Alternatively, how could impacts from such perturbations be sufficiently minimized?	2
8	How do we define non-target and dependent species? How should non-target and dependent species be considered in exploratory fishing plans?	1, 2
9	In accordance with the requirements of the Agreement, including those in Article 5(1)(d)(ii) and (iii), what criteria should the CAO Parties consider when defining potential future commercial fisheries that may be the focus of exploratory fishing, for example: species, abundance, distribution, ecosystem role and interactions, cultural significance, gear, economics, etc.?	2
9a	What type of data and information, including scientific knowledge, Indigenous Knowledge and Local Knowledge is needed or could be collected from exploratory fishing, noting that information from all 3 knowledge systems may not be collected on each exploratory fishing trip? What sort of sampling design and data collection is needed by exploratory fisheries to improve our understanding of relative abundance and distribution of target species?	2
9b	What bounds should be set on types of gear used, how that gear is used and seasonal restrictions in exploratory fishing to ensure precautionary exploratory fishing activity (examples: limitations on types of gear, fishing depth, limitations on operation of gear, etc.)?	2
10	What parts of the Agreement area and seasons may have favorable oceanographic conditions to support potential commercially viable species and may thus be prioritized for exploratory fishing?	2, 3
11	What aspects of exploratory fishing should be the focus of data collection associated with impacts to Indigenous communities and local communities, including data collection related to pollution and emissions, noise, sea ice, for the evaluation of possible impacts, including cumulative impacts, to Indigenous and local subsistence activities and marine mammal populations in the Pacific and Atlantic Gateways? How can these impacts be mitigated?	2
12	What specific aspects of climate change should be accounted for to minimize the impact of exploratory fishing on the ecosystems in this rapidly changing region?	2
13	How will exploratory fishing in a changing marine ecosystem affect the production and abundance of fish and invertebrates?	2, 3
14	How will the Parties ensure that exploratory fishing is duly limited in duration, scope and scale to minimize impacts on fish stocks and ecosystems?	2
15	What measures should be considered for avoiding, minimizing or mitigating impacts of exploratory fishing on the Agreement Area and adjacent areas including on Arctic Indigenous peoples and local communities whose livelihood depend on Arctic ecosystems?	2
16	What can we learn from the scientific committees of existing RFMOs and other relevant scientific and management bodies that could inform CAOFA SCG and COP best practices in order to avoid mistakes and shortcomings from being repeated in the CAO?	2
17	Please identify which questions in this list need to be answered and what additional information is needed prior to authorizing exploratory fishing to avoid, minimize or mitigate ecosystems impacts and otherwise meet the requirements of the Agreement.	1

**31.** The SCG recognized that the COP may be expecting a response to at least some of the questions prior to its forthcoming meeting in June 2023. As noted in Table 1, the SCG believes it may be able to prepare at least partial answers to some of the questions prior to June 2023. For example, information summaries from previous meetings of scientific experts on fish stocks (FiSCAO) and the Provisional SCG (PSCG) could be helpful information sources. However, questions to be answered from future coordinated or collaborative research efforts will require more time to be answered properly. The SCG tasked the MM-WG with answering the questions that could be addressed prior to the June 2023 COP meeting.

**32.** Accessing and using scientific knowledge, Indigenous Knowledge and local knowledge will require cooperation among SCG members in research and monitoring activities as well as engagement with external scientific, Indigenous, and local organizations. While some data may currently be available, it will still take time to allow for those engagements and interactions to proceed in a productive manner, potentially affecting the timeline for answering the questions set out in Table 1. Data sharing agreements will also be needed between the COP and external scientific, Indigenous, and local organizations for collaborative work to be undertaken in the coming months and years.

**33.** Several participants reminded the SCG that there are already many reports currently available that can assist in answering the questions noted above. For example, the Norwegian and European Union delegations pointed to several ICES reports that are relevant (e.g., [https://ices-library.figshare.com/articles/report/Central\\_Arctic\\_Ocean\\_ecoregion\\_Ecosystem\\_Overview/21731240](https://ices-library.figshare.com/articles/report/Central_Arctic_Ocean_ecoregion_Ecosystem_Overview/21731240)) as well as several more that have already been cited in the JPSRM Framework.

**34.** Identifying which of the exploratory fishing questions are of highest priority or urgency will assist the SCG as it begins its work. Such a prioritization by the COP would be helpful in deciding which questions should be addressed soonest to aid in the development of the exploratory fishing framework as well as to clarify expectations on when the COP should anticipate receiving this information within the framework presented in Table 1.

**35.** The SCG *recommended* that the COP approve the approach and timeframe outlined above and in Table 1 for developing answers to the questions pertaining to exploratory fishing.

**36.** The SCG *recommended* that the COP approve a new SCG subsidiary body (either a new SCG working group or team under MM-WG) to be tasked with compiling the answers to the list of questions pertaining to exploratory fishing conveyed to the SCG from the COP.

## **Agenda Item 9: SCG Draft Rules of Procedure**

**37.** The SCG reviewed Draft #10 of the SCG Rules of Procedure, examining each section for any points or omissions that should be raised to the attention of the COP. Following a brief discussion of the document, the SCG expressed general support for the rules as drafted.

**38.** The SCG also had some specific suggestions to strengthen its Rules of Procedure with respect to Rule 8. Some members of the SCG considered that some elements of the draft rules

pertaining to subsidiary bodies may unnecessarily curtail the SCG's ability to proceed with its tasks in a timely manner if it is required to obtain prior COP approval for how best to organize its work. The main point of concern was for the likely situations when it would be most efficient to form small groups. Some other members deemed that prior COP approval is necessary as per the current practices. Members also discussed the potential to form small groups under the SCG or its current working groups on an ad hoc basis to focus on specific SCG products for the COP.

**39.** The SCG suggested that having more flexibility in organizing its work through subsidiary bodies would help it to accomplish its work more efficiently during intersessional periods. Therefore, the SCG offered the following two items for consideration by the COP drafting group on SCG Rules of Procedure:

1. In Rule 8.2.a (Working Groups): The SCG did not reach consensus on whether the text in square brackets should or should not be deleted (i.e., "[, with the COP's approval,]"). One view was in favor of deleting the text to allow more flexibility for the SCG to organize its work. The other view was in favor of the inclusion of this provision as the establishment of a working group would need government appointments of representatives to the working group and may have financial implications.

2. In Rule 8 (new paragraph 8.3): In addition to "Sub-groups," which only exist during single SCG meetings, and "Working Groups," which operate intersessionally on a sustained basis, the SCG discussed the potential utility of establishing a third type of subsidiary group under the SCG called "Ad Hoc Teams," to be formed and disbanded by the SCG at its discretion as needed and without prior COP approval. The Ad Hoc Teams would focus on specific, short-term tasks and products to which the SCG needs to respond on a relatively short timeframe. Such work would be conducted intersessionally, with terms of reference agreed by the SCG. Some members noted that the current terms of reference for the MM-WG already allow the formation of "smaller teams" to deal with issues such as the questions related to exploratory fishing; other members noted that having the flexibility to form an Ad Hoc Team outside of the MM-WG might help to avoid distracting the working group with additional short-term tasks when, as expected, it already has a very full agenda and work plan.

**40.** Noting the upcoming meeting on 28 March 2023 of the COP drafting group on SCG Rules of Procedure, the SCG *requested* that the Chairperson draft a letter to the COP Chairperson and the COP drafting group on SCG Rules of Procedure prior to its forthcoming meeting detailing the SCG's perspectives described above in paragraphs 37-39.

## Agenda Item 10: Future Work of the SCG

### Next meeting

41. The SCG recognized that it has a substantial amount of work to accomplish during the coming months and that to make progress, it will be necessary for its working groups to meet relatively frequently as the development of the implementation plan for JPSRM and other activities are undertaken.

42. The SCG *recommended* that the COP approve that the SCG may hold up to two SCG meetings prior to a possible COP meeting in June 2024: one virtual meeting provisionally scheduled in early/late autumn 2023, and one in-person meeting provisionally scheduled for the first quarter of 2024). The timing and arrangements (e.g., in-person or virtual) for SCG meetings will take into account planned COP meetings.

43. As of March 2023, the SCG had not yet received an invitation from any SCG member to host an in-person SCG meeting in 2024. The Chairperson encouraged meeting participants to confer within their respective delegations to explore the possibility of offering to host an in-person meeting of the SCG during the first quarter of 2024. Members were invited to contact the SCG Chairperson to express potential interest and to discuss the possibility of hosting the next in-person SCG meeting.

44. Additional participation in the existing SCG working groups was welcomed and encouraged. The SCG *recommended* that, for any working group, the COP request Parties to designate a single primary point of contact, and an alternate representative as appropriate, who would have responsibility for communicating with their delegations and responding on behalf of their delegation to the leadership of the group.

### Priority tasks and work plan

45. The SCG recognized that it has several big tasks ahead in the coming months, most notably: 1) the development and completion of the JPSRM implementation plan, and 2) providing detailed responses to the COP's questions on exploratory fishing (some of which are due prior to the COP's June 2023 meeting in Korea). The SCG's current plan is to address both of these tasks through the Mapping and Monitoring Working Group (MM-WG), with assistance from the Data Sharing Protocol Working Group (DSP-WG) as appropriate (pending a decision by the COP regarding the possible formation of a new SCG working group – see paragraph 36).

46. With respect to the JPSRM implementation plan, the SCG recalled that considerable work has already occurred on drafting sections of the plan. Discussions and reporting from previous meetings of FiSCAO and the PSCG should be used to provide a good foundation for parts of the implementation plan. Priorities and some topics of the implementation plan are presented and summarized in Appendices 8 (CAOFA-2023-SCG1-05) and 9 (CAOFA-2023-SCG1-06) of the JPSRM Framework.

47. To help highlight the need for resources and collaboration to implement the JPSRM, the SCG raised the need for the COP to issue Agreement-level statements publicly on the importance of the Agreement and national support for work to be conducted under the Agreement.

48. The SCG noted the importance of communicating with national research programs to include international collaboration as well as collaboration with Indigenous Knowledge and local knowledge holders. Some representatives highlighted their existing research projects and invited SCG participants to join projects and participate in research.

49. Several additional topics were raised for the SCG's consideration in the future. For example, some representatives highlighted a need to identify what data need to be collected prior to any exploratory fishing, such as identifying areas with vulnerable bottom corals and sponges that should be avoided during bottom fishing activities. Other participants noted that there is additional work to be addressed by the Data Sharing Protocol Working Group (DSP-WG). Such work may include developing and refining databases such as those in Appendix 8 of the JPSRM Framework, which may serve as a good starting point for developing portions of the JPSRM implementation plan.

50. The EU delegation presented examples of ecosystem data collected by the recent MOSAiC and SAS expeditions to the central Arctic Ocean. The presentation offered recommendations for establishing standardized methods among JPSRM research programs for CTD sampling and results of species observed and detected during such research cruises. The SCG thanked the EU for its presentation, which identified important issues that should be considered as the JPSRM implementation plan is developed. That presentation was added to the list of documents tabled at the meeting.

51. The WWF observers presented their recent report "Sustaining Tomorrow's Central Arctic Ocean Today" as another document relevant to development of the JPSRM implementation plan. Their report highlights best practices and lessons learned to inform good fisheries governance (<https://www.arcticwwf.org/newsroom/reports/sustaining-tomorrows-central-arctic-ocean-today>). The report (and its citation) was added to the list of documents tabled at the meeting.

## **Agenda item 11: Report of the meeting**

### Arrangements for the preparation of the SCG's report

52. Because of time constraints, the SCG was unable to draft, review, and adopt a report of the First Meeting of the CAOFA SCG prior to the meeting's adjournment. Rapporteurs were requested to complete their writing assignments and to send relevant text to the SCG Chairperson by 21 March 2023.

53. The Chairperson agreed to prepare a draft report based on his notes and the text contributed by rapporteurs, and to circulate the draft report to SCG members as soon as possible but no later than 14 calendar days after the meeting's close (i.e., by 31 March 2023) for their review.

**54.** Per the draft SCG Rules of Procedure, Rule 7(d), SCG members shall provide their comments on the draft report within 14 calendar days of receipt of the draft report (i.e., no later than 14 April 2023).

**55.** The Chairperson agreed to endeavor to combine any comments received from SCG members into a revised draft report to be circulated as soon as feasible to SCG members for adoption.

**56.** To meet the COP's Rules of Procedure, the SCG's adopted report must be submitted to the COP no later than 8 May 2023, (i.e., at least 35 calendar days prior to the COP's next meeting commencing).

#### Summary of SCG recommendations to the COP

**57.** The SCG *recommended* that the COP adopt the revised data management and sharing protocol document (CAOFA-2023-SCG1-04-Rev05) as adopted by the SCG.  
*[Reference paragraph 22]*

**58.** The SCG *recommended* that the COP approve the establishment of the CAOFA JPSRM Framework (CAOFA-2023-SCG1-07) as adopted by the SCG.  
*[Reference paragraph 26]*

**59.** The SCG *recommended* that the COP approve the approach and timeframe outlined above and in Table 1 for developing answers to the questions pertaining to exploratory fishing.  
*[Reference paragraph 35]*

**60.** The SCG *recommended* that the COP approve a new SCG subsidiary body (either a new SCG working group or team under MM-WG) to be tasked with compiling the answers to the list of questions pertaining to exploratory fishing conveyed to the SCG from the COP.  
*[Reference paragraph 36]*

**61.** The SCG *recommended* that the COP approve that the SCG may hold up to two SCG meetings prior to a possible COP meeting in June 2024: one virtual meeting provisionally scheduled in early/late autumn 2023, and one in-person meeting provisionally scheduled for the first quarter of 2024). The timing and arrangements (e.g., in-person or virtual) for SCG meetings will take into account planned COP meetings.  
*[Reference paragraph 42]*

**62.** Additional participation in the existing SCG working groups was welcomed and encouraged. The SCG *recommended* that, for any working group, the COP request Parties to designate a single primary point of contact, and an alternate representative as appropriate, who would have responsibility for communicating with their delegations and responding on behalf of their delegation to the leadership of the group.  
*[Reference paragraph 44]*

## **Agenda item 12: Other business**

**63.** No other business was raised.

## **Agenda item 13: Meeting closure**

**64.** In closing the SCG meeting, the Chairperson extended his congratulations and thanks to all meeting participants for their contributions, dedication, and commitment to the goals of CAOFA, which resulted in a very successful meeting. He expressed optimism that the spirit of collegial collaboration displayed at the SCG's inaugural meeting will continue and grow in the future as the SCG begins to implement the JPSRM and responds to additional requests from the COP. In particular, he commended participants for working together to increase their common understanding and to seek mutually acceptable solutions to the important issues being addressed by the SCG. He offered a special thank you to the meeting's rapporteurs (Robert Apro, Lauren Fields, Robert Foy, Kevin Hedges, Stanislovas Jonusas, Sebastian Rodriguez, Lizong Wu, and Nancy Young), who played a pivotal role in keeping notes and preparing text for the report documenting the SCG's excellent progress during its four-day meeting.

**65.** On behalf of the SCG, the Chairperson thanked the meeting's organizing committee and local hosts for all that they did to arrange and host such an outstanding and memorable meeting: Mayor Harry Brower Jr., Jack Frantz, Taqulik Hepa, and Billy Adams of the North Slope Borough; Marie Greene and Vernae Angnaboogok of the Inuit Circumpolar Council Alaska; and Nancy Young, Lauren Fields, and Alex Guffey of the National Oceanic and Atmospheric Administration. The Chairperson expressed special appreciation to Jack Frantz, who went above and beyond in bringing many diverse arrangements together to ensure that the SCG's first meeting was a success, and to Nancy Young, who kept things moving smoothly both before and during the meeting. All of those efforts facilitated Utqiagvik being a perfect venue for the SCG to conduct its important work in the heart of the Arctic.

**66.** Mayor Harry Brower, Jr. expressed his appreciation to the SCG for holding its first meeting in Utqiagvik, where participants were able to experience and appreciate the rich Inupiaq culture in this part of the Arctic, in his hometown. He was thankful that participants were presented with an opportunity to gain a fuller understanding of the fundamental importance of subsistence harvests and healthy Arctic marine ecosystems to the Inuit way of life, which was amplified due to the meeting being held steps from the Arctic Ocean.

**67.** On behalf of the SCG, Robert Foy (USA) extended thanks to Chairperson John Bengtson for leading the SCG through a very successful meeting.

**68.** The First Meeting of the CAOFA Scientific Coordinating Group was adjourned on Friday, 17 March 2023, at 22:20 UTC.

**69.** Due to time constraints, the meeting's report was prepared and circulated for review and comment by correspondence. The SCG *adopted* the report of its inaugural meeting (CAOFA-2023-SCG1-10) by correspondence on 3 May 2023.



**INAUGURAL MEETING OF THE  
SCIENTIFIC COORDINATING GROUP (SCG) TO THE  
AGREEMENT TO PREVENT UNREGULATED HIGH SEAS FISHERIES  
IN THE CENTRAL ARCTIC OCEAN (CAOFA)**

14-17 March 2023

Utqiagvik (Barrow), Alaska, United States of America

Chairperson: Dr. John L. Bengtson

**FINAL AGENDA**

1. Call to order and introduction by Chairperson
2. Welcoming remarks by Vice-Chairperson and local hosts
3. Adoption of agenda
4. Opening remarks by Delegations
5. Mapping and Monitoring Working Group (MM-WG)
  - a. Report of Working Group
  - b. SCG recommendations to Conference of Parties (COP)
6. Data Sharing Protocol Working Group (DSP-WG)
  - a. Report of Working Group
  - b. SCG recommendations to COP
7. Joint Program of Scientific Research and Monitoring (JPSRM)
  - a. Finalization of JPSRM framework
  - b. SCG recommendations for approval by COP
8. Questions pertaining to exploratory fishing (from COP drafting group)
  - a. Review of questions (anticipated to be available after 27 February 2023)
  - b. Responses and advice to COP
9. SCG Draft Rules of Procedure (from COP drafting group)
  - a. Review of latest draft (anticipated to be available by 6 March 2023)
  - b. SCG advice to COP Drafting Group
10. Future work of the SCG
  - a. Next meeting of SCG (dates and venue)
  - b. Priority tasks and work plan
    - 1) Implementation plan for JPSRM
    - 2) Provisional schedule
  - c. SCG recommendations for approval by COP
11. Report of meeting
12. Other business
13. Meeting closure

CAOFA 2023 SCG1 – LIST OF WORKING MATERIALS AND DOCUMENTS

CAOFA-2023-SCG1-01	Final agenda
CAOFA-2023-SCG1-02-Rev-01	Final list of participants
CAOFA-2023-SCG1-03-Rev03	Draft provisional framework for CAOFA JPSRM (2/22/23)
CAOFA-2023-SCG1-03-Rev04	Revised provisional framework for CAOFA JPSRM (3/16/23)
CAOFA-2023-SCG1-04-Rev04	Draft data management and sharing protocol (3/8/23)
CAOFA-2023-SCG1-04-Rev05	Revised data management and sharing protocol (3/16/23)
CAOFA-2023-SCG1-05	Draft Appendix 8 to JPSRM framework
CAOFA-2023-SCG1-06	Draft Appendix 9 to JPSRM framework
CAOFA-2023-SCG1-07	CAOFA JPSRM Framework
CAOFA-2023-SCG1-08	Ecosystem mapping in the Eurasian basin of the CAO 2019-2021 with an emphasis on fish (EU, 2023)
CAOFA-2023-SCG1-09	Sustaining tomorrow's central Arctic Ocean today (WWF, 2022)
CAOFA-2023-SCG1-10	Final report of CAOFA-2023-SCG1 meeting
CAOFA-2023-VCOP1-01-Rev03	Draft Scientific and Indigenous Knowledge Questions for the SCG on Exploratory Fishing under Article 5 of the CAOFA
CAOFA-2023-COP2-XX-Rev10	[Draft #10] Rules of Procedure for Joint Scientific Meetings of the Parties to the Agreement to Prevent Unregulated High Seas Fisheries in the Central Arctic Ocean
CAOFA-2023-SCG1-REF01-Rev03	List of working materials and documents

## Appendix 3

CAOFA-2023-SCG1-02-Rev01

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**Framework of the CAOFA  
Joint Program of Scientific Research and Monitoring (JPSRM)**  
Central Arctic Ocean Fisheries Agreement (CAOFA)  
Scientific Coordinating Group (SCG)

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# Framework of the CAOFA

## Joint Program of Scientific Research and Monitoring (JPSRM)

Central Arctic Ocean Fisheries Agreement (CAOFA)  
Scientific Coordinating Group (SCG)

### 1 Background

#### 1.1 Introduction

A landmark international agreement was established to promote effective stewardship of Arctic marine living resources: the *Agreement to Prevent Unregulated High Seas Fisheries in the Central Arctic Ocean* (Appendix 1). The Agreement (also known as the “Central Arctic Ocean Fisheries Agreement” or CAOFA) entered into force on June 25, 2021 after ratification by all ten of the Signatories (Canada, the People’s Republic of China, the Kingdom of Denmark in respect of the Faroe Islands and Greenland, Iceland, Japan, the Republic of Korea, the Kingdom of Norway, the Russian Federation, the United States of America, and the European Union).

The objective of the Agreement (Article 2) is to prevent unregulated fishing in the high seas portion of the central Arctic Ocean through the application of precautionary conservation and management measures as part of a long-term strategy to safeguard healthy marine ecosystems and to ensure the conservation and sustainable use of fish stocks.

By providing time for the collection of scientific knowledge, Indigenous Knowledge, and local knowledge before the commencement of commercial fishing, the CAOFA creates a rare opportunity to understand the structure and dynamics of central Arctic Ocean (CAO) ecosystems. This understanding is crucial for development of long term management strategies that support sustainable fishing in the CAO high seas and safeguard healthy marine ecosystems that support subsistence resources. As sea ice coverage continues to decline in the CAO and other anthropogenic activities, increase in extent and frequency, assessment of multiple, interacting stressors will be important to support the sustainability of CAO ecosystems in accordance with the objective of CAOFA.

#### 1.2 Objectives, development, and timeline

Article 4 of the Agreement calls for the creation of a Joint Program of Scientific Research and Monitoring (JPSRM) as follows:

1. The Parties shall facilitate cooperation in scientific activities with the goal of increasing knowledge of the living marine resources of the central Arctic Ocean and the ecosystems in which they occur.
2. The Parties agree to establish, within two years of the entry into force of this Agreement, a Joint Program of Scientific Research and Monitoring with the aim of improving their understanding of the ecosystems of the Agreement Area and, in particular, of determining whether fish stocks might exist in the Agreement Area now or in the future that could be harvested on a sustainable basis and the possible impacts of such fisheries on the ecosystems of the Agreement Area.



3. The Parties shall guide the development, coordination and implementation of the Joint Program of Scientific Research and Monitoring.
4. The Parties shall ensure that the Joint Program of Scientific Research and Monitoring takes into account the work of relevant scientific and technical organizations, bodies and programs, as well as indigenous and local knowledge.
5. As part of the Joint Program of Scientific Research and Monitoring, the Parties shall adopt, within two years of the entry into force of this Agreement, a data sharing protocol and shall share relevant data, directly or through relevant scientific and technical organizations, bodies and programs, in accordance with that protocol.
6. The Parties shall hold joint scientific meetings, in person or otherwise, at least every two years and at least two months in advance of the meetings of the Parties that take place pursuant to Article 5 to present the results of their research, to review the best available scientific information, and to provide timely scientific advice to meetings of the Parties. The Parties shall adopt, within two years of the entry into force of this Agreement, terms of reference and other procedures for the functioning of the joint scientific meetings.

At its inaugural meeting in November, 2022, the CAOFA Conference of Parties (COP) highlighted the need for the CAOFA Scientific Coordinating Group (SCG) to conclude its work to develop the JPSRM in a timely manner so that the COP may consider the JPSRM for approval and adoption by the Agreement deadline of June 25, 2023 (Appendix 2). The COP emphasized that the initial document describing the JPSRM should be considered as a framework for the future work of the SCG. That framework may be revised and updated from time to time as new information requires. An associated JPSRM implementation plan will be developed following the COP's approval of the JPSRM framework presented in this document.

The JPSRM comprises an initial mapping phase that is envisioned to occur over a short time period (e.g., three-year duration) followed by a monitoring phase (FiSCAO 2015). The major goals of the mapping phase are to develop an understanding of baseline conditions and to test and evaluate different approaches, biological and ecological indicators, protocols, methods, Indigenous Knowledge, and local knowledge to be used during the monitoring phase. The appropriate threshold values (triggers) for the indicators need to be developed to determine when to repeat the mapping phase or to re-sample targeted areas during the monitoring phase.

As noted above, the Agreement stipulates that as part of the JPSRM, a data sharing protocol shall be adopted to share relevant data, directly or through relevant scientific and technical organizations, bodies and programs. Two working groups within the SCG were established to help develop the JPSRM: the Mapping and Monitoring Working Group (MM-WG) and the Data Sharing Protocol Working Group (DSP-WG).

#### *1.2.1 Terms of reference: Mapping and Monitoring Working Group*

The Mapping and Monitoring Working Group (MM-WG) was established to develop the mapping and monitoring plans for the JPSRM to achieve its aim, building on the draft plans from the 4th and 5th FiSCAO meetings and the 1st PSCG meeting and based on the questions and discussions from the 2nd PSCG meeting with the following Terms of Reference:

- a. The MM-WG will consist of multiple representatives from each Party with expertise, including scientific, Indigenous Knowledge, and local knowledge, as well as appropriate external experts,

- of ecosystem components of the JPSRM (e.g., fish, marine mammals, oceanography, ecosystem production, birds, and lower trophic level species).
- b. The MM-WG will meet on a timeline determined by the working group with draft plans available for review and discussion.
  - c. The MM-WG may form smaller teams to meet separately with similar objectives and products to contribute to the overall draft plans.
  - d. The MM-WG will focus efforts on scientific, Indigenous Knowledge, and local knowledge activities concerned with:
    - i. Mapping requirements in the Agreement Area, Atlantic, and Pacific gateways.
    - ii. Monitoring requirements consistent with Article 4 of the Agreement.
    - iii. Data collection (e.g., gear type) and data format standardization.
    - iv. Prioritization of mapping and monitoring parameters as well as spatial and temporal sampling scales.

### 1.2.2 Terms of reference: Data Sharing Protocol Working Group

The Data Sharing Protocol Working Group (DSP-WG) was established to develop a data management policy and sharing protocols as part of the JPSRM, for consideration by the SCG and approval by the Parties, building on the draft plan from the 5th FiSCAO meeting and based on the discussions from the 2nd PSCG meeting with the following Terms of Reference:

- a. The DSP-WG will consist of no more than three representatives from each Party including a technical expert, and no more than two representatives from any one external group, as appropriate.
- b. The DSP-WG will meet on a timeline determined by the working group with a data management policy and sharing protocols plan available for review and discussion at the fall 2022 Provisional Science Coordinating Group (PSCG).
- c. The DSP-WG will meet in two phases to: 1) identify the framework and specific policy components to be developed and 2) identify appropriate technical requirements.
  - i. The DSP-WG will draft a hybrid framework that recognizes
  - ii. A centralized data management system collected specifically for the JPSRM, and
  - iii. A distributed data management system for relevant accessible data collected in the JPSRM area.
- d. The DSP-WG will consider other international data management policies and sharing protocols to benefit from state-of-the-art agreements already in use.

In summary, the JPSRM will follow an ecosystem approach to assess: 1) the status of knowledge regarding marine ecosystem structure and function in the Agreement Area and adjacent waters, and identify gaps in knowledge of ecosystem components and functions, 2) the prospects and potential sustainability of commercial fisheries in the Agreement Area, 3) the potential impacts of such commercial fisheries on the marine ecosystems linked to the central Arctic Ocean, and 4) the potential impacts of commercial fisheries on Arctic Indigenous communities and local communities that depend on marine ecosystems for sustainable subsistence harvests. The JPSRM mapping and monitoring phases will enable the SCG to acquire and evaluate the information needed to make decisions that support the goals of CAOFA with respect to the management, sustainable use, and conservation of marine living resources in the central Arctic Ocean.

### 1.3 Geography

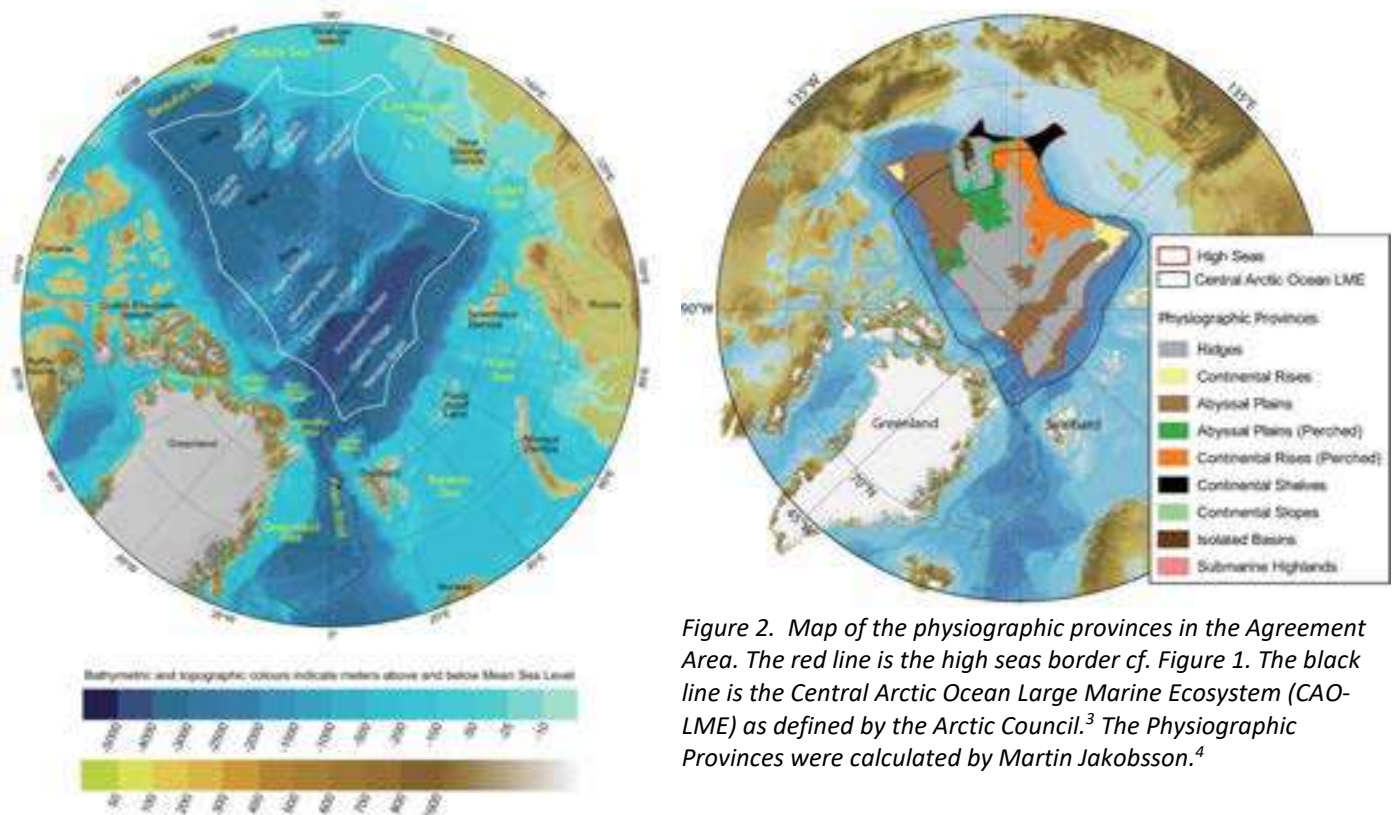


Figure 1. Bathymetric map of the central Arctic Ocean. The Agreement Area is situated within the white line, i.e., the high seas border extending 200 nautical miles from coastal baselines. The background map was extracted from the International Bathymetric Chart of the Arctic Ocean.<sup>2</sup>

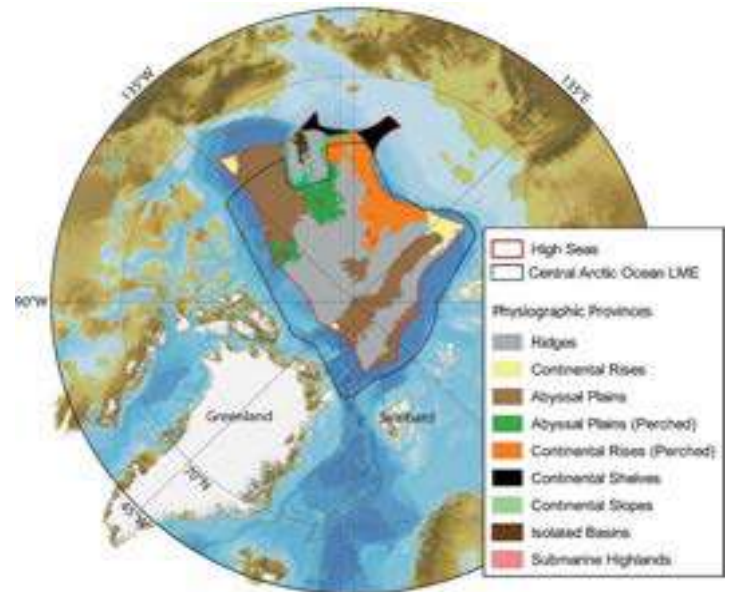


Figure 2. Map of the physiographic provinces in the Agreement Area. The red line is the high seas border cf. Figure 1. The black line is the Central Arctic Ocean Large Marine Ecosystem (CAO-LME) as defined by the Arctic Council.<sup>3</sup> The Physiographic Provinces were calculated by Martin Jakobsson.<sup>4</sup>

Because the issues to be addressed by CAOFA require information about marine ecosystems distributed broadly across the central Arctic Ocean as well as nearby areas, the JPSRM focuses on three ecologically linked zones: 1) the waters within the Agreement Area boundaries, 2) the continental shelf/slope areas peripheral to the Agreement Area, and 3) the Pacific and Atlantic marine gateways. The gateways are defined as the regions of substantial oceanographic flux between marginal seas of the Arctic Ocean and neighboring basins.

The Agreement Area itself comprises the high seas of the central Arctic Ocean, a 2.8 million km<sup>2</sup> area around the North Pole, that is surrounded by waters within which Canada, the Kingdom of Denmark in respect of Greenland, the Kingdom of Norway, the Russian Federation and the United States of America exercise fisheries jurisdiction. (Figure 1). The Agreement Area is characterized by several oceanic physiographic provinces (e.g. Figure 2). It mainly consists of permanently (winter and summer) ice-covered deep ocean (33.3% abyssal plains, 43.5% submarine ridges, 14.8% continental rises), but also some shallower areas that are not permanently ice-covered (3.3 % continental shelves, 3.2% continental slopes, 1.2% submarine highlands, 0.7% isolated basins). The latter areas could be expected to contain more living marine resources (e.g. fish, squid, crabs, marine mammals, and seabirds) than the permanently ice-covered deep ocean area. For clarity, the Agreement Area is not the same as the

Central Arctic Ocean Large Marine Ecosystem (CAO-LME) as defined by the Arctic Council based on ecosystem parameters (Figure 2).

The sea ice cover in the Agreement Area is gradually decreasing as a result of climate change. Today the major effect of warming in the central Arctic Ocean is that the ice is thinning and becoming more dynamic as winds can move thinner ice more easily. Within decades, most of the Agreement Area is expected to be accessible by non-icebreaking vessels, including fishing vessels, in late summer (August-October), but will likely still be covered by sea ice during the rest of the year.

The Agreement Area is not isolated. Its ecosystems are ecologically linked to peripheral Arctic shelf/slope ecosystems (visible as lighter marine zones in Figure 1) and Atlantic and Pacific gateways through physical, chemical, and biological processes intrinsic to ecosystem functioning. Climate change will likely alter the nature of those linkages (e.g., by northward transport of heat and changing distributions of species neighboring subarctic and Arctic areas into the Agreement Area). Such aspects should be covered by the JPSRM as well if they are relevant for the ecosystems of the Agreement Area. The Atlantic and Pacific gateways (and adjacent shelves and slopes) are recognized as priority subareas to monitor because of their strong influences on the Arctic Ocean through the transport of water, heat, nutrients, and plankton from subarctic to Arctic area. These regions are also important seasonal and long-term migration corridors supporting distributional shifts of fish, marine mammals, birds, and crustaceans.

The Agreement recognizes the importance of involving Indigenous peoples and local peoples who live in coastal communities that depend on the bordering seas of the Agreement Area. Their involvement together with the science community promotes a holistic approach to incorporating coastal communities into the understanding the Arctic Ocean ecosystems and decisions made under the Agreement. A large portion of the bordering seas of the Agreement Area are offshore of the Inuit Homeland in Greenland, Canada, United States, and Russia (Figure 3). Figure 4 illustrates the distribution of Indigenous peoples in the Russian Federation.

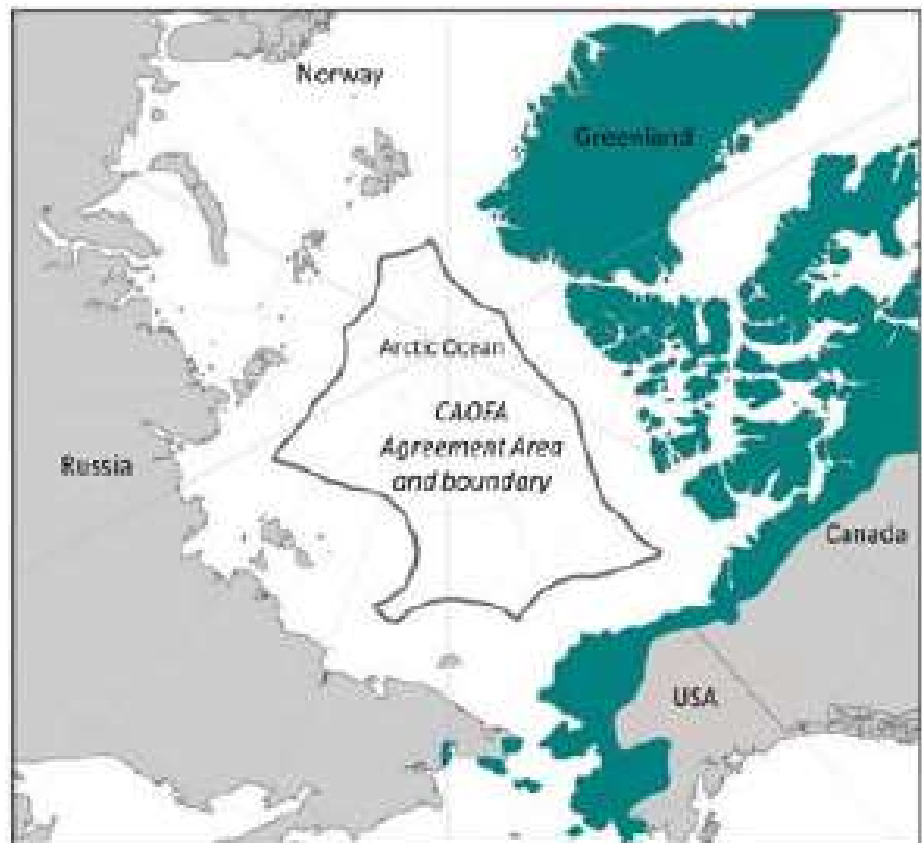
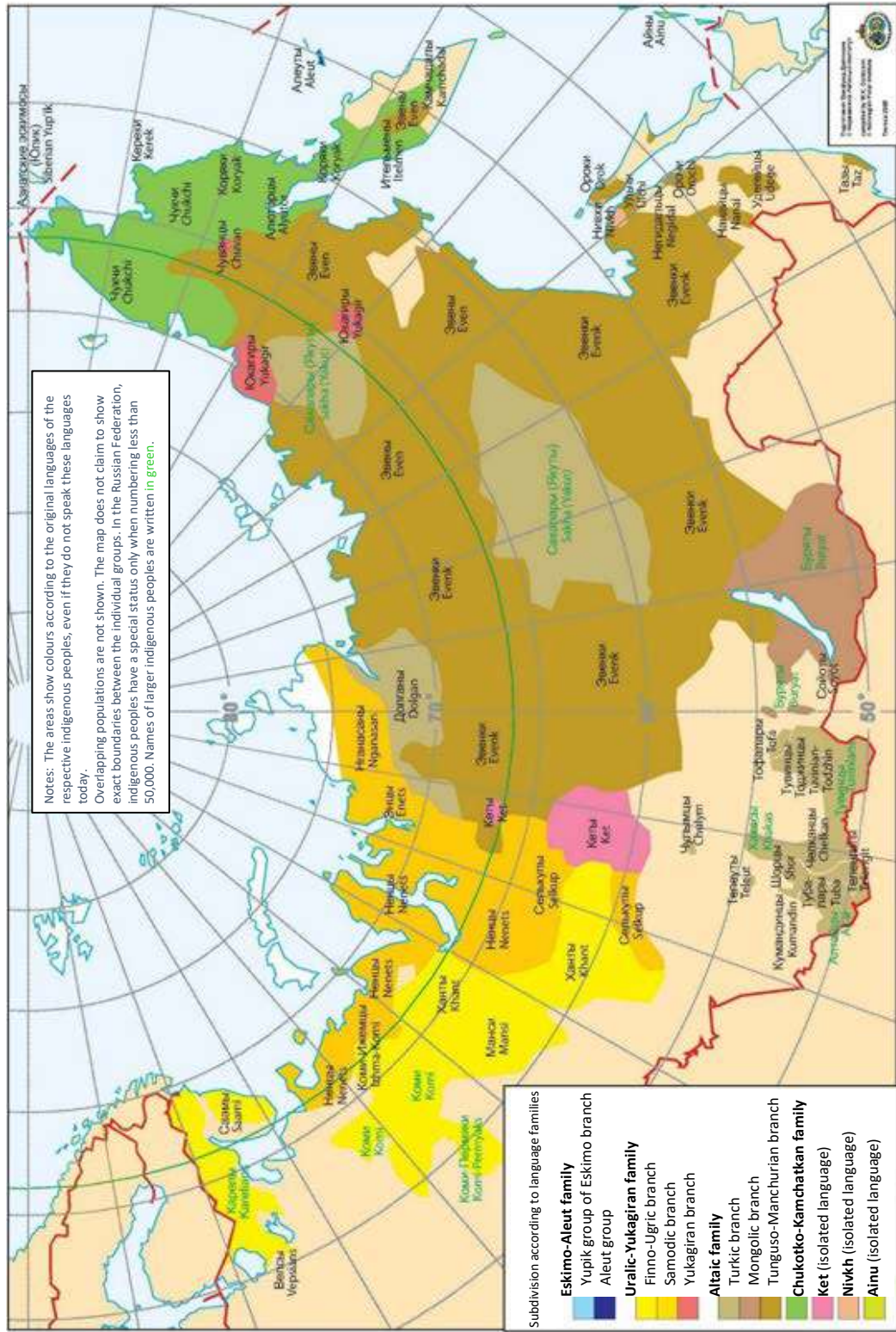


Figure 3. Proximity of the Inuit Homeland to the CAOFA Agreement Area and boundary. Dark gray line indicates the boundary of the CAOFA Agreement Area. Dark green terrestrial areas show the Inuit Homeland as described by the Inuit Circumpolar Council.<sup>1</sup>

Figure 4. Indigenous peoples of the North, Siberia, and Far East of the Russian Federation. [This figure is based on information compiled by W.K. Dallmann and published by the Norwegian Polar Institute, Tromsø (2005), but modified here to show only the distribution of Indigenous peoples in the Russian Federation.]



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## 2 Information Needs

### 2.1 Research and monitoring questions of the JPSRM

To guide the development of the JPSRM with a view to achieve its aim, the PSCG drafted a list of questions that were presented to the COP in November 2022 and detailed in the Report of the Third Meeting of the PSCG (Appendix 7). Those questions were developed and refined building on the work of two meetings of the Scientific Experts on Fish Stocks in the Central Arctic Ocean (FISCAO) (Appendices 3, 4), three meetings of the Provisional Science Coordinating Group (PSCG) (Appendices 5, 6, 7). During its meeting in November 2022, the COP endorsed the two meeting reports of the PSCG report, indicating support for the work of the PSCG on the JPSRM, including the list of questions for the JPSRM developed and revised (Table 1), while also recognizing that different views existed on some questions. In particular, there was not consensus regarding the relevance of some human activities (e.g., ship noise, ship traffic, industrial activity, and pollution) to sustainable fisheries. Some delegations also expressed the need to prioritize the work of the SCG, on the objectives of the Agreement.

### 2.2 Prioritizing information needs

Answering the research and monitoring questions as presented in Table 1 will require focus on specific information needs (e.g., geographic areas and scales, seasonality and temporal scales, species, parameters to measure, existing information gaps). Those information needs cover many diverse topics whose relative importance and urgency will need to be evaluated as programmatic priorities are established and implemented. In the Mapping and Monitoring phase, the specific information needs will result from information gaps in geographical coverage and use of different sampling gear types.

Recognizing that there are practical limits to how and when such information can be developed into useful products and advice to the COP, the SCG will identify and set priorities for an achievable set of targets in the JPSRM implementation plan. Examples of some of the topics to be considered and assigned priorities in the JPSRM implementation plans are outlined in Appendices 8 and 9.

### 2.3 Sources of research and monitoring information

Article 4.4 of the Agreement directs Parties to ensure that the JPSRM takes into account the work of relevant scientific and technical organizations, bodies and programs, as well as Indigenous Knowledge and local knowledge. The SCG recognizes that all of these sources of knowledge can provide valuable insights relevant to achieving the aim of the JPSRM.

There are many sources of currently available scientific research and monitoring information relevant to the JPSRM: 1) data collected jointly for the SCG through dedicated efforts by Parties' national research programs, 2) data and reports from external groups active in the Arctic, and 3) published literature and results of recent research expeditions. Whenever possible, the SCG and its working groups will seek opportunities to utilize relevant information from published literature as well as reports and data products from external groups (e.g., national research programs, multi-lateral research initiatives, and international programs).

*Table 1. Research and monitoring questions guiding the work of the Joint Program of Scientific Research and Monitoring (JPSRM) (Appendix 2).*

<b>Overarching question</b>	<b>Specific questions</b>
1. What are the distributions of species with a potential for future commercial harvests in the Central Arctic Ocean?	a. What fish species are currently present in the high seas? b. Do fishable concentrations of commercial species exist in the high seas? c. What are their distributions and abundance patterns? d. What are their local life-history strategies, habitat associations, and demographic patterns? e. Do these strategies, associations, or patterns differ among regions of the Arctic?
2. What other information is needed to provide advice necessary for future sustainable harvests of commercial fish stocks and maintenance of dependent ecosystem components?	a. What are the trophic linkages among fishes and between fishes and other taxonomic groups (i.e. quantify food webs, including identifying keystone forage species)? b. How do fish species abundances and distributions vary in response to climate variability (e.g. time scale of change, extreme events, declining sea ice, and biogeochemical changes)? c. Can the species be harvested sustainably with respect to both target fish stocks and dependent parts of the ecosystem? If not, what are the prospects for the development of fisheries in the future?
3. What are the likely key ecological linkages between potentially harvestable fish stocks of the central Arctic Ocean and the adjacent shelf ecosystems which includes support for Indigenous communities and local communities?	a. What are the connections between fish in the High Seas and those in the adjacent regions? b. What are the mechanisms that establish and maintain these linkages? c. How might fisheries in the High Seas and that in the adjacent and congruent portions of the shelf ecosystems interact, including fish stocks, fishable invertebrates (crabs, shrimp, mollusks), marine mammals, birds, and fisheries-dependent communities (which include those communities that are dependent on subsistence harvests of fish, invertebrates, and mammals)?
4. Over the next 10-30 years, what changes in fish populations, dependent species and the supporting ecosystems may occur in the central Arctic Ocean and the adjacent shelf ecosystems?	a. Which marine species will likely increase and decrease in population size and/or productivity in the central Arctic Ocean in the next 10-30 years? b. What changes in production and key linkages are expected in the coming 10-30 years? c. What northward population expansions are expected in the next 10-30 years? d. What are the anticipated impacts of change in ocean acidification in the next 10-30 years? e. How will existing and increased human activity and pressures in the region likely affect fish populations and ecosystems, which includes support for Indigenous communities and local communities, in the next 10-30 years? f. How could increased fishing activity affect bycatch species, seabirds, migratory and wide-ranging marine mammals, and Indigenous communities and local communities that depend upon these species to sustain their ways of living?
5. What Indigenous knowledge and local knowledge is available, and how can it be taken into account, to inform ecological baselines?	

Dedicated JPSRM expeditions in the Arctic Ocean may be organized to fill existing data gaps in accordance with the Implementation Plan to be developed. Considering the time and financial cost of such expeditions, the SCG should establish spatial and topical priorities to promote efficient data collection. As needed, the SCG may also encourage and organize coordinated or synoptic surveys, monitoring, and new initiatives implemented with national and international collaborators (Table 2). For example, recent scientific expeditions and projects have collected valuable ecosystem and fish data in the Agreement Area (e.g., the international MOSAiC expedition,<sup>7</sup> 2019-2020; CHINARE Arctic expeditions, 2019-2021; several SAS expeditions, 2020-2022;<sup>8</sup> the INTAROS<sup>9</sup> project that established a Pan-Arctic collaboration between organizations, programs and projects involved in developing Arctic observing systems, 2017-2022; and other recent programs and projects relevant to the central Arctic Ocean<sup>10,11,12,13,14</sup>). Where feasible, information from complementary international science efforts should be leveraged to inform the JPSRM, such as the integration of circumpolar monitoring data on focal ecosystem components in the CAO and surrounding Arctic marine areas by the Circumpolar Biodiversity Monitoring Program (CBMP),<sup>15</sup> reports by the ICES/PICES/PAME Working Group on the Integrated

Ecosystem Assessment for the Central Arctic Ocean (WGICA),<sup>16</sup> monitoring in the Atlantic and Pacific gateways by groups such as the Joint Russian-Norwegian Working Group on Arctic Fisheries<sup>17</sup> in the Barents Sea, the Distributed Biological Observatory (DBO)<sup>18</sup> in the Pacific gateway since 2010, and the joint Iceland-Greenland capelin and ecosystem survey in Iceland sea and Greenland sea on the western side of the Atlantic gateway the Russian–American Long-term Census of the Arctic (RUSALCA) from the Bering Strait to the northwestern Chukchi Sea since 2004, the Joint PICES/ICES Working Group on the Integrated Ecosystem Assessment for the Northern Bering Sea - Chukchi Sea<sup>19</sup>, U.S. fish and marine mammal surveys in the northern Bering, Chukchi, and Beaufort Seas, and the North Pacific Research Board’s Arctic Program.<sup>20</sup>

In addition to scientific knowledge,<sup>1</sup> Indigenous Knowledge offers an opportunity to develop a holistic understanding of Arctic ecosystems to inform and support the design of the JPSRM. The Inuit Circumpolar Council has defined Indigenous Knowledge as:

Indigenous Knowledge is a systematic way of thinking applied to phenomena across biological, physical, cultural, and spiritual systems. It includes insights based on evidence and acquired through direct and long-term experiences and extensive and multigenerational observation, lessons, and skills. It has developed over millennia and is still developing in a living process, including knowledge acquired today and in the future, and it is passed on from generation to generation.<sup>1,37</sup>

For example, Indigenous Peoples who live along the U.S. Arctic coast of Alaska hold extensive knowledge of the Pacific Gateway and are involved in guiding the research together with science in the region, especially in the North Slope of Alaska. They possess extensive Indigenous Knowledge of the region, such as related to bowhead whales, other marine mammals, ship strikes, ocean currents, and the arrival of new species in the area. In the Inuvialuit settlement region of Canada, where Inuit and the government of Canada co-manage the resources, Indigenous Peoples living in these areas see first-hand the environmental changes that are occurring, especially when there are extreme events.]

### 3 Scientific Coordinating Group Responsibilities under the JPSRM

#### 3.1 Mapping and monitoring

The CAOFA requires the Parties to establish a JPSRM with the aim of improving the understanding of the ecosystems of the Agreement Area and, in particular, of determining whether fish stocks might exist in the Agreement Area now or in the future that could be harvested on a sustainable basis and the possible impacts of such fisheries on the ecosystem of the Agreement Area. For achievement of goals claimed in Article 4.1 and 4.2, A principal goal of the JPSRM is to provide the key information needed to develop answers to the research and monitoring questions that will enable the SCG to develop useful advice to the COP. The mapping phase of the JPSRM will provide a current understanding of species distributions, relative abundances, and population structure in relation to biotic and abiotic factors. The monitoring phase of the JPSRM will focus on identifications of temporal variability or trends in species distribution or ecosystem productivity. As noted above, the JPSRM’s mapping and monitoring phases will utilize several sources of information including data collected by the Parties’ national research programs as

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<sup>1</sup> Scientific knowledge is defined as means knowledge obtained and tested through use of the scientific method. Scientific knowledge may also include the observation and classification of facts with the goal of establishing verifiable knowledge derived through induction and hypothesis.



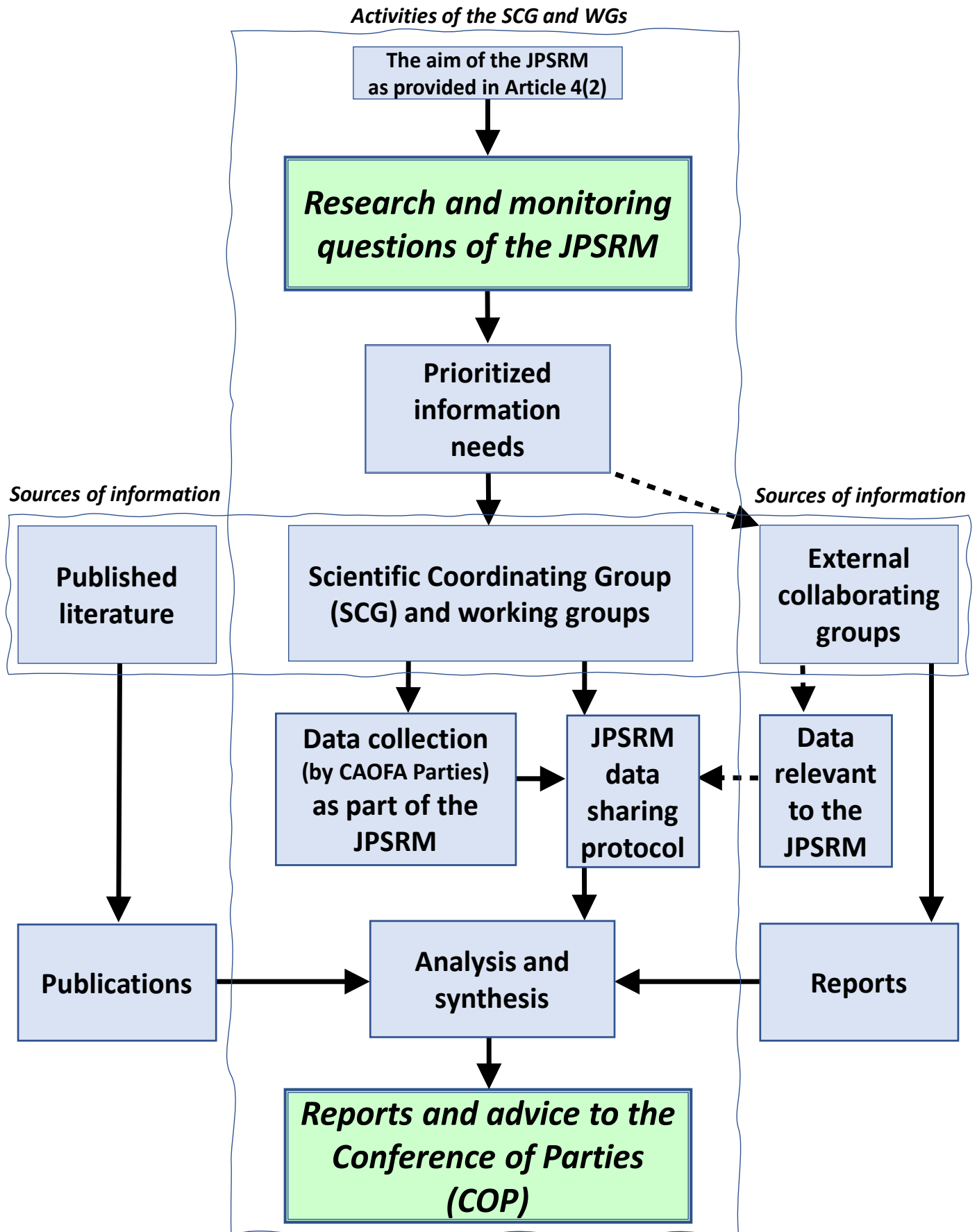
well as data and reports obtained through published literature and collaborators external to the SCG. In the monitoring phase, the SCG shall seek deep intervention/participation/collaboration in national and international programs.

As detailed plans are developed for the implementation of the mapping and monitoring phases of the JPSRM, special attention should be given to identifying work relevant to the JPSRM that is already underway by external organizations and research initiatives, including efforts organized and led by Indigenous communities and organizations and local communities. It is clear that the Parties and the SCG cannot accomplish all of the JPSRM goals on their own. The high cost, logistical realities, and geographic breadth of the Arctic mapping and monitoring envisioned by the JPSRM require a collaborative approach. Several excellent research and monitoring programs currently exist that are collecting and analyzing data that are highly relevant to JPSRM goals (e.g., distribution and abundance, stock assessments, population status reviews, trophic interactions, and integrated ecosystem assessments). Therefore, the SCG will strive to encourage and promote the development of productive collaborations (and to avoid duplication) with some of the many external Arctic research groups (Table 2) to share data, logistical platforms, and scientific expertise.

Table 2. Examples of Arctic organizations and research initiatives that may be interested in sharing data and collaborating with the SCG through the JPSRM.

Group type	Group name	Acronym
Inter-governmental and international organizations	Arctic Council – Conservation of Arctic Flora and Fauna <sup>21</sup>	CAFF
	Arctic Council – Protection of the Arctic Marine Environment <sup>22</sup>	PAME
	Arctic Council – Arctic Monitoring and Assessment Program <sup>23</sup>	AMAP
	Arctic Council – Sustainable Development Working Group <sup>24</sup>	SDWG
	CAFF Circumpolar Biodiversity Monitoring Program-Marine <sup>15,25</sup>	CBMP-M
	CAFF Circumpolar Biodiversity Monitoring Program-Coastal <sup>26</sup>	CBMP-C
	ICES/PICES/PAME Working Group on Integrated Ecosystem Assessment for the Central Arctic Ocean <sup>27</sup>	WGICA
	International Arctic Science Committee <sup>28</sup>	IASC
	International Council for the Exploration of the Sea <sup>29</sup>	ICES
Inuit Circumpolar Council <sup>30</sup> ICC	Joint PICES/ICES Working Group on the Integrated Ecosystem Assessment for the Northern Bering Sea - Chukchi Sea <sup>19</sup>	PICES WG-44
	North Pacific Marine Science Organization <sup>31</sup>	PICES
	Pacific Arctic Group <sup>32</sup>	PAG
Multi-lateral research initiatives	Distributed Biological Observatory <sup>5</sup> (Pacific and Atlantic) <sup>33</sup>	DBO
	Drift Platform <i>Severny Polyus</i> <sup>34</sup>	DPSP
	European Fisheries Inventory in the Central Arctic Ocean <sup>35</sup>	EFICA
	Integrated Arctic Observations System <sup>9</sup>	INTAROS
	Multidisciplinary Drifting Observatory for the Study of Arctic Climate <sup>7</sup>	MOSAic
	North Pacific Research Board <sup>20</sup>	NPRB
	Pacific Arctic Climate Ecosystem Observatory	PACEO
	Pan-Arctic Observing System of Systems <sup>36</sup>	Arctic PASSION
	Synoptic Arctic Survey <sup>8</sup>	SAS
Tara Polar Station <sup>37</sup>	TPS	

Figure 5. From questions to advice – a framework of prioritized needs, information sources, processes, integration, and collaboration in support of the CAOFA Joint Program of Scientific Research and Monitoring (JPSRM) (solid lines indicate flow of information and products; dotted lines indicate pathways that may be agreed with external collaborators).



To answer key parts of the research and monitoring questions, the SCG will seek to organize dedicated field surveys to collect necessary information relevant to the priorities and topics as outlined in Appendices 8 and 9, which will be incorporated as part of the JPSRM implementation plan. Those efforts will be undertaken, as possible, through collaboration and joint support among the Parties' national research programs. The JPSRM implementation plan(s) will refine the specific information that will be needed (e.g., priority locations and seasons, parameters/indicators to map and monitor, types and frequency of data collection, analytical approaches).

A schematic framework describing the SCG's processes for using the JPSRM to move "From Aim to Questions to Advice" is presented in Figure 5. This framework identifies the JPSRM activities that the SCG and its working groups will conduct directly plus the contributions likely to be made by external groups and sources of relevant information.

### *3.2 Data management and sharing protocol*

In accordance with Central Arctic Ocean Fisheries Agreement (CAOFA) and the *Recommendation of PSCG to Establish a PSCG Data Sharing Protocol Working Group (DSP-WG)* approved by the COP on May 31, 2022, the Data Management and Sharing Protocol shall be part of the Joint Program of Scientific Research and Monitoring (JPSRM), which builds upon the draft plan from the 5<sup>th</sup> FiSCAO meeting and was informed by the discussions during the PSCG meetings in 2022. This hybrid framework of Data Management and Sharing Protocol consists of a centralized data management system collected specifically for the JPSRM and a distributed data management system for relevant accessible data not directly associated with the JPSRM, also taking into account other international data management policies and sharing protocols and public data portals/repositories.

#### Objective

1. For the purpose of the CAOFA, this Data Management and Sharing Protocol shall serve as part of the JPSRM to promote data sharing efficiency towards the achievement of the JPSRM aim.

#### Data management

2. This Data Management and Sharing Protocol adopts a hybrid framework for data management to include the following:
  - a. Data collected under the JPSRM are managed in a centralized data archive.
  - b. Data collected by national scientific programs, and from sources external to the SCG and the Agreement that are relevant to the review and implementation of CAOFA are recorded and maintained through distributed data archives.
3. The SCG is responsible for the overall coordination of data management and data sharing. Specific responsibilities include:
  - a. Identify roles and responsibilities of a Data Management Secretariat who will be responsible to store the JPSRM data managed in the centralized data archive and coordinate metadata of the original data in the distributed data archives.
  - b. Identify the content and method of collection and sharing of Indigenous Knowledge and local knowledge.

- c. Adopt and when necessary develop new standards and formats for data collection and management in accordance with international standards and following the internationally mandated principles of FAIR, CARE, TRUST, and EEE.<sup>2</sup>
  - d. Consider data submission time for different JPSRM datasets.
  - e. Consider potential embargo times for public accessibility of the different JPSRM datasets.
4. Establish a Data Management Secretariat to coordinate the collection, manage, and share the data managed through the centralized data archive.<sup>3</sup> Specific responsibilities of the Secretariat include:
    - a. Inform all potential contributors of data to the JPSRM of the data management process under the Agreement and ensure that data will be made available swiftly and reliably, following the principles of the JPSRM data management plan.
    - b. Develop and maintain the data management and sharing system.
    - c. Ensure that JPSRM data and metadata are complete prior to acceptance.<sup>4</sup>
    - d. Facilitate access by Parties of the JPSRM data for the purpose of implementation of the CAOFA.
    - e. Facilitate inclusion and sharing of Record metadata for other scientific data relevant to the JPSRM from distributed data archive where appropriate.
  5. The centralized data archive shall include the following:
    - a. Data collected under the JPSRM.
    - b. Indigenous Knowledge and local knowledge collected under the JPSRM.
    - b. Metadata collected by national scientific programs.
    - c. Metadata from relevant sources external to the SCG and the Agreement.
    - d. Citation list of publications related to JPSRM data, Indigenous Knowledge, or local knowledge.
  6. The distributed data archives may include the following information relevant to the implementation of CAOFA (harmonized text with 2b):
    - a. Other scientific data collected by other national scientific programs and other sources external to the SCG.
    - b. Historical data.
    - c. Environmental or ecological data.
    - d. Indigenous Knowledge and local knowledge as provided by its respective knowledge holders.
  7. The data collected under the JPSRM (JPSRM data) and managed by the centralized data archive shall include:
    - a. Raw data: the data recorded by observation equipment with minimal processing to remove extraneous values recorded between sampling events, and essential calibrations.
    - b. Quality controlled data: the data after quality control that can be directly used for mapping and ecosystem evaluation.

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<sup>2</sup> Added by EU.

<sup>3</sup> Comment from EU: We may consider writing instead: overseeing all data relevant to the JPSRM, including those from external sources.

<sup>4</sup> Comment from Canada: Are we referring to data validation / cleaning / remediation? If so, we would need to discuss how this "quality" will be checked? Further, what if the data transmitted are in such a state that cleaning it/ensuring quality places considerable workload on the individual handling it? Can the individual ask the Party to resend the dataset with corrections?

- c. Data products: the data generated from mapping and evaluation of fish stocks and the ecosystem.
8. The JPSRM data should be submitted in the following time:
    - a. The metadata will be submitted within one month after the completion of the data collection.
    - b. The raw data will be submitted within 3 months after the completion of the data collection.
    - c. The quality controlled data will be submitted within 1 year after the completion of the data collection with consideration for data quality control requirements consistent with section 3d.
    - d. Metadata collected by national scientific programs data may be submitted to the centralized data archive within 1 year after the completion of the data collection.
  9. The metadata for the centralized data archive will adopt the WMO Core Profile of the ISO 19115: Geographic Information Metadata standard.<sup>5</sup>
  10. The JPSRM data shall be quality controlled by the original data observer or the owner of the observation instrument to ensure the quality of the data being processed.
  11. Data submitted to the centralized data archive shall be quality checked by the Data Management Secretariat prior to acceptance into the archive.

#### Data sharing<sup>6</sup>

12. The JPSRM data shall be exchanged among all Parties in a free and unrestricted manner for the purpose of implementing the CAOFA.
13. Data collected from national programs, Indigenous Knowledge,<sup>7</sup> local knowledge, and international organizations shall respect national and international data policies.
14. The maximum duration prior to public sharing of JPSRM data would not exceed two years after the completion of the data collection (e.g., project or cruise). The implementation plan will address the level of data made publicly available.<sup>8</sup>
15. All Parties shall have equal rights and obligations regarding the management and sharing of data generated by the JPSRM.
16. The JPSRM data managed by the centralized data archive before public sharing will be password protected and accessible only by authorized Party individuals.

<sup>5</sup> Question from EU: Are there more metadata standards to consider?

<sup>6</sup> One example for a data sharing agreement is OBIS: <https://manual.obis.org/policy>

<sup>7</sup> Users are advised to consult the Circumpolar Inuit Protocols for the Equitable and Ethical Engagement: <https://hh30e7.p3cdn1.secureserver.net/wp-content/uploads/EEE-Protocols-LR-WEB.pdf>

<sup>8</sup> Suggestion of EU: Consider adding the reference to para 3(d)

17. For the purposes of implementing the CAOFA before public sharing, users shall directly apply to the Data Management Secretariat for access to JPSRM data, and the Secretariat shall directly provide the data upon confirmation.
18. For JPSRM scientific data intended for peer review publication, users shall apply directly to the data provider for review and final decision as to whether to use and publish the data.
19. For JPSRM Indigenous Knowledge intended for publication or public dissemination, acknowledging the unique nature of interpretation of Indigenous Knowledge, users shall apply directly to the knowledge provider for review and final decision as to whether to use and publish the knowledge.
20. For scientific data, Indigenous Knowledge or local knowledge collected under the JPSRM users shall apply directly to the data provider for possible use of the data in publication or any form of public dissemination not directly related to CAOFA, and the data provider has the final decision whether the data can be used and published. This practice is encouraged and should be followed after the two year data embargo (point 14) has ended.
21. The users shall apply directly to the data provider for access to data included in the distributed data archive. The data provider shall decide whether to share and provide data.
22. The JPSRM data will include data Digital Object Identifier (DOI) standards supported by international coordination groups such as the Research Data Alliance (RDA). The Data Management Secretariat shall entrust an existing organization to help data providers develop DOIs if their institutional or national data archive cannot provide the service.
23. When using the JPSRM data, the source of the data should be cited in the report or paper by means of DOI or in the acknowledgments department. If a published report or article uses data from different sources, specify the source of all the data.
24. A report or paper published using the JPSRM data, if the data provider or survey monitor contributed to the report or paper, the author of the paper or report should contact the data provider about whether to list the data provider or survey as a co-author.
25. The centralized data archive will develop a citation list of publications from the submitted citations. Whenever possible, the archive will use DOIs to link to a publication to its data source(s). The shared archive will make the citation list public via the archive website to provide a continuous record of applications and analyses of JPSRM data and JPSRM scientific achievements.

#### Terminology

26. *Centralized data management system* means that the data collected under the JPSRM are stored at a single physical location.
27. *Distributed data management system* means that the data collected by national program are stored by different programs or Parties.

28. *Metadata* are data that provides information about other data, but not the content of the data, such as the text of a message or the image of itself.
29. *Data provider* is the original entity that collected the information or provider of the information to the JPSRM archive.
30. *JPSRM data* are the scientific data, Indigenous Knowledge, or local knowledge collected under the JPSRM.

### 3.3 Reports and advice to the Conference of Parties (COP)

As products of the JPSRM, the SCG will submit bi-yearly summary reports to the COP based on JPSRM data collection, analyses, and syntheses. JPSRM participants will also be encouraged to publish their results in peer-reviewed journals to promote broad distribution and public awareness of the evolving ecosystem science occurring in the central Arctic Ocean.

The most important outcome of the JPSRM will be the scientific advice that the SCG will be able to generate and submit to the COP for its consideration. That advice will enable the COP to take science-informed decisions on important issues concerning management of possible central Arctic Ocean fisheries as well as their potential impacts on dependent and vulnerable species, Arctic marine ecosystems, and subsistence of Arctic Indigenous communities. To that end, it is important that the JPSRM collect data on all aspects of the CAO ecosystem to have the information that will be needed to provide advice based on sound science and Indigenous Knowledge.

## 4 External Sources of Data

### 4.1 Collaborations and protocols

Providing focused information and advice to the COP will require substantial efforts by many. Foremost will be the research and monitoring activities taken directly by the SCG through the implementation of the JPSRM, while collaborations with relevant Arctic groups external to the SCG will be helpful for the JPSRM to succeed. Wherever possible, the JPSRM will seek to solicit and develop collaborations with international and national expeditions, research projects, and monitoring programs. For example, existing Arctic programs could be encouraged to contribute to the aim of JPSRM. Similarly, it would be very helpful if existing and new research programs operating in Arctic shelf ecosystems and the Pacific and Atlantic gateways would consider incorporating JPSRM objectives into their sampling protocols and sharing of the data.

Collaborations in the Agreement Area and linked ecosystems involving joint expeditions, coordinated ships' cruise tracks, standardized sampling protocols, cooperative deployments of scientific moorings (e.g., acoustic, optical), and the sharing of samples, data, and analytical expertise will add tremendous strength to the JPSRM. Examples of groups and research initiatives external to the SCG that may be interested in collaborating with the SCG and Parties' national programs in support of the JPSRM are listed in Table 2. Details and plans for developing such collaborations will be developed as part of the JPSRM implementation plan.

There are many ways that the JPSRM can connect to established international and national expeditions planning research projects, and monitoring programs relevant to the objectives of the JPSRM (Table 3). In these cases, most of the costs for infrastructure and research are already financed and could be leveraged to collect additional data relevant for the JPSRM. The disadvantage to this approach is that the area, route, time and other parameters of the expedition will be decided by the expedition organizers and the JPSRM will have to work with the data collected. However, for projects that are still being planned or that will occur for several more years there may be opportunities for the SCG to become a project partner and therefore contribute to joint expedition planning and resourcing.

*Table 3. Possible opportunities for external groups to measure JPSRM indicators.*

<b>Type of expedition</b>	<b>Possibilities for the JPSRM</b>	<b>Costs for the JPSRM</b>
1. Dedicated icebreaker or drift platform for JPSRM research in the Agreement Area	Decide upon expedition area, route, time, etc. and collect the complete set of JPSRM indicators	Very high costs for ship/platform infrastructure and for JPSRM equipment and scientists
2. JPSRM owned buoys to be deployed by icebreakers opportunistically	Connect to scientific oceanographic expeditions for deployment	Development of buoys, e.g., ice-tethered buoy for fish and plankton research
3. Any icebreaker or drift platform equipped for scientific research in the Agreement Area	Include as many indicators of the JPSRM as possible in all scientific (geological, oceanographic, atmospheric, biological etc.) expeditions	Extra costs for adding fishery research (acoustics, long lines, ring nets, trawling, box coring, etc.) and JPSRM scientists to the expeditions
4. Any icebreaker or drift platform accessing the Agreement Area for other reasons than scientific research (tourism, etc.)	At least collection of hydroacoustic data for mapping fish distributions	Extra costs for equipping the vessels with acoustic equipment appropriate for JPSRM data collection. JPSRM scientists are only needed before and after the expedition
5. Vessels normally working in and near ice-covered waters in the Arctic and subarctic for scientific research or monitoring	Include as many indicators of the JPSRM as possible in all scientific (geological, oceanographic, atmospheric, biological, etc.) expeditions and extend the cruise track into the Agreement Area when the ice cover allows	Extra costs for adding fishery research (acoustics, long lines, ring nets, trawling, box coring, etc.) and for extending the expeditions into the Agreement Area when the ice cover allows
6. Fishery vessels normally working in the Arctic shelf seas for standard monitoring programs	Include as many indicators of the JPSRM as possible in the standard monitoring programs and extend the cruise track into the Agreement Area when the ice cover allows	Extra costs for extending the expeditions into the Agreement Area when the ice cover allows
7. Indigenous Knowledge	Include Indigenous Knowledge holders in the design and planning of scientific research expeditions as well as on expeditions themselves	Extra costs to support the engagement of Indigenous Knowledge holders
8. Local knowledge	Include expertise of individuals or organizations (e.g., commercial fishing captains, etc.) who have detailed knowledge of the CAOFA area in design and planning or execution of scientific research expeditions.	Extra costs to support the engagement of local knowledge holders.
9. Exploratory fishing	Collection of data concurrent to exploratory fishing	Costs to outfit exploratory fishing vessels and add observers



Existing national and international monitoring programs in the Arctic shelf seas could be prepared to go further north if ice conditions allow (see Appendix 5 for a recent compilation). Examples include the Joint Russian-Norwegian monitoring program in the Barents Sea,<sup>11</sup> the Chinese National Arctic Research Expedition in the Chukchi Sea, the Distributed Biological Observatory (DBO) in adjacent regions to the Agreement Area in the Pacific Arctic and complementary efforts developing for the Fram Strait, and the Pacific Arctic Climate Ecosystem Observatory (PACEO), which includes operations in both the Pacific gateway and the Agreement Area.

Examples of other possibilities for the JPSRM are to connect to upcoming scientific icebreaker expeditions and new initiatives. Examples of the latter are a Pan-Arctic Observing System of Systems, Arctic PASSION;<sup>12</sup> a research project organizing a pan-Arctic Observation and Monitoring action including plans for an Arctic-Atlantic DBO (started 2021); the new Russian drift platform *Severny Polyus*<sup>13</sup> designed for 2-years autonomous drifting in thick Arctic sea ice focusing on meteorology and oceanography (started 2022); and the *Tara Polar station*,<sup>14</sup> a research station that is planned to drift in the CAO continuously from 2025 to 2045 collecting on-site biological data.

#### 4.2 Data sharing and reports

The SCG will explore the possibility that some external collaborators listed in Table 4 may be interested in establishing a formal relationship with the SCG to support the JPSRM in their competences. For example, it is anticipated that certain intergovernmental research and monitoring programs (e.g., the Arctic Council's CAFF Circumpolar Biodiversity Monitoring Program (both CBMP marine and coastal groups) and ICES/PICES/PAME Working Group on Integrated Ecosystem Assessment for the Central Arctic Ocean (WGICA) may be amenable to providing data or preparing reports to the SCG focused on specific topics that address JPSRM questions and prioritized information needs (Table 4 and as illustrated by dotted lines in Figure 5). Integrating such information into SCG analyses and syntheses would likely be a very effective way to strengthen the JPSRM and the SCG's advice to the COP.

## 5 Implementation

### 5.1 JPSRM implementation plan

Although this framework document has outlined a broad vision of how the JPSRM will be structured, a considerable number of details still need to be formulated. Fortunately, discussions by the Provisional Scientific Coordinating Group (PSCG) in recent years raised several important topics and suggestions that may help to guide the development of the implementation plan. During past discussions, there was general agreement on the priorities of the Implementation Plan of the CAOFA JPSRM and a series of topics that should be addressed to provide details and priorities for the JPSRM. Appendix 8 lists the priorities of the Implementation Plan, and Appendix 9 lists some of these topics (as identified in previous meetings of FiSCAO and the PSCG). Additional information that will assist in developing the JPSRM implementation plan will be identified by the SCG and its working groups.

This implementation plan will build on and revise as needed the recommendations in Appendix 8 and Appendix 9 from previous PSCG and FiSCAO meetings.

## 5.2 *Provisional timeline*

The SCG will establish milestones and reports aiming for completion of the implementation plan by June 2024. The operational phase of the JPSRM can start immediately after the COP has approved the JPSRM Framework, e.g., by initiatives of single Parties or preferably groups of Parties. This means that data in the context of the JPSRM can already be collected before the Implementation Plan is in place. The Implementation Plan will be revised regularly.

Table 4. Examples of external Arctic groups that may be interested in helping to answer the JPSRM research and monitoring questions through collaboration with the SCG (see Table 2 for additional groups).

Overarching questions	Specific questions	Inter-governmental and international organizations	Multi-lateral research initiatives
1. What are the distributions of species with a potential for future commercial harvests in the central Arctic Ocean?	a. What fish species are currently present in the High Seas? b. Do fishable concentrations of commercial species exist in the High Seas? c. What are their distributions and abundance patterns? d. What are their local life-history strategies, habitat associations, and demographic patterns? e. Do these strategies, associations, or patterns differ among regions of the Arctic?	Circumpolar Biodiversity Monitoring Program-Marine ( <b>CBMP-Marine</b> ) Working Group on Integrated Ecosystem Assessment in the CAO ( <b>WGICA</b> ) International Council for the Exploration of the Sea ( <b>ICES</b> ) N. Pacific Marine Science Org( <b>PICES</b> )	Drift Platform <i>Severny Polyus</i> ( <b>DPSP</b> ) European Fish. Inventory in CAO ( <b>EFICA</b> ) Multidisciplinary Drifting Observatory for Study of Arctic Climate ( <b>MOSAiC</b> ) Pacific Arctic Climate Ecosystem Observatory ( <b>PACEO</b> ) Synoptic Arctic Survey ( <b>SAS</b> )
2. What other information is needed to provide advice necessary for future sustainable harvests of commercial fish stocks and maintenance of dependent ecosystem components?	a. What are the trophic linkages among fishes and between fishes and other taxonomic groups (e.g., quantify food webs identifying keystone forage species)? b. How do the abundances and distributions of species of potential commercial interest vary as a function of climate variability (e.g., time scale of change, extreme events, declining sea ice, and biogeochemical changes)? c. Can the species be harvested sustainably with respect to both target stocks and dependent parts of the ecosystem? If not, what are the prospects for the development of fisheries in the future?	Circumpolar Biodiversity Monitoring Program-Marine ( <b>CBMP-Marine</b> ) Working Group on Integrated Ecosystem Assessment in the CAO ( <b>WGICA</b> ) International Council for the Exploration of the Sea ( <b>ICES</b> ) North Pacific Marine Science Organization ( <b>PICES</b> ) Pacific Arctic Group ( <b>PAG</b> )	European Fisheries Inventory in the CAO ( <b>EFICA</b> ) Pacific Arctic Climate Ecosystem Observatory ( <b>PACEO</b> ) Synoptic Arctic Survey ( <b>SAS</b> )
3. What are the likely key ecological linkages between potentially harvestable fish stocks of the central Arctic Ocean and the adjacent shelf ecosystems that support Indigenous and local communities?	a. What are the connections between fish in the High Seas and those in the adjacent regions? b. What are the mechanisms that establish and maintain these linkages? c. How might fisheries in the High Seas affect adjacent and congruent portions of shelf ecosystems, including fish stocks, fishable invertebrates (crabs, shrimp, mollusks), marine mammals, birds, and fisheries-dependent communities (which include those communities that are dependent on subsistence harvests of fish, invertebrates, and mammals)?	Circumpolar Biodiversity Monitoring Program-Marine ( <b>CBMP-Marine</b> ) Working Group on Integrated Ecosystem Assessment in the CAO ( <b>WGICA</b> ) International Council for the Exploration of the Sea ( <b>ICES</b> ) North Pacific Marine Science Organization ( <b>PICES</b> ) Pacific Arctic Group ( <b>PAG</b> )	Distributed Biological Observatory ( <b>DBO</b> ) European Fish. Inventory in CAO ( <b>EFICA</b> ) Integrated Arctic Observations System ( <b>INTAROS</b> ) Multidisciplinary Drifting Observatory for Study of Arctic Climate ( <b>MOSAiC</b> ) Pacific Arctic Climate Ecosystem Observatory ( <b>PACEO</b> ) Synoptic Arctic Survey ( <b>SAS</b> )
4. Over the next 10-30 years, what changes in fish populations, dependent species and the supporting ecosystems may occur in the central Arctic Ocean and the adjacent shelf ecosystems?	a. What marine species will be productive in the Agreement Area in the next 10-30 years? b. What changes in production and key linkages are expected in the coming 10-30 years? c. What northward population expansions are expected in the next 10-30 years? d. What are the anticipated impacts of changes in ocean acidification in the next 10-30 years? e. How will increased human activity in the region (e.g., ship noise, ship traffic, industrial activity, and pollution affect fish populations, dependent species, ecosystem health, and Indigenous and local communities in the next 10-30 years? f. How will increased fishing activity affect other species bycatch, migratory and wide-ranging marine mammals and birds, and the Indigenous and local communities that depend upon these species to sustain their ways of living?	Circumpolar Biodiversity Monitoring Program-Marine ( <b>CBMP-Marine</b> ) Working Group on Integrated Ecosystem Assessment in the CAO ( <b>WGICA</b> ) International Council for the Exploration of the Sea ( <b>ICES</b> ) North Pacific Marine Science Organization ( <b>PICES</b> ) Pacific Arctic Group ( <b>PAG</b> )	Distributed Biological Observatory ( <b>DBO</b> ) European Fisheries Inventory in the CAO ( <b>EFICA</b> ) Integrated Arctic Observations System ( <b>INTAROS</b> ) Multidisciplinary Drifting Observatory for Study of Arctic Climate ( <b>MOSAiC</b> ) Pacific Arctic Climate Ecosystem Observatory ( <b>PACEO</b> ) Synoptic Arctic Survey ( <b>SAS</b> )
5. What Indigenous Knowledge is available to inform ecological baselines?		Inuit Circumpolar Council ( <b>ICC</b> )	

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## 10 List of Abbreviations

ABNJ	Areas Beyond National Boundaries
AMAP	Arctic Monitoring and Assessment Program (a working group of the Arctic Council)
AIERP	Arctic Integrated Ecosystem Research Program (a research initiative of the U.S. North Pacific Research Board)
AMAP	Arctic Mapping and Assessment Program (a working group of the Arctic Council)
PASSION	Pan-Arctic Observing System of Systems
CAFF	Conservation of Arctic Flora and Fauna (a working group of the Arctic Council)
CAO	Central Arctic Ocean
CAOFA	Central Arctic Ocean Fisheries Agreement
CBMP	Circumpolar Biodiversity Monitoring Program (a circumpolar program of the Arctic Council's CAFF WG)
CAOFA COP	Conference of the Parties of the Central Arctic Ocean Fisheries Agreement
CDOM	Chromophoric (or Colored) Dissolved Organic Matter
CTD	Oceanographic instrument for measuring conductivity (salinity), temperature and depth in the water column
DBO	Distributed Biological Observatory
DOI	Digital Object Identifier
DPSP	Drift Platform <i>Severny Polyus</i>
DSP-WG	Data Sharing Protocol Working Group of the SCG
EEZ	Exclusive Economic Zone
EFICA	European Fisheries Inventory in the Central Arctic Ocean Consortium
EU	European Union
FiSCAO	Scientific Experts On Fish Stocks In The Central Arctic Ocean

IASC	International Arctic Science Committee
ICC	Inuit Circumpolar Council
ICES	International Council for the Exploration of the Sea (intergovernmental)
IEA	Integrated Ecosystem Assessment
IK	Indigenous Knowledge
LK	Local knowledge
INTAROS	Integrated Arctic Observations System
JPSRM	Joint Program of Scientific Research and Monitoring
LME	Large Marine Ecosystem (developed by the USA NOAA to identify ocean areas for conservation purposes)
MM-WG	Mapping and Monitoring Working Group of the SCG
MOSAIC	Multidisciplinary drifting Observatory for the Study of Arctic Climate
NPRB	North Pacific Research Board
PACEO	Pacific Arctic Climate Ecosystem Observatory (a international research initiative of the Pacific Arctic Group)
PAG	Pacific Arctic Group
PAME	Protection of the Arctic Marine Environment (a working group of the Arctic Council)
PICES	North Pacific Marine Science Organization (intergovernmental)
PSCG	Provisional Scientific Coordinating Group
SAS	Synoptic Arctic Survey
SCG	Scientific Coordinating Group
SDWG	Sustainable Development Working Group (a working group of the Arctic Council)
TPS	Tara Polar Station
WGICA	ICES/PICE/PAME Working Group on the Integrated Ecosystem Assessment for the Central Arctic Ocean

Appendix 8: Data needed to fulfill the goals of the CAOFA JPSRM

1. Indicators, devices and methods

A broad set of JPSRM indicators, devices and methods will be tested during the three-year mapping phase. At the end of the mapping phase, the efficiencies of each of the indicators and the efforts to obtain reliable measurements will be evaluated. For the subsequent 13-year monitoring phase a smaller number of quantitative monitoring indicators will be selected for the JPSRM. During both the mapping and monitoring phases intercalibration of methods will take place regularly, and other forms of calibration and collaboration, e.g., the exchange of samples, will be facilitated within the JPSRM to maintain data consistency and allow data to be combined in analyses.

Table 3: JPSRM indicators in relation to the overarching research questions of the JPSRM (cf. Table 1). JPSRM question 5 is not included in the table as it asked about ILK data availability; it was not a question that would be addressed by the JPSRM. Ice camps including shorter or longer periods when an icebreaker is drifting with the ice with engines turned off)

Overarching question	JPSRM Indicator	Ecosystem parameter / knowledge gained
1. What are the distributions of species with a potential for future commercial harvests in the Agreement Area?	<p><b>Hydroacoustics with standardized settings</b></p> <ul style="list-style-type: none"> <li>• Area scattering coefficient (NASC), 18, 38, 70 Khz, 0-800 m depth</li> <li>• Collected during open water or ice camps</li> </ul>	Fish abundance and biomass
	<p><b>Catch per unit effort with standardized long lines</b></p> <ul style="list-style-type: none"> <li>• Number of fish by species</li> <li>• Age distribution</li> <li>• Length distribution</li> <li>• Weight distribution</li> <li>• Collected during open water or ice camps</li> </ul>	Fish species, age and size distributions [+Calibration of acoustic data (target strength)]
	<p><b>Catch per effort with standardized trawling in larger leads and open-water areas</b></p> <ul style="list-style-type: none"> <li>• Number of fish by species</li> <li>• Age distribution</li> <li>• Length distribution</li> <li>• Weight distribution</li> <li>• Collected during open water or ice camps</li> </ul>	Fish species, age and size distributions [+Calibration of acoustic data (target strength)]
	<p><b>Population demographics</b></p> <ul style="list-style-type: none"> <li>• Sex</li> <li>• Maturity</li> <li>• Fecundity</li> <li>• Length frequency</li> <li>• Collected during open water or ice camps</li> </ul>	Population trends
	<p><b>Box-core sediment otoliths</b></p> <ul style="list-style-type: none"> <li>• Number of fish by species</li> <li>• <sup>14</sup>C age</li> <li>• Life-time age distribution</li> </ul>	Fish species, age and size distributions during the Holocene (ca. 10,000 years) [provides fish data with climate variability for modelling studies]



	<ul style="list-style-type: none"> <li>• Length distribution (modelled)</li> <li>• Weight distribution (modelled)</li> <li>• Collected during open water</li> </ul> <p><b>Deep-sea video cameras</b></p> <ul style="list-style-type: none"> <li>• Number of fish and squid</li> <li>• Species identification</li> <li>• Collected during ice camps</li> </ul> <p><b>Environmental DNA (eDNA)</b></p> <ul style="list-style-type: none"> <li>• Amplicon sequences cytochrome c oxidase subunit 1 (CO1), Cyt b</li> <li>• Amplicon sequences rRNA 12S</li> <li>• Metagenomic sequences</li> <li>• Collected during open water or ice camps</li> </ul>	<p>Fish and squid distributions</p> <p>Species distributions of fish, squid, their invertebrate prey, and their mammal and bird predators</p>
<p>2. What other information is needed to provide advice necessary for future sustainable harvests of commercial fish stocks and maintenance of dependent ecosystem components?</p>	<p><b>Hydroacoustics with standardized settings</b></p> <ul style="list-style-type: none"> <li>• Area scattering coefficient (NASC), 120, 200, 333 Khz, 0-800 m depth</li> <li>• Collected during open water or ice camps</li> </ul> <p><b>Fish, zooplankton, marine mammal and seabird samples</b></p> <ul style="list-style-type: none"> <li>• Stomach contents (genomic)</li> <li>• Stable isotopes (<sup>13</sup>C, <sup>15</sup>N)</li> <li>• Fatty acids composition</li> <li>• Collected during open water or ice camps</li> </ul> <p><b>Distribution/numbers/biomass of dependent ecosystem components</b></p> <ul style="list-style-type: none"> <li>• Phytoplankton</li> <li>• Zooplankton</li> <li>• Benthos</li> <li>• Marine mammals</li> <li>• Sea birds</li> <li>• Collected during open water or ice camps</li> </ul> <p><b>Ambient and fossil otoliths</b></p> <ul style="list-style-type: none"> <li>• Stable isotope <sup>13</sup>C</li> <li>• Stable isotope <sup>18</sup>O</li> <li>• Collected during open water</li> </ul> <p><b>Habitat data (water column, sea ice)</b></p> <ul style="list-style-type: none"> <li>• Depth</li> <li>• Temperature</li> <li>• Salinity</li> <li>• Current direction and speed</li> <li>• Dissolved oxygen</li> <li>• Nutrient concentrations (e.g. nitrate, nitrite)</li> <li>• Carbonate system</li> <li>• Light levels</li> </ul>	<p>Fish prey distribution and biomass</p> <p>Trophic linkages among fishes and between fishes and other taxonomic groups</p> <p>Community composition Opportunities for interactions among trophic levels</p> <p>Reconstruction of ambient temperature and metabolic activity during life span</p> <p>Coupling between fish, squid and zooplankton abundances, distributions and trophic linkages and climate variability (food web modelling)</p> <p>Coupling between fish, squid and zooplankton abundances and distributions and ecosystem productivity (modelling)</p>

	<ul style="list-style-type: none"> <li>• CDOM fluorescence</li> <li>• Chlorophyll fluorescence</li> <li>• Chlorophyll <i>a</i> concentrations</li> <li>• Particle concentrations (e.g. particulate organic carbon, particulate nitrogen)</li> <li>• Flow cytometry</li> <li>• Particulate organic carbon and <math>\delta^{13}\text{C}</math></li> <li>• Benthos (abyssal community)</li> <li>• Marine litter (e.g. microplastics, PCBs, Hg, oil)</li> <li>• Bottom topography and type</li> <li>• Collected during open water or ice camps</li> </ul>	
<p>3. What are the likely key ecological linkages between potentially harvestable fish stocks of the Agreement Area and the adjacent shelf ecosystems that support Indigenous and Local Communities?</p>	<ul style="list-style-type: none"> <li>• Population genetics of fish, squid, invertebrates, marine mammals and seabirds caught both in the Agreement Area and adjacent regions in all seasons</li> <li>• Numbers of seabirds and mammals both in the Agreement Area and adjacent regions</li> </ul>	<p>Connectivity between fish in the Agreement Area and those in the adjacent regions Mechanisms that establish and maintain these linkages</p> <p>Abundance and connectivity of seabirds and marine mammals in the Agreement Area and adjacent regions</p>
<p>4. Over the next 10-30 years, what changes in fish populations, dependent species and the supporting ecosystems may occur in the central Arctic Ocean and the adjacent shelf ecosystems?</p>	<p><b>Evaluation of the JPSRM indicators</b></p> <ul style="list-style-type: none"> <li>• Literature studies in relation to the sampled JPSRM indicators and comparison of the JPSRM results with published data from other regions in the Arctic Ocean</li> <li>• Modelling studies of fish and squid abundances and distributions in relation to food web and ecosystem productivity</li> <li>• Evaluation if species can be harvested sustainably with respect to both target fish stocks and dependent parts of the ecosystem</li> <li>• Long-term trends in the nekton community</li> <li>• Long-term changes in the plankton community</li> <li>• Long-term changes in the benthic community</li> </ul>	<p>Which marine species are likely to be productive in the Agreement Area in the next 10-30 years</p> <p>Which changes in production and key linkages are expected in the Agreement Area in the coming 10-30 years</p> <p>What northward population expansions into the Agreement Area are expected in the next 10-30 years</p> <p>What are the anticipated impacts of changes in ocean acidification in the Agreement Area in the next 10-30 years</p> <p>How increased human activity in the Agreement Area (e.g. ship noise, ship traffic, industrial activity, and pollution) is expected to affect fish populations, ecosystem health, and communities in the next 10-30 years</p> <p>How increased fishing activity in the Agreement Area is expected to affect other species bycatch, migratory and wide-ranging marine mammals, and the Indigenous and local communities that depend upon these species to sustain their ways of living</p> <p>Evaluation of how fisheries in the Agreement Area might affect adjacent and congruent portions of shelf ecosystems, including fish stocks, fishable invertebrates (crabs, shrimp, mollusks), marine mammals, birds, and</p>

		fisheries-dependent communities (which include those communities that are dependent on subsistence harvests of fish, invertebrates, and mammals)
5 What Indigenous Knowledge is available to inform ecological baselines?	<ul style="list-style-type: none"> <li>• Historical and recent changes in harvests, number of animals (i.e. how did the catch of marine mammals and fish fluctuate over the years?)</li> <li>• Sea ice, ocean currents, tides, weather patterns, and other environmental conditions</li> <li>• observed by communities</li> <li>• Movement, distribution, and diet of marine mammals, fish and birds</li> </ul>	<ul style="list-style-type: none"> <li>• Direct, year-round observations of the ecosystems throughout generations</li> <li>• Abundance, distribution, and trophic linkages of invertebrates, fish, birds and marine mammals</li> </ul>

2. Hydroacoustic data collection

Hydroacoustics with 38, 70 and 120 kHz transducers targeting 0-800 m of depth from all ships and drift platforms entering the Agreement Area. Hydroacoustics with a 38 kHz transducer is effective for observing fish with swim-bladders. Hydroacoustics with 70 or 120 kHz transducers have shorter effective observation ranges but can observe smaller organisms (e.g. zooplankton) or fish without a swim-bladder.

In the Eurasian Basin the central Arctic mesopelagic scattering layer occurs in the Atlantic water layer at 100-600 m of depth<sup>9,10</sup>, but this may be lower on the Pacific side. No usable acoustic data can be collected while steaming in ice due to the sound of ice-breaking. Therefore, it is recommended to stop the engines for ten minutes and drift with the ice after a certain time window. For example: steaming 50 min, drifting 10 min. Drift platforms are ideal for collecting acoustic data. Disturbances from the ship can occur (electrical, mechanical, acoustic) and should be avoided while collecting acoustic water-column data. When possible, hydroacoustic measurements should be collected and combined with trawling, but this is only possible if open water is available. It may also be advantageous to use hydroacoustics on smaller platforms, such as submerged moorings, ROVs or autonomous gliders.

3. Sampling of fishes and benthos

Fish sampling methods adapted to the Agreement Area need to be developed further during the mapping phase. Methods need to be evaluated to ensure that vulnerable habitats are not damaged in the long term. Recent surveys have found very low abundance of mesopelagic fishes due to the low productivity of the ecosystem<sup>14,15</sup>; therefore, the sampling effort required to collect specimens is expected to be higher than in comparable surveys in subarctic or temperate waters. In the Eurasian Basin, long-line fishing seemed to be only successful for larger predatory fish species >30-40 cm, while small mesopelagic fish species could not

<sup>9</sup> Snoeijjs-Leijonmalm P, et al. (2021) A deep scattering layer under the North Pole pack ice. *Progress in Oceanography* 194:102560 [<https://doi.org/10.1016/j.pocean.2021.102560>]

<sup>10</sup> Snoeijjs-Leijonmalm P, et al. (2022) Unexpected fish and squid in the central Arctic deep scattering layer. *Science Advances* 8:eabj7536 [<https://www.science.org/doi/10.1126/sciadv.abj7536>]

be caught by line-fishing, gill nets, ring nets or traps. On the echosounder, the few fish that occur have been seen fleeing any sampling gear that is lowered in the water column (which proves that fish are present but difficult to sample). Trawling with ice-modified trawls has been successful<sup>11</sup>; the results have reaffirmed the low densities encountered by previous expeditions (*Annex 14-15*). Despite these challenges, the use of multiple fishing gears is encouraged in order to capture as diverse a range of fish samples as possible. In particular, sampling of sympagic fishes (ice-associated polar cod juveniles) in the Agreement Area is possible using a special-designed “Surface- and Under-Ice Trawl (SUIT)”<sup>12</sup> that has proven successful at sampling sympagic fishes under ice cover. Benthic fishes observed in the central Arctic Ocean consist of non-commercial species, except for Greenland halibut *Reinhardtius hippoglossoides* of which single (juvenile) specimens have been encountered in the southern part of the Agreement Area during two sampling events (*Annex 04 and 14*). Although bottom trawling can be very disruptive to benthic habitats and should be avoided in sensitive benthic areas such as locations with concentrations of corals and sponges, trawls conducted for scientific purposes corresponding to the JPSRM will be allowed if precautionary measures are taken before trawl operation. Prior to using benthic trawls and other disruptive sampling methods the benthic habitat should be examined using non-disruptive methods such as drop cameras, near-bottom video sleds or ROVs to determine if the area represents a sensitive benthic area. For efficiency forward-looking trawl-mounted cameras could be used if they allow live-video that can be viewed by the captain that provides observation of the seafloor sufficiently far ahead of the sampling gear to allow the captain to abort deployment before the gear makes contact with the seafloor. In addition, benthos, particularly macrobenthos, play an important role in ecosystem functioning and processes. Benthic standing stocks may support key benthic-feeding apex predators, including Pacific walrus (*Odobenus rosmarus divergens*), gray whales (*Eschrichtius robustus*), and bearded seals (*Erignathus barbatus*), thus functioning as a crucial component in the Arctic food-web. Therefore, full considerations should be given to sampling of various benthic invertebrates using box corers or alternate methods.

#### 4. Holocene otoliths

Fish species distributions in the Agreement Area over a longer time scale (Holocene, ca. 10,000 years) can be assessed from otoliths in deep-sea sediments (*Annex 12-13*). To collect enough otoliths a large box core sample is necessary (e.g., surface 50×50 cm, the Holocene layer in the CAO ca. 10-15 cm deep). The geological age of the otoliths is dated with the <sup>14</sup>C method, the age of the fish at death is determined from otolith increments. During the Holocene there have been warmer and colder periods, notably the Holocene thermal maximum from around 9000 to 5000 years before present<sup>13</sup>. Thus, the results can be used for modelling of fish abundance in relation to climate variability. The ambient temperature experienced by the fish is reconstructed with the stable isotope ratio  $\delta^{18}\text{O}$ , and metabolic activity by the stable isotope ratio  $\delta^{13}\text{C}$  in the otoliths. The number of otoliths in each layer can be related to temperature and we can predict if fish stocks will increase with climate warming in the future. From the otoliths we can also extract the age of the fish when they died and assess the impacts of temperature on maximum age and age structure of fish stocks.

#### 5. Environmental DNA

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<sup>11</sup> Ingvaldsen, R.B., Eriksen, E., Gjørsvæter, H. et al. (2023). Under-ice observations by trawls and multi-frequency acoustics in the Central Arctic Ocean reveals abundance and composition of pelagic fauna. *Scientific Reports* 13, 1000. [<https://doi.org/10.1038/s41598-023-27957-x>]

<sup>12</sup> Van Franeker JA, et al. (2012). *The Surface and Under Ice Trawl (SUIT)*. Technical Report [<https://www.researchgate.net/publication/297794282>]

<sup>13</sup> Park HS, et al. (2019) Mid-Holocene Northern Hemisphere warming driven by Arctic amplification. *Science Advances* 5:eaax8203 [<https://www.science.org/doi/10.1126/sciadv.aax8203>]

Environmental DNA (eDNA) can be used to reconstruct species distributions. A genomic pipeline for Arctic samples focusing on fish and zooplankton is being tested by EFICA (the European Fisheries Inventory in the Central Arctic Ocean Consortium) and results will be evaluated by 20 February 2023 (*Figure 3*). Several methods using whole metagenome and amplicon sequencing are used to construct distribution maps of fish, squid, and key zooplankton, perhaps also birds and mammals. When taking eDNA samples all rules for clean sampling in molecular biology must be used. The method is very sensitive and special care should be taken to not contaminate samples from the water column and the ice with, e.g., fish bait (use obligate freshwater species as bait) or waste water discharge from the ship (forbid any ship discharge before sampling has been terminated at each sampling station).



Figure 3: EFICA pipelines for eDNA analyses.

## 6. Deep-sea cameras

Underwater cameras, ROVs and AUVs currently exist that could be deployed to collect data on fish and invertebrate species both on the benthos and in the water column where sampling is extremely difficult. Combining image collection with automatic detection of moving objects (fish, squid, macrozooplankton) from drifting and moored platforms is a good complement to assess species distributions in the Agreement Area and could potentially be a non-destructive sampling method. Experience has indicated that attaching a camera to a CTD has limited success for fish and squid because a CTD moves fast except during water sampling for very short times at specific depths, and fish actively avoid the moving CTD. Due to the generally low abundance of fish and squid, recording many hours is necessary. Thus, targeted deployments of cameras is likely to result in higher success in capturing abundance and distribution patterns of fishes and squids. There has been considerable research in recent years into combining acoustic and optical surveys for fishes (e.g. deployments of cameras guided by acoustic observations of fish). ROV's and AUV's could both be deployed to target both midwater and benthic species. There is also potential to deploy towed camera systems, drift camera systems or stationary camera systems (e.g. floating in the water column, but anchored to the seafloor) that could cover larger areas and potentially require less cost and technological expertise. Size data for species can also be obtained from either using calibrated stereo cameras or laser systems. Finally, underwater cameras can be combined with other gear types for auxiliary data collection. For example mounting stereo-cameras in trawl nets can allow estimation of gear selectivity or even allow fishing with an open codend that becomes a non-destructive method of capturing abundance and size information.

## 7. Trophic linkages

Trophic linkages among fishes and between fishes and other taxonomic groups are studied by analyzing stomach contents with metabarcoding and by comparing stable isotope ratios  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  in zooplankton and fish muscle. An additional method used as a trophic tracer is fatty acid composition in fish (and squid) muscle and liver and in other components of the food web, but this method is more elaborate and expensive. Estimates of phyto- and zooplankton biomass and numbers will be based upon net catches, as well as from acoustic (AZFP and ADCP) data. Phyto- and zooplankton species will be determined from plankton net hauls. Sediment traps collect sinking particles associated with the phyto- and zooplankton distributions and carbon cycles. Mooring systems including sediment traps with physical, chemical, and biological sensors can monitor annual and interannual changes in phyto- and zooplankton communities.

## 8. Physical and biogeochemical data

As a standard, research vessels collect oceanographic data with a CTD to measure conductivity (salinity), temperature and depth. CTD rosettes usually carry other instruments as well, such as CDOM fluorescence, chlorophyll fluorescence, UVP particle concentrations. Water samples are taken to measure basic indicators of ecosystem productivity, such as dissolved oxygen, inorganic and organic nutrients,  $\text{CO}_2$  (carbonates), chlorophyll *a* concentration, photosynthetic pigments, particulate organic carbon (POC),  $\text{d}^{13}\text{C}$ , flow cytometry (cell abundances of bacteria and primary producers), etc. Acoustic Doppler Current Profilers (ADCP) can be used to estimate changes in fluxes and water masses northward through the Atlantic and Pacific gateways, which may be linked to species range expansions either by affecting environmental conditions or entrainment of individuals. Moorings with ADCPs placed in various locations in the gateway would facilitate monitoring of changes in currents.

All these data are useful for modelling fish-stock abundance in relation to the environment and trophic status. For the JPSRM it would be useful to collect all CTD profiles available in international databases made in the Agreement Area during the last 30 years as well as all CTD profiles that will become available during the 14 years of the JPSRM.

## 9. Population genetics of fish and squid

Population genetic analyses of fish and squid caught both in the Agreement Area and adjacent regions establish connectivity pathways between coastal spawning areas and adults living in the Agreement Area. Principal candidates for such studies (based on the current knowledge) are polar cod *Boreogadus saida*, ice cod *Arctogadus glacialis*, Atlantic cod *Gadus morhua*, Greenland halibut *Reinhardtius hippoglossoides*, Walleye pollock *Gadus chalcogrammus*, Arctic skate *Amblyraja hyperborea*, and armhook squid *Gonatus fabricii* that all are known to occur in the Agreement Area. Other candidates include haddock *Melanogrammus aeglefinus*, Bering flounder *Hippoglossoides robustus*, Alaska plaice *Pleuronectes quadrituberculatus*, and beaked redfish *Sebastes mentella*. Many species of fish are also relied upon by Arctic Indigenous communities who live adjacent to the Agreement Area.

## 10. Distribution of birds and mammals

Distributions of marine birds and mammals both in the Agreement Area and adjacent regions are necessary to assess the abundance and connectivity of fish predators in the Agreement Area. Marine mammals, migratory birds and seabirds, their flyways and nesting colonies, are also significant and new to be

understood, especially with increasing changes in Arctic ecosystems. Many migratory birds, seabirds and marine mammals are relied upon by Arctic Indigenous who live adjacent to the Agreement Area; an important goal of the JPSRM is understanding potential impacts from fisheries on the ecosystem to ensure CAO ecosystems remain healthy and productive, including maintaining healthy marine mammal and bird populations that sustain ongoing harvests. Very few data exist from the Agreement Area and they are mainly anecdotal. It is anticipated that bird and marine mammal densities are currently low in the Agreement Area, with the exception of the Chukchi Sea. A possible task for the JPSRM could be to compile data from as many previous expeditions to the Agreement Area as possible, e.g., using photographic documentation by cruise participants.

#### 11. Indigenous Knowledge and Local Knowledge

The ICC has defined Indigenous Knowledge as:

“Indigenous Knowledge is a systematic way of thinking applied to phenomena across biological, physical, cultural, and spiritual systems. It includes insights based on evidence and acquired through direct and long-term experiences and extensive and multigenerational observation, lessons, and skills. It has developed over millennia and is still developing in a living process, including knowledge acquired today and in the future, and it is passed on from generation to generation.

Under this definition, Indigenous Knowledge goes beyond observations and ecological knowledge, offering a unique way of knowing to identify research needs and apply to research, monitoring, assessments, decision-making, policy and the overall understanding the Arctic – it is our Way of Life.”

Inuit bring a holistic understanding of the Arctic ecosystem, our homeland, which looks at the dynamic relationship between its components that are interrelated and interdependent. Because of this unique understanding, Inuit have thrived and survived in the Arctic for thousands of years.

## 12. Local Knowledge

Local knowledge is the knowledge that people in a given community have developed over time, and continue to develop<sup>14</sup>. It is:

- Based on experience
- Often tested over centuries of use
- Adapted to the local culture and environment
- Embedded in community practices, institutions, relationships and rituals
- Held by individuals or communities
- Dynamic and changing

## 13. Modelling studies

Data regarding species distributions, particularly in relation to oceanographic conditions, water depth and benthic morphology and substrate (for benthic species), and results of diet studies and trophic analyses can be combined to develop models of CAO populations and communities. Given expected low abundances for most species and the relatively short duration of the mapping phase (3 years) local data on reproductive rates and other demographic parameters will be limited and likely will need to be borrowed from other populations for model development. The monitoring phase of the JPSRM will provide an opportunity to estimate demographic variables and patterns within the CAO to support model refinement prior to fishery development.

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<sup>14</sup> FAO (2004). Training Manual “Building on Gender, Agrobiodiversity and Local Knowledge”. [[What is local knowledge? \(fao.org\)](#)]



**Appendix 9.** Excerpts from FiSCAO and PSCG meetings providing examples of topics that should be addressed when establishing priorities for the JPSRM implementation plan. These examples are not listed in priority order, and they comprise only a partial list of relevant topics to be considered in the implementation plan.

Sampling information from subareas of the CAO High Seas and adjoining marine areas.
Criteria for prioritizing subareas in concerning the relative availability (or lack) of information, degree of sea ice loss, and water depth. Examples of potential demersal areas include the East Siberian Sea including the Chukchi Borderlands and waters northwest of Wrangel Island.
Refuge areas for polar fishes from climate change effects, both physical and biological, within which species can complete their lifecycles are of particular ecological importance.
Synoptic mapping surveys conducted over as much of the High Seas CAO as possible following standardized sampling protocols and the use of consistent data formats.
Historic and contemporary baseline data that may be available through indigenous and local knowledge holders regarding species distributions and abundances, and environmental conditions in waters adjacent to the High Seas CAO, and to a lesser extent within the High Seas CAO.
Data from previous data collection programs to be identified and prioritized for the Pacific and Atlantic gateways.
Pelagic surveys conducted in areas where there have been documented, observed, or expected northward range expansions by potentially harvestable species.
Surveys in areas where environmental changes have been documented or are expected to occur.
Identifying which indicators are most important for detecting change in the current and future status of commercial fish stocks and dependent (subsistence harvested and protected) species.
The extent to which the JPSRM should focus on marine species that are: 1) potential targets of commercial fisheries, 2) harvested for subsistence purposes, or 3) already protected by governmental or intergovernmental conservation measures.
Data collection priorities focusing on: 1) identifying fish species distributions and relative abundances, 2) understanding population structure and the factors affecting species distributions and productivity.
Assessing the availability and viability of data for species of commercial and subsistence interest, including: 1) distributions of potential commercial fishes and invertebrates, 2) fishing vessel activity in waters adjacent to the High Seas CAO, and 3) marine mammal and seabird abundance, distributions, diets, condition or foraging behaviors.
Cumulative impacts on ecosystems due to anthropogenic activities in addition to potential impacts of commercial fisheries (e.g., shipping, energy).
Understanding broad ecosystem components, including: zooplankton transport and potential establishment into the High Seas CAO, deep scattering layer, primary productivity and associated variables, sea ice, ocean currents, sea temperature, ocean acidification.
Current physical, chemical and biological oceanographic conditions and the distributions and abundances of marine invertebrates, fishes, mammals, and birds in the High Seas portion of the central Arctic Ocean and surrounding waters.

**APPENDIX 5**

**Report of the First Meeting of the  
CAOFA Scientific Coordinating Group (SCG)**

A person wearing a white parka and a hat stands on a large, irregular ice floe in the middle of a body of water. The person is holding binoculars to their eyes. The ice floe is surrounded by other smaller ice pieces. The water is a deep blue-green color, and the sky is a clear, pale blue. The overall scene is a vast, open Arctic landscape.

**Utqiagvik, Alaska, USA  
14-17 March 2023**

**CAOFA-2023-COP2-05**

**John L. Bengtson  
SCG Chairperson**

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *Call to order and welcome*

- The first meeting of the CAOFA SCG was opened and chaired by Dr. John L. Bengtson (USA) The SCG Vice-chairperson, Dr. Sebastian Rodriguez (EU) and others welcomed meeting participants.
- The meeting was held 14-17 March 2023 in Utqiagvik, Alaska, USA.
- The Chairperson extended thanks to the United States for hosting the meeting and to meeting organizers and local hosts from the North Slope Borough, Inuit Circumpolar Council Alaska, and National Oceanic and Atmospheric Administration.

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *Meeting participants*

- A total of 80 persons participated in the SCG meeting including 77 members from the 10 CAOFA Parties.
- Three representatives of two observer organizations also participated: International Council for the Exploration of the Seas (ICES) and World Wildlife Fund (WWF) Arctic Programme.
- Of the participants, 22 were present in person in Utqiagvik and 58 participated via online video-conferencing.

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *Meeting agenda*

- Mapping and Monitoring Working Group (MM-WG)
- Data Sharing Protocol Working Group (DSP-WG)
- Joint Program of Scientific Research and Monitoring (JPSRM)
- Questions pertaining to exploratory fishing
- SCG Draft Rules of Procedure
- Future work of the SCG
- SCG Recommendations to the COP

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *Mapping and Monitoring Working Group (MM-WG)*

- The Co-chairpersons of MM-WG are Kevin Hedges (Canada) and Stanislovas Jonusas (EU).
- They introduced a draft provisional framework for the Joint Program of Scientific Research and Monitoring (JPSRM) that had been developed by MM-WG.
- Following discussion and minor modifications, the SCG adopted a revised version of the provisional JPSRM framework document.

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *Joint Program of Scientific Research and Monitoring (JPSRM)*

- Background
  - Objectives and geography
- Information needs
  - Research and monitoring questions for the JPSRM
  - Prioritizing information needs
  - Sources of research and monitoring information
- Scientific coordinating group responsibilities
  - Mapping and monitoring
  - Data management and sharing
  - Reports and advice to the Conference of Parties (COP)
- External sources of data
  - Collaboration and protocols

# Activities of the SCG and WGs

*From questions to advice*

*Sources of information*

*Sources of information*

**Published literature**

**Scientific Coordinating Group (SCG) and working groups**

**External collaborating groups**

**Data collection (by CAOFA Parties) to fill key JPSRM information gaps**

**JPSRM data sharing protocol**

**Data relevant to the JPSRM**

**Publications**

**Analysis and synthesis**

**Reports**

**Reports and advice to the Conference of Parties (COP)**

**The aim of the JPSRM (Article 4.2)**

**Research and Monitoring Questions of the JPSRM**

**Prioritized information needs**





# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *Data Sharing Protocol Working Group (DSP-WG)*

- The Co-chairpersons of DSP-WG are Robert Foy (USA) and Lizong Wu (China).
- They summarized the most recent version of the DSP-WG's draft data management and sharing protocol, which was drafted to be the “data sharing protocol” of the JPSRM stipulated in Article 4(5) of the CAOFA (pages 12-16 of JPSRM Framework).
- Following discussion and minor modifications, the SCG adopted the revised data management and sharing protocol document.

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *Joint Program of Scientific Research and Monitoring (JPSRM)*

- The SCG agreed to merge the complete, revised texts of both the MM-WG’s provisional JPSRM framework and the DSP-WG’s data management and sharing protocol documents into one new document.
- The combined document is entitled, “Framework of the CAOFA Joint Program of Scientific Research and Monitoring” (JPSRM Framework) (CAOFA-2023-COP2-06).
- The SCG adopted the final version of the JPSRM Framework.

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *Questions pertaining to exploratory fishing*

- The SCG reviewed the COP’s “Scientific and Indigenous Knowledge Questions for the SCG on Exploratory Fishing.”
- The SCG provided an estimated timeframe of when it may be able to provide answers to specific questions (Table 1 in SCG report):
  - 1) about two months,
  - 2) one year, and
  - 3) between one and five years.

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *Questions pertaining to exploratory fishing*

- Excerpt from Table 1 developed for the SCG report (page 5):

Table 1. Estimates of approximately how long it will take the SCG to provide answers to the “Scientific and Indigenous Knowledge Questions for the SCG on Exploratory Fishing under Article 5 of the CAOFA.” Time categories: 1=2 months; 2=1 year; 3=1-5 years (two time categories indicates that a partial answer will be available first, followed by a fuller answer later).

1	Including the results of the FiSCAO meeting and the mapping phase, what baseline data currently exist for and related to the Agreement area?	1
2	What ecosystem information is currently available or needed to establish conservation and management measures for exploratory fishing in order to minimize its ecosystem effects?	2
3	How will the Parties collaborate to collect information on fishery-independent surveys, fishery dependent data collection, other platforms, and inclusion of Indigenous Knowledge and Local Knowledge?	1,2
4	What communication regarding Science Knowledge, Indigenous Knowledge and Local Knowledge with Arctic Indigenous peoples is needed to support COP exploratory fishing decisions?	1,2

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *SCG draft rules of procedure*

- The COP drafting group developing SCG Rules of Procedure requested that the SCG review and comment on Draft #10 of the rules.
- Following a brief review of the document, the SCG expressed general support for the rules outlined in Draft #10.
- Some SCG members had some specific suggestions with respect to Rule 8, but the SCG did not have time to develop a consensus response to the COP.

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *Future work of the SCG*

- The SCG recognized that it has a substantial amount of work to accomplish during the coming months.
- Two particularly high priority tasks are to discuss and develop:
  - 1) a JPSRM Implementation Plan, and
  - 2) answers to the “Scientific and Indigenous Knowledge Questions for the SCG on Exploratory Fishing.”
- The SCG expects that it will be necessary for the SCG and its working groups to meet relatively frequently as work on these important tasks and others is undertaken.

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *Future work of the SCG*

- **Monitoring and Mapping Working Group** (*update by Kevin Hedges*):
  - Priority tasks to be undertaken over the next 12 months.
  - Provisional plan and anticipated timeline for addressing those priorities.
- **Data Sharing Protocol Working Group** (*update by Bob Foy*):
  - Priority tasks to be undertaken over the next 12 months.
  - Provisional plan and anticipated timeline for addressing those priorities.

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *SCG recommendations to the COP*

- The **SCG recommended** that the COP adopt the revised data management and sharing protocol document (CAOFA-2023-SCG1-04-Rev05) as adopted by the SCG. [paragraphs 22 and 57]
- The **SCG recommended** that the COP approve the establishment of the CAOFA JPSRM Framework (CAOFA-2023-SCG1-07) as adopted by the SCG. [paragraphs 26 and 58]
- The **SCG recommended** that the COP approve the approach and timeframe outlined above and in Table 1 for developing answers to the questions pertaining to exploratory fishing. [paragraphs 35 and 59]



# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *SCG recommendations to the COP*

- The **SCG recommended** that the COP approve a new SCG subsidiary body (either a new SCG working group or team under MM-WG) to be tasked with compiling the answers to the list of questions pertaining to exploratory fishing conveyed to the SCG from the COP.

[paragraphs 60 and 36]

- The **SCG recommended** that the COP approve that the SCG may hold up to two SCG meetings prior to a possible COP meeting in June 2024: one virtual meeting provisionally scheduled in early/late autumn 2023, and one in-person meeting provisionally scheduled for the first quarter of 2024). The timing and arrangements (e.g., in-person or virtual) for SCG meetings will take into account planned COP meetings. [paragraphs 61 and 42]

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *SCG recommendations to the COP*

- Additional participation in the existing SCG working groups was welcomed and encouraged. The **SCG recommended** that, for any working group, the COP request Parties to designate a single primary point of contact, and an alternate representative as appropriate, who would have responsibility for communicating with their delegations and responding on behalf of their delegation to the leadership of the group. [paragraphs 44 and 62]

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *Meeting closure*

- In closing the meeting, the Chairperson extended his congratulations and thanks to all meeting participants for their contributions, dedication, and commitment to the goals of CAOFA.
- He expressed optimism that the spirit of collegial collaboration displayed at the SCG's inaugural meeting will continue and grow in the future as the SCG begins to implement the JPSRM and responds to additional requests from the COP.

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *Meeting closure*

- In particular, he commended meeting participants for working together to increase their common understanding and to seek mutually acceptable solutions to the important issues being addressed by the SCG.
- Everyone's efforts and their ability to work together within the Scientific Coordinating Group and its working groups resulted in a very successful meeting.
- The meeting was adjourned on 17 March 2023.

# 1<sup>st</sup> Meeting of the CAOFA Scientific Coordinating Group – 2023

## *Report of the SCG's first meeting (CAOFA-2023-COP2-02)*

- Due to time constraints, the SCG was unable to draft, review, and adopt the report of its first meeting prior to the meeting's adjournment.
- The meeting's Chairperson and rapporteurs prepared a draft report and circulated it to SCG members for review and comment by correspondence.
- The SCG adopted the report of its inaugural meeting by correspondence on 3 May 2023.



**Thank you.**

**Questions?**

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# **APPENDIX 6**

## **Framework of the CAOFA Joint Program of Scientific Research and Monitoring (JPSRM)**

Central Arctic Ocean Fisheries Agreement (CAOFA)  
Scientific Coordinating Group (SCG)

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# Framework of the CAOFA Joint Program of Scientific Research and Monitoring (JPSRM)

Central Arctic Ocean Fisheries Agreement (CAOFA)  
Scientific Coordinating Group (SCG)

## 1 Background

### 1.1 Introduction

A landmark international agreement was established to promote effective stewardship of Arctic marine living resources: the *Agreement to Prevent Unregulated High Seas Fisheries in the Central Arctic Ocean* (Appendix 1). The Agreement (also known as the “Central Arctic Ocean Fisheries Agreement” or CAOFA) entered into force on June 25, 2021 after ratification by all ten of the Signatories (Canada, the People’s Republic of China, the Kingdom of Denmark in respect of the Faroe Islands and Greenland, Iceland, Japan, the Republic of Korea, the Kingdom of Norway, the Russian Federation, the United States of America, and the European Union).

The objective of the Agreement (Article 2) is to prevent unregulated fishing in the high seas portion of the central Arctic Ocean through the application of precautionary conservation and management measures as part of a long-term strategy to safeguard healthy marine ecosystems and to ensure the conservation and sustainable use of fish stocks.

By providing time for the collection of scientific knowledge, Indigenous Knowledge, and local knowledge before the commencement of commercial fishing, the CAOFA creates a rare opportunity to understand the structure and dynamics of central Arctic Ocean (CAO) ecosystems. This understanding is crucial for development of long term management strategies that support sustainable fishing in the CAO high seas and safeguard healthy marine ecosystems that support subsistence resources. As sea ice coverage continues to decline in the CAO and other anthropogenic activities, increase in extent and frequency, assessment of multiple, interacting stressors will be important to support the sustainability of CAO ecosystems in accordance with the objective of CAOFA.

### 1.2 Objectives, development, and timeline

Article 4 of the Agreement calls for the creation of a Joint Program of Scientific Research and Monitoring (JPSRM) as follows:

1. The Parties shall facilitate cooperation in scientific activities with the goal of increasing knowledge of the living marine resources of the central Arctic Ocean and the ecosystems in which they occur.
2. The Parties agree to establish, within two years of the entry into force of this Agreement, a Joint Program of Scientific Research and Monitoring with the aim of improving their understanding of the ecosystems of the Agreement Area and, in particular, of determining whether fish stocks might exist in the Agreement Area now or in the future that could be harvested on a sustainable basis and the possible impacts of such fisheries on the ecosystems of the Agreement Area.



3. The Parties shall guide the development, coordination and implementation of the Joint Program of Scientific Research and Monitoring.
4. The Parties shall ensure that the Joint Program of Scientific Research and Monitoring takes into account the work of relevant scientific and technical organizations, bodies and programs, as well as indigenous and local knowledge.
5. As part of the Joint Program of Scientific Research and Monitoring, the Parties shall adopt, within two years of the entry into force of this Agreement, a data sharing protocol and shall share relevant data, directly or through relevant scientific and technical organizations, bodies and programs, in accordance with that protocol.
6. The Parties shall hold joint scientific meetings, in person or otherwise, at least every two years and at least two months in advance of the meetings of the Parties that take place pursuant to Article 5 to present the results of their research, to review the best available scientific information, and to provide timely scientific advice to meetings of the Parties. The Parties shall adopt, within two years of the entry into force of this Agreement, terms of reference and other procedures for the functioning of the joint scientific meetings.

At its inaugural meeting in November, 2022, the CAOFA Conference of Parties (COP) highlighted the need for the CAOFA Scientific Coordinating Group (SCG) to conclude its work to develop the JPSRM in a timely manner so that the COP may consider the JPSRM for approval and adoption by the Agreement deadline of June 25, 2023 (Appendix 2). The COP emphasized that the initial document describing the JPSRM should be considered as a framework for the future work of the SCG. That framework may be revised and updated from time to time as new information requires. An associated JPSRM implementation plan will be developed following the COP's approval of the JPSRM framework presented in this document.

The JPSRM comprises an initial mapping phase that is envisioned to occur over a short time period (e.g., three-year duration) followed by a monitoring phase (FiSCAO 2015). The major goals of the mapping phase are to develop an understanding of baseline conditions and to test and evaluate different approaches, biological and ecological indicators, protocols, methods, Indigenous Knowledge, and local knowledge to be used during the monitoring phase. The appropriate threshold values (triggers) for the indicators need to be developed to determine when to repeat the mapping phase or to re-sample targeted areas during the monitoring phase.

As noted above, the Agreement stipulates that as part of the JPSRM, a data sharing protocol shall be adopted to share relevant data, directly or through relevant scientific and technical organizations, bodies and programs. Two working groups within the SCG were established to help develop the JPSRM: the Mapping and Monitoring Working Group (MM-WG) and the Data Sharing Protocol Working Group (DSP-WG).

#### *1.2.1 Terms of reference: Mapping and Monitoring Working Group*

The Mapping and Monitoring Working Group (MM-WG) was established to develop the mapping and monitoring plans for the JPSRM to achieve its aim, building on the draft plans from the 4th and 5th FiSCAO meetings and the 1st PSCG meeting and based on the questions and discussions from the 2nd PSCG meeting with the following Terms of Reference:

- a. The MM-WG will consist of multiple representatives from each Party with expertise, including scientific, Indigenous Knowledge, and local knowledge, as well as appropriate external experts,

- of ecosystem components of the JPSRM (e.g., fish, marine mammals, oceanography, ecosystem production, birds, and lower trophic level species).
- b. The MM-WG will meet on a timeline determined by the working group with draft plans available for review and discussion.
  - c. The MM-WG may form smaller teams to meet separately with similar objectives and products to contribute to the overall draft plans.
  - d. The MM-WG will focus efforts on scientific, Indigenous Knowledge, and local knowledge activities concerned with:
    - i. Mapping requirements in the Agreement Area, Atlantic, and Pacific gateways.
    - ii. Monitoring requirements consistent with Article 4 of the Agreement.
    - iii. Data collection (e.g., gear type) and data format standardization.
    - iv. Prioritization of mapping and monitoring parameters as well as spatial and temporal sampling scales.

#### 1.2.2 Terms of reference: Data Sharing Protocol Working Group

The Data Sharing Protocol Working Group (DSP-WG) was established to develop a data management policy and sharing protocols as part of the JPSRM, for consideration by the SCG and approval by the Parties, building on the draft plan from the 5th FiSCAO meeting and based on the discussions from the 2nd PSCG meeting with the following Terms of Reference:

- a. The DSP-WG will consist of no more than three representatives from each Party including a technical expert, and no more than two representatives from any one external group, as appropriate.
- b. The DSP-WG will meet on a timeline determined by the working group with a data management policy and sharing protocols plan available for review and discussion at the fall 2022 Provisional Science Coordinating Group (PSCG).
- c. The DSP-WG will meet in two phases to: 1) identify the framework and specific policy components to be developed and 2) identify appropriate technical requirements.
  - i. The DSP-WG will draft a hybrid framework that recognizes
  - ii. A centralized data management system collected specifically for the JPSRM, and
  - iii. A distributed data management system for relevant accessible data collected in the JPSRM area.
- d. The DSP-WG will consider other international data management policies and sharing protocols to benefit from state-of-the-art agreements already in use.

In summary, the JPSRM will follow an ecosystem approach to assess: 1) the status of marine ecosystem structure and function in the Agreement Area and adjacent waters, and identify gaps in knowledge of ecosystem components and functions, 2) the prospects and potential sustainability of commercial fisheries in the Agreement Area, 3) the potential impacts of such commercial fisheries on the marine ecosystems in the Agreement Area and adjacent waters, and 4) the potential impacts of commercial fisheries on Arctic Indigenous communities and local communities that depend on marine ecosystems for sustainable subsistence harvests. The JPSRM mapping and monitoring phases will enable the SCG to acquire and evaluate the information needed to make decisions that support the goals of CAOFA with respect to the management, sustainable use, and conservation of marine living resources in the central Arctic Ocean.

### 1.3 Geography

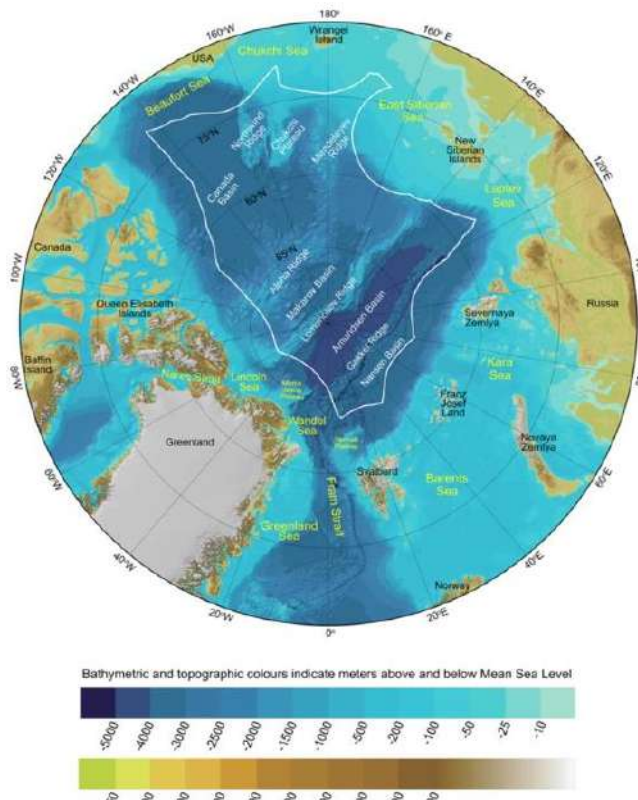


Figure 1. Bathymetric map of the central Arctic Ocean. The Agreement Area is situated within the white line, i.e., the high seas border extending 200 nautical miles from coastal baselines. The background map was extracted from the International Bathymetric Chart of the Arctic Ocean.<sup>2</sup>

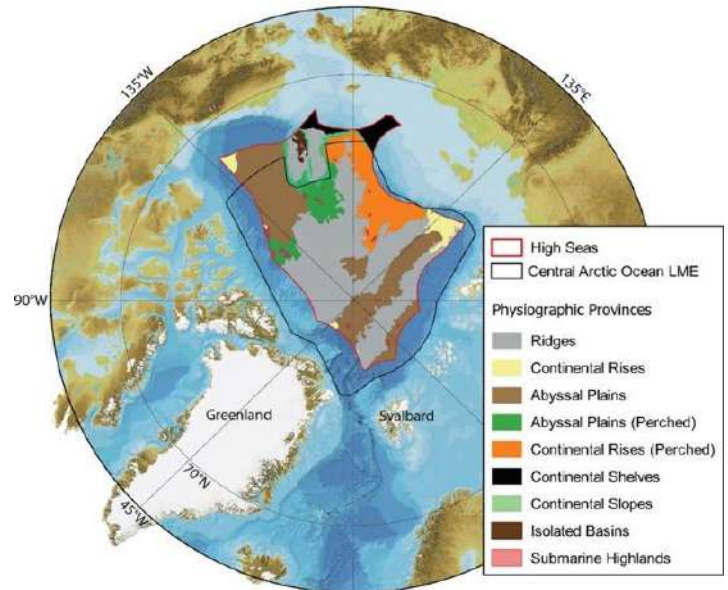


Figure 2. Map of the physiographic provinces in the Agreement Area. The red line is the high seas border cf. Figure 1. The black line is the Central Arctic Ocean Large Marine Ecosystem (CAO-LME) as defined by the Arctic Council.<sup>3</sup> The Physiographic Provinces were calculated by Martin Jakobsson.<sup>4</sup>

Because the issues to be addressed by CAOFA require information about marine ecosystems distributed broadly across the central Arctic Ocean as well as nearby areas, the JPSRM focuses on three ecologically linked zones: 1) the waters within the Agreement Area boundaries, 2) the continental shelf/slope areas peripheral to the Agreement Area, and 3) the Pacific and Atlantic marine gateways. The gateways are defined as the regions of substantial oceanographic flux between marginal seas of the Arctic Ocean and neighboring basins.

The Agreement Area itself comprises the high seas of the central Arctic Ocean, a 2.8 million km<sup>2</sup> area around the North Pole, that is surrounded by waters within which Canada, the Kingdom of Denmark in respect of Greenland, the Kingdom of Norway, the Russian Federation and the United States of America exercise fisheries jurisdiction. (Figure 1). The Agreement Area is characterized by several oceanic physiographic provinces (e.g. Figure 2). It mainly consists of permanently (winter and summer) ice-covered deep ocean (33.3% abyssal plains, 43.5% submarine ridges, 14.8% continental rises), but also some shallower areas that are not permanently ice-covered (3.3 % continental shelves, 3.2% continental slopes, 1.2% submarine highlands, 0.7% isolated basins). The latter areas could be expected to contain more living marine resources (e.g. fish, squid, crabs, marine mammals, and seabirds) than the permanently ice-covered deep ocean area. For clarity, the Agreement Area is not the same as the

Central Arctic Ocean Large Marine Ecosystem (CAO-LME) as defined by the Arctic Council based on ecosystem parameters (Figure 2).

The sea ice cover in the Agreement Area is gradually decreasing as a result of climate change. Today the major effect of warming in the central Arctic Ocean is that the ice is thinning and becoming more dynamic as winds can move thinner ice more easily. Within decades, most of the Agreement Area is expected to be accessible by non-icebreaking vessels, including fishing vessels, in late summer (August-October), but will likely still be covered by sea ice during the rest of the year.

The Agreement Area is not isolated. Its ecosystems are ecologically linked to peripheral Arctic shelf/slope ecosystems (visible as lighter marine zones in Figure 1) and Atlantic and Pacific gateways through physical, chemical, and biological processes intrinsic to ecosystem functioning. Climate change will likely alter the nature of those linkages (e.g., by northward transport of heat and changing distributions of species neighboring subarctic and Arctic areas into the Agreement Area). Such aspects should be covered by the JPSRM as well if they are relevant for the ecosystems of the Agreement Area. The Atlantic and Pacific gateways (and adjacent shelves and slopes) are recognized as priority subareas to monitor because of their strong influences on the Arctic Ocean through the transport of water, heat, nutrients, and plankton from subarctic to Arctic area. These regions are also important seasonal and long-term migration corridors supporting distributional shifts of fish, marine mammals, birds, and crustaceans.

The Agreement recognizes the importance of involving Indigenous peoples and local peoples who live in coastal communities that depend on the bordering seas of the Agreement Area. Their involvement together with the science community promotes a holistic approach to incorporating coastal communities into the understanding the Arctic Ocean ecosystems and decisions made under the Agreement. A large portion of the bordering seas of the Agreement Area are offshore of the Inuit Homeland in Greenland, Canada, United States, and Russia (Figure 3). Figure 4 illustrates the distribution of Indigenous peoples in the Russian Federation.

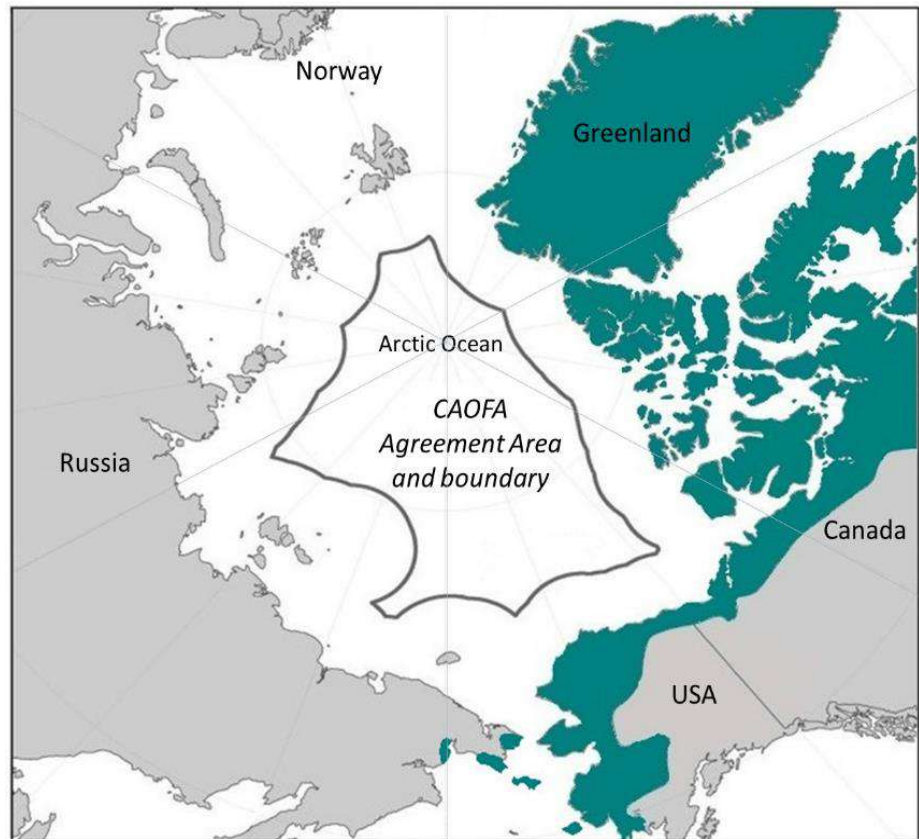
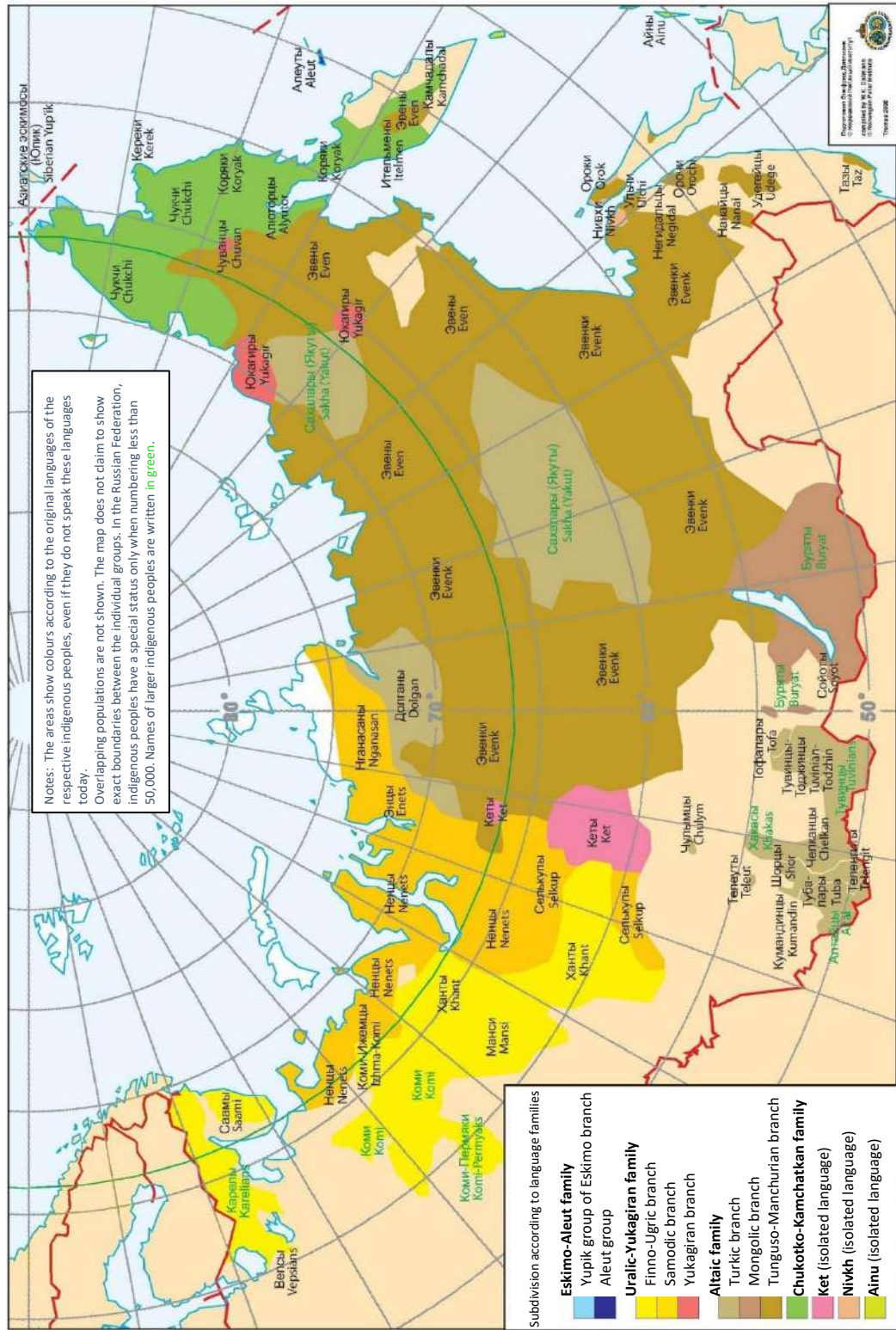


Figure 3. Proximity of the Inuit Homeland to the CAOFA Agreement Area and boundary. Dark gray line indicates the boundary of the CAOFA Agreement Area. Dark green terrestrial areas show the Inuit Homeland as described by the Inuit Circumpolar Council.<sup>1</sup>

Figure 4. Indigenous peoples of the North, Siberia, and Far East of the Russian Federation. [This figure is based on information compiled by W.K. Dallmann and published by the Norwegian Polar Institute, Tromsø (2005), but modified here to show only the distribution of Indigenous peoples in the Russian Federation.]



## 2 *Information Needs*

### 2.1 *Research and monitoring questions of the JPSRM*

To guide the development of the JPSRM with a view to achieve its aim, the PSCG drafted a list of questions that were presented to the COP in November 2022 and detailed in the Report of the Third Meeting of the PSCG (Appendix 7). Those questions were developed and refined building on the work of two meetings of the Scientific Experts on Fish Stocks in the Central Arctic Ocean (FISCAO) (Appendices 3, 4), three meetings of the Provisional Science Coordinating Group (PSCG) (Appendices 5, 6, 7). During its meeting in November 2022, the COP endorsed the two meeting reports of the PSCG report, indicating support for the work of the PSCG on the JPSRM, including the list of questions for the JPSRM developed and revised (Table 1), while also recognizing that different views existed on some questions. In particular, there was not consensus regarding the relevance of some human activities (e.g., ship noise, ship traffic, industrial activity, and pollution) to sustainable fisheries. Some delegations also expressed the need to prioritize the work of the SCG, on the objectives of the Agreement.

### 2.2 *Prioritizing information needs*

Answering the research and monitoring questions as presented in Table 1 will require focus on specific information needs (e.g., geographic areas and scales, seasonality and temporal scales, species, parameters to measure, existing information gaps). Those information needs cover many diverse topics whose relative importance and urgency will need to be evaluated as programmatic priorities are established and implemented. In the Mapping and Monitoring phase, the specific information needs will result from information gaps in geographical coverage and use of different sampling gear types.

Recognizing that there are practical limits to how and when such information can be developed into useful products and advice to the COP, the SCG will identify and set priorities for an achievable set of targets in the JPSRM implementation plan. Examples of some of the topics to be considered and assigned priorities in the JPSRM implementation plans are outlined in Appendices 8 and 9.

### 2.3 *Sources of research and monitoring information*

Article 4.4 of the Agreement directs Parties to ensure that the JPSRM takes into account the work of relevant scientific and technical organizations, bodies and programs, as well as Indigenous Knowledge and local knowledge. The SCG recognizes that all of these sources of knowledge can provide valuable insights relevant to achieving the aim of the JPSRM.

There are many sources of currently available scientific research and monitoring information relevant to the JPSRM: 1) data collected jointly for the SCG through dedicated efforts by Parties' national research programs, 2) data and reports from external groups active in the Arctic, and 3) published literature and results of recent research expeditions. Whenever possible, the SCG and its working groups will seek opportunities to utilize relevant information from published literature as well as reports and data products from external groups (e.g., national research programs, multi-lateral research initiatives, and international programs).

*Table 1. Research and monitoring questions guiding the work of the Joint Program of Scientific Research and Monitoring (JPSRM) (Appendix 2).*

<b>Overarching question</b>	<b>Specific questions</b>
1. What are the distributions of species with a potential for future commercial harvests in the Central Arctic Ocean?	a. What fish species are currently present in the high seas? b. Do fishable concentrations of commercial species exist in the high seas? c. What are their distributions and abundance patterns? d. What are their local life-history strategies, habitat associations, and demographic patterns? e. Do these strategies, associations, or patterns differ among regions of the Arctic?
2. What other information is needed to provide advice necessary for future sustainable harvests of commercial fish stocks and maintenance of dependent ecosystem components?	a. What are the trophic linkages among fishes and between fishes and other taxonomic groups (i.e. quantify food webs, including identifying keystone forage species)? b. How do fish species abundances and distributions vary in response to climate variability (e.g. time scale of change, extreme events, declining sea ice, and biogeochemical changes)? c. Can the species be harvested sustainably with respect to both target fish stocks and dependent parts of the ecosystem? If not, what are the prospects for the development of fisheries in the future?
3. What are the likely key ecological linkages between potentially harvestable fish stocks of the central Arctic Ocean and the adjacent shelf ecosystems which includes support for Indigenous communities and local communities?	a. What are the connections between fish in the High Seas and those in the adjacent regions? b. What are the mechanisms that establish and maintain these linkages? c. How might fisheries in the High Seas and that in the adjacent and congruent portions of the shelf ecosystems interact, including fish stocks, fishable invertebrates (crabs, shrimp, mollusks), marine mammals, birds, and fisheries-dependent communities (which include those communities that are dependent on subsistence harvests of fish, invertebrates, and mammals)?
4. Over the next 10-30 years, what changes in fish populations, dependent species and the supporting ecosystems may occur in the central Arctic Ocean and the adjacent shelf ecosystems?	a. Which marine species will likely increase and decrease in population size and/or productivity in the central Arctic Ocean in the next 10-30 years? b. What changes in production and key linkages are expected in the coming 10-30 years? c. What northward population expansions are expected in the next 10-30 years? d. What are the anticipated impacts of change in ocean acidification in the next 10-30 years? e. How will existing and increased human activity and pressures in the region likely affect fish populations and ecosystems, which includes support for Indigenous communities and local communities, in the next 10-30 years? f. How could increased fishing activity affect bycatch species, seabirds, migratory and wide-ranging marine mammals, and Indigenous communities and local communities that depend upon these species to sustain their ways of living?
5. What Indigenous knowledge and local knowledge is available, and how can it be taken into account, to inform ecological baselines?	

Dedicated JPSRM expeditions in the Arctic Ocean may be organized to fill existing data gaps in accordance with the Implementation Plan to be developed. Considering the time and financial cost of such expeditions, the SCG should establish spatial and topical priorities to promote efficient data collection. As needed, the SCG may also encourage and organize coordinated or synoptic surveys, monitoring, and new initiatives implemented with national and international collaborators (Table 2). For example, recent scientific expeditions and projects have collected valuable ecosystem and fish data in the Agreement Area (e.g., the international MOSAiC expedition,<sup>7</sup> 2019-2020; CHINARE Arctic expeditions, 2019-2021; several SAS expeditions, 2020-2022;<sup>8</sup> the INTAROS<sup>9</sup> project that established a Pan-Arctic collaboration between organizations, programs and projects involved in developing Arctic observing systems, 2017-2022; and other recent programs and projects relevant to the central Arctic Ocean<sup>10,11,12,13,14</sup>). Where feasible, information from complementary international science efforts should be leveraged to inform the JPSRM, such as the integration of circumpolar monitoring data on focal ecosystem components in the CAO and surrounding Arctic marine areas by the Circumpolar Biodiversity Monitoring Program (CBMP),<sup>15</sup> reports by the ICES/PICES/PAME Working Group on the Integrated

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Ecosystem Assessment for the Central Arctic Ocean (WGICA),<sup>16</sup> monitoring in the Atlantic and Pacific gateways by groups such as the Joint Russian-Norwegian Working Group on Arctic Fisheries<sup>17</sup> in the Barents Sea, the Distributed Biological Observatory (DBO)<sup>18</sup> in the Pacific gateway since 2010, and the joint Iceland-Greenland capelin and ecosystem survey in Iceland sea and Greenland sea on the western side of the Atlantic gateway the Russian–American Long-term Census of the Arctic (RUSALCA) from the Bering Strait to the northwestern Chukchi Sea since 2004, the Joint PICES/ICES Working Group on the Integrated Ecosystem Assessment for the Northern Bering Sea - Chukchi Sea<sup>19</sup>, U.S. fish and marine mammal surveys in the northern Bering, Chukchi, and Beaufort Seas, and the North Pacific Research Board’s Arctic Program.<sup>20</sup>

In addition to scientific knowledge, Indigenous Knowledge offers an opportunity to develop a holistic understanding of Arctic ecosystems to inform and support the design of the JPSRM. The Inuit Circumpolar Council has defined Indigenous Knowledge as:

Indigenous Knowledge is a systematic way of thinking applied to phenomena across biological, physical, cultural, and spiritual systems. It includes insights based on evidence and acquired through direct and long-term experiences and extensive and multigenerational observation, lessons, and skills. It has developed over millennia and is still developing in a living process, including knowledge acquired today and in the future, and it is passed on from generation to generation.<sup>1,37</sup>

For example, Indigenous Peoples who live along the U.S. Arctic coast of Alaska hold extensive knowledge of the Pacific Gateway and are involved in guiding the research together with science in the region, especially in the North Slope of Alaska. They possess extensive Indigenous Knowledge of the region, such as related to bowhead whales, other marine mammals, ship strikes, ocean currents, and the arrival of new species in the area. In the Inuvialuit settlement region of Canada, where Inuit and the government of Canada co-manage the resources, Indigenous Peoples living in these areas see first-hand the environmental changes that are occurring, especially when there are extreme events.]

### 3 Scientific Coordinating Group Responsibilities under the JPSRM

#### 3.1 Mapping and monitoring

The CAOFA requires the Parties to establish a JPSRM with the aim of improving the understanding of the ecosystems of the Agreement Area and, in particular, of determining whether fish stocks might exist in the Agreement Area now or in the future that could be harvested on a sustainable basis and the possible impacts of such fisheries on the ecosystem of the Agreement Area. For achievement of goals claimed in Article 4.1 and 4.2, A principal goal of the JPSRM is to provide the key information needed to develop answers to the research and monitoring questions that will enable the SCG to develop useful advice to the COP. The mapping phase of the JPSRM will provide a current understanding of species distributions, relative abundances, and population structure in relation to biotic and abiotic factors. The monitoring phase of the JPSRM will focus on identifications of temporal variability or trends in species distribution or ecosystem productivity. As noted above, the JPSRM’s mapping and monitoring phases will utilize several sources of information including data collected by the Parties’ national research programs as well as data and reports obtained through published literature and collaborators external to the SCG. In the monitoring phase, the SCG shall seek deep intervention/participation/collaboration in national and international programs.

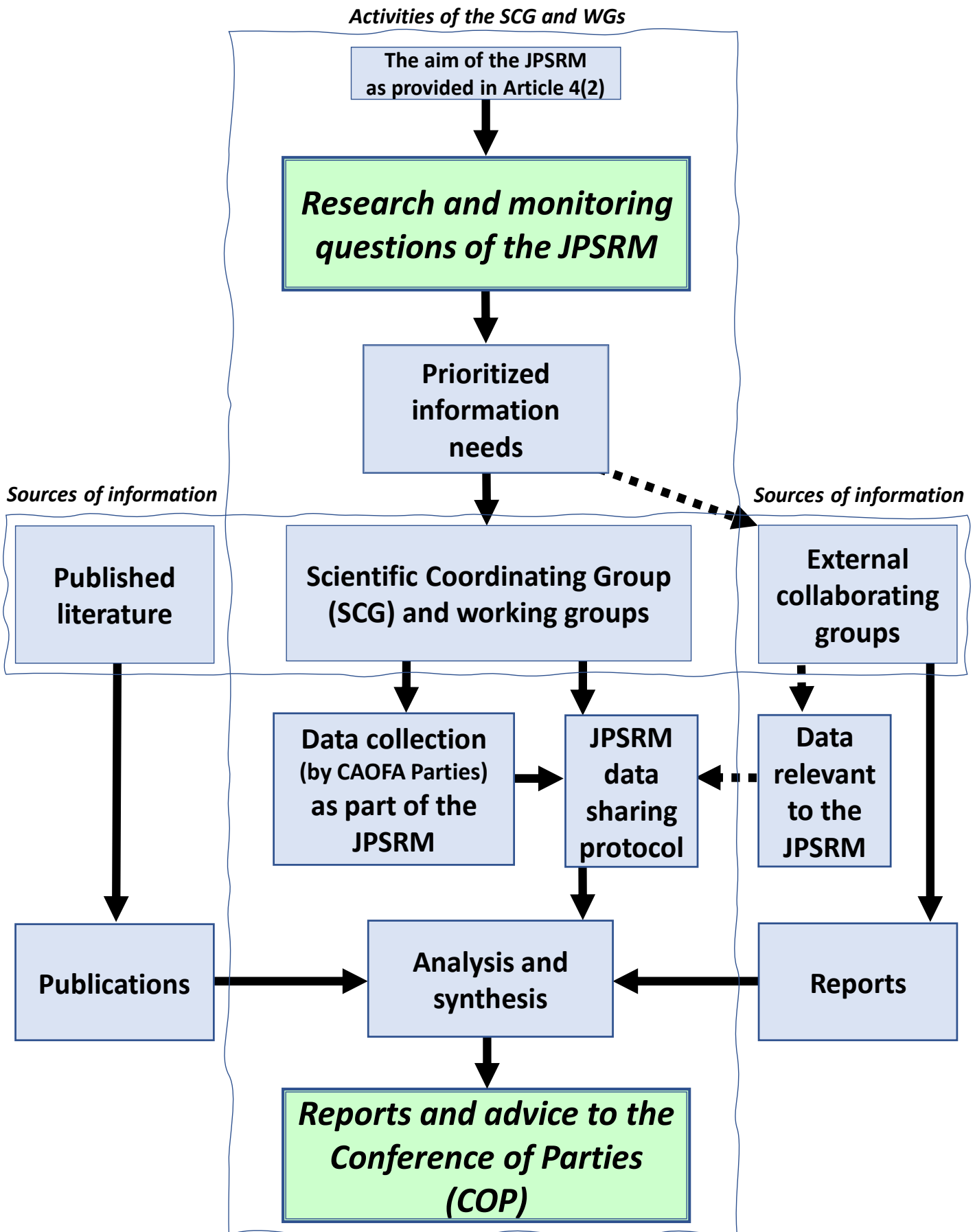


As detailed plans are developed for the implementation of the mapping and monitoring phases of the JPSRM, special attention should be given to identifying work relevant to the JPSRM that is already underway by external organizations and research initiatives, including efforts organized and led by Indigenous communities and organizations and local communities. It is clear that the Parties and the SCG cannot accomplish all of the JPSRM goals on their own. The high cost, logistical realities, and geographic breadth of the Arctic mapping and monitoring envisioned by the JPSRM require a collaborative approach. Several excellent research and monitoring programs currently exist that are collecting and analyzing data that are highly relevant to JPSRM goals (e.g., distribution and abundance, stock assessments, population status reviews, trophic interactions, and integrated ecosystem assessments). Therefore, the SCG will strive to encourage and promote the development of productive collaborations (and to avoid duplication) with some of the many external Arctic research groups (Table 2) to share data, logistical platforms, and scientific expertise.

*Table 2. Examples of Arctic organizations and research initiatives that may be interested in sharing data and collaborating with the SCG through the JPSRM.*

<b>Group type</b>	<b>Group name</b>	<b>Acronym</b>
<b>Inter-governmental and international organizations</b>	Arctic Council – Conservation of Arctic Flora and Fauna <sup>21</sup>	CAFF
	Arctic Council – Protection of the Arctic Marine Environment <sup>22</sup>	PAME
	Arctic Council – Arctic Monitoring and Assessment Program <sup>23</sup>	AMAP
	Arctic Council – Sustainable Development Working Group <sup>24</sup>	SDWG
	CAFF Circumpolar Biodiversity Monitoring Program-Marine <sup>15,25</sup>	CBMP-M
	CAFF Circumpolar Biodiversity Monitoring Program-Coastal <sup>26</sup>	CBMP-C
	ICES/PICES/PAME Working Group on Integrated Ecosystem Assessment for the Central Arctic Ocean <sup>27</sup>	WGICA
	International Arctic Science Committee <sup>28</sup>	IASC
<b>Inuit Circumpolar Council<sup>30</sup>ICC</b>	International Council for the Exploration of the Sea <sup>29</sup>	ICES
	Joint PICES/ICES Working Group on the Integrated Ecosystem Assessment for the Northern Bering Sea - Chukchi Sea <sup>19</sup>	PICES WG-44
	North Pacific Marine Science Organization <sup>31</sup>	PICES
<b>Multi-lateral research initiatives</b>	Pacific Arctic Group <sup>32</sup>	PAG
	Distributed Biological Observatory <sup>5</sup> (Pacific and Atlantic) <sup>33</sup>	DBO
	Drift Platform <i>Severny Polyus</i> <sup>34</sup>	DPSP
	European Fisheries Inventory in the Central Arctic Ocean <sup>35</sup>	EFICA
	Integrated Arctic Observations System <sup>9</sup>	INTAROS
	Multidisciplinary Drifting Observatory for the Study of Arctic Climate <sup>7</sup>	MOSAic
	North Pacific Research Board <sup>20</sup>	NPRB
	Pacific Arctic Climate Ecosystem Observatory	PACEO
	Pan-Arctic Observing System of Systems <sup>36</sup>	Arctic PASSION
	Synoptic Arctic Survey <sup>8</sup>	SAS
Tara Polar Station <sup>37</sup>	TPS	

Figure 5. From questions to advice – a framework of prioritized needs, information sources, processes, integration, and collaboration in support of the CAOFA Joint Program of Scientific Research and Monitoring (JPSRM) (solid lines indicate flow of information and products; dotted lines indicate pathways that may be agreed with external collaborators).



To answer key parts of the research and monitoring questions, the SCG will seek to organize dedicated field surveys to collect necessary information relevant to the priorities and topics as outlined in Appendices 8 and 9, which will be incorporated as part of the JPSRM implementation plan. Those efforts will be undertaken, as possible, through collaboration and joint support among the Parties' national research programs. The JPSRM implementation plan(s) will refine the specific information that will be needed (e.g., priority locations and seasons, parameters/indicators to map and monitor, types and frequency of data collection, analytical approaches).

A schematic framework describing the SCG's processes for using the JPSRM to move "From Aim to Questions to Advice" is presented in Figure 5. This framework identifies the JPSRM activities that the SCG and its working groups will conduct directly plus the contributions likely to be made by external groups and sources of relevant information.

### *3.2 Data management and sharing protocol*

In accordance with Central Arctic Ocean Fisheries Agreement (CAOFA) and the *Recommendation of PSCG to Establish a PSCG Data Sharing Protocol Working Group (DSP-WG)* approved by the COP on May 31, 2022, the Data Management and Sharing Protocol shall be part of the Joint Program of Scientific Research and Monitoring (JPSRM), which builds upon the draft plan from the 5<sup>th</sup> FiSCAO meeting and was informed by the discussions during the PSCG meetings in 2022. This hybrid framework of Data Management and Sharing Protocol consists of a centralized data management system collected specifically for the JPSRM and a distributed data management system for relevant accessible data not directly associated with the JPSRM, also taking into account other international data management policies and sharing protocols and public data portals/repositories.

#### Objective

1. For the purpose of the CAOFA, this Data Management and Sharing Protocol shall serve as part of the JPSRM to promote data sharing efficiency towards the achievement of the JPSRM aim.

#### Data management

2. This Data Management and Sharing Protocol adopts a hybrid framework for data management to include the following:
  - a. Data collected under the JPSRM are managed in a centralized data archive.
  - b. Data collected by national scientific programs, and from sources external to the SCG and the Agreement that are relevant to the review and implementation of CAOFA are recorded and maintained through distributed data archives.
3. The SCG is responsible for the overall coordination of data management and data sharing. Specific responsibilities include:
  - a. Identify roles and responsibilities of a Data Management Working Group who will be responsible to store the JPSRM data managed in the centralized data archive and coordinate metadata of the original data in the distributed data archives.
  - b. Identify the content and method of collection and sharing of Indigenous Knowledge and local knowledge.

- c. Adopt and when necessary develop new standards and formats for data collection and management in accordance with international standards and following the internationally mandated principles of FAIR, CARE, TRUST, and EEE.
  - d. Consider data submission time for different JPSRM datasets.
  - e. Consider potential embargo times for public accessibility of the different JPSRM datasets.
4. Establish a Data Management Working Group to coordinate the collection, manage, and share the data managed through the centralized data archive. Specific responsibilities of the Working Group include:
  - a. Inform all potential contributors of data to the JPSRM of the data management process under the Agreement and ensure that data will be made available swiftly and reliably, following the principles of the JPSRM data management plan.
  - b. Develop and maintain the data management and sharing system.
  - c. Ensure that JPSRM data and metadata are complete prior to acceptance.
  - d. Facilitate access by Parties of the JPSRM data for the purpose of implementation of the CAOFA.
  - e. Facilitate inclusion and sharing of Record metadata for other scientific data relevant to the JPSRM from distributed data archive where appropriate.
5. The centralized data archive shall include the following:
  - a. Data collected under the JPSRM.
  - b. Indigenous Knowledge and local knowledge collected under the JPSRM.
  - b. Metadata collected by national scientific programs.
  - c. Metadata from relevant sources external to the SCG and the Agreement.
  - d. Citation list of publications related to JPSRM data, Indigenous Knowledge, or local knowledge.
6. The distributed data archives may include the following information relevant to the implementation of CAOFA (harmonized text with 2b):
  - a. Other scientific data collected by other national scientific programs and other sources external to the SCG.
  - b. Historical data.
  - c. Environmental or ecological data.
  - d. Indigenous Knowledge and local knowledge as provided by its respective knowledge holders.
7. The data collected under the JPSRM (JPSRM data) and managed by the centralized data archive shall include:
  - a. Raw data: the data recorded by observation equipment with minimal processing to remove extraneous values recorded between sampling events, and essential calibrations.
  - b. Quality controlled data: the data after quality control that can be directly used for mapping and ecosystem evaluation.
  - c. Data products: the data generated from mapping and evaluation of fish stocks and the ecosystem.
8. The JPSRM data should be submitted in the following time:
  - a. The metadata will be submitted within one month after the completion of the data collection.

- b. The raw data will be submitted within 3 months after the completion of the data collection.
  - c. The quality controlled data will be submitted within 1 year after the completion of the data collection with consideration for data quality control requirements consistent with section 3d.
  - d. Metadata collected by national scientific programs data may be submitted to the centralized data archive within 1 year after the completion of the data collection.
9. The metadata for the centralized data archive will adopt the WMO Core Profile of the ISO 19115: Geographic Information Metadata standard.
  10. The JPSRM data shall be quality controlled by the original data observer or the owner of the observation instrument to ensure the quality of the data being processed.
  11. Data submitted to the centralized data archive shall be quality checked by the Data Management Working Group prior to acceptance into the archive.

#### Data sharing

12. Subject to sub-paragraph 13, the JPSRM data shall be exchanged among all Parties in a free and unrestricted manner for the purpose of implementing the CAOFA.
13. The collection and use of data from national programs, Indigenous Knowledge, local knowledge, and international organizations shall respect national and international data policies.
14. The maximum duration prior to public sharing of JPSRM data would not exceed two years after the completion of the data collection (e.g., project or cruise). The implementation plan will address the level of data to be made publicly available.
15. All Parties shall have equal rights and obligations regarding the management and sharing of data generated by the JPSRM.
16. The JPSRM data managed by the centralized data archive before public sharing will be password protected and accessible only by authorized Party individuals.
17. For the purposes of implementing the CAOFA before public sharing, users shall directly apply to the Data Management Working Group for access to JPSRM data, and the Working Group shall directly provide the data upon confirmation.
18. For JPSRM scientific data intended for peer review publication, users shall apply directly to the data provider for review and final decision as to whether to use and publish the data.
19. For JPSRM Indigenous Knowledge intended for publication or public dissemination, acknowledging the unique nature of interpretation of Indigenous Knowledge, users shall apply directly to the knowledge provider for review and final decision as to whether to use and publish the knowledge.

20. For scientific data, Indigenous Knowledge or local knowledge collected under the JPSRM users shall apply directly to the data provider for possible use of the data in publication or any form of public dissemination not directly related to CAOFA, and the data provider has the final decision whether the data can be used and published. This practice is encouraged and should be followed after the two year data embargo (point 14) has ended.
21. The users shall apply directly to the data provider for access to data included in the distributed data archive. The data provider shall decide whether to share and provide data.
22. The JPSRM data will include data Digital Object Identifier (DOI) standards supported by international coordination groups such as the Research Data Alliance (RDA). The Data Management Working Group shall entrust an existing organization to help data providers develop DOIs if their institutional or national data archive cannot provide the service.
23. When using the JPSRM data, the source of the data should be cited in the report or paper by means of DOI or in the acknowledgments department. If a published report or article uses data from different sources, specify the source of all the data.
24. A report or paper published using the JPSRM data, if the data provider or survey monitor contributed to the report or paper, the author of the paper or report should contact the data provider about whether to list the data provider or survey as a co-author.
25. The centralized data archive will develop a citation list of publications from the submitted citations. Whenever possible, the archive will use DOIs to link to a publication to its data source(s). The shared archive will make the citation list public via the archive website to provide a continuous record of applications and analyses of JPSRM data and JPSRM scientific achievements.

#### Terminology

26. *Centralized data management system* means that the data collected under the JPSRM are stored at a single physical location.
27. *Distributed data management system* means that the data collected by national program are stored by different programs or Parties.
28. *Metadata* are data that provides information about other data, but not the content of the data, such as the text of a message or the image of itself.
29. *Data provider* is the original entity that collected the information or provider of the information to the JPSRM archive.
30. *JPSRM data* are the scientific data, Indigenous Knowledge, or local knowledge collected under the JPSRM.

### *3.3 Reports and advice to the Conference of Parties (COP)*

As products of the JPSRM, the SCG will submit summary reports to the COP prior to its meetings based on JPSRM data collection, analyses, and syntheses. JPSRM participants will also be encouraged to publish their results in peer-reviewed journals to promote broad distribution and public awareness of the evolving ecosystem science occurring in the central Arctic Ocean.

The most important outcome of the JPSRM will be the scientific advice that the SCG will be able to generate and submit to the COP for its consideration. That advice will enable the COP to take science-informed decisions on important issues concerning management of possible central Arctic Ocean fisheries as well as their potential impacts on dependent and vulnerable species, Arctic marine ecosystems, and subsistence of Arctic Indigenous communities. To that end, it is important that the JPSRM collect data on all aspects of the CAO ecosystem to have the information that will be needed to provide advice based on sound science and Indigenous Knowledge.

## 4 External Sources of Data

### *4.1 Collaborations and protocols*

Providing focused information and advice to the COP will require substantial efforts by many. Foremost will be the research and monitoring activities taken directly by the SCG through the implementation of the JPSRM, while collaborations with relevant Arctic groups external to the SCG will be helpful for the JPSRM to succeed. Wherever possible, the JPSRM will seek to solicit and develop collaborations with international and national expeditions, research projects, and monitoring programs. For example, existing Arctic programs could be encouraged to contribute to the aim of JPSRM. Similarly, it would be very helpful if existing and new research programs operating in Arctic shelf ecosystems and the Pacific and Atlantic gateways would consider incorporating JPSRM objectives into their sampling protocols and sharing of the data.

Collaborations in the Agreement Area and linked ecosystems involving joint expeditions, coordinated ships' cruise tracks, standardized sampling protocols, cooperative deployments of scientific moorings (e.g., acoustic, optical), and the sharing of samples, data, and analytical expertise will add tremendous strength to the JPSRM. Examples of groups and research initiatives external to the SCG that may be interested in collaborating with the SCG and Parties' national programs in support of the JPSRM are listed in Table 2. Details and plans for developing such collaborations will be developed as part of the JPSRM implementation plan.

There are many ways that the JPSRM can connect to established international and national expeditions planning research projects, and monitoring programs relevant to the objectives of the JPSRM (Table 3). In these cases, most of the costs for infrastructure and research are already financed and could be leveraged to collect additional data relevant for the JPSRM. The disadvantage to this approach is that the area, route, time and other parameters of the expedition will be decided by the expedition organizers and the JPSRM will have to work with the data collected. However, for projects that are still being planned or that will occur for several more years there may be opportunities for the SCG to become a project partner and therefore contribute to joint expedition planning and resourcing.

*Table 3. Possible opportunities for external groups to measure JPSRM indicators.*

<b>Type of expedition</b>	<b>Possibilities for the JPSRM</b>	<b>Costs for the JPSRM</b>
1. Dedicated icebreaker or drift platform for JPSRM research in the Agreement Area	Decide upon expedition area, route, time, etc. and collect the complete set of JPSRM indicators	Very high costs for ship/platform infrastructure and for JPSRM equipment and scientists
2. JPSRM owned buoys to be deployed by icebreakers opportunistically	Connect to scientific oceanographic expeditions for deployment	Development of buoys, e.g., ice-tethered buoy for fish and plankton research
3. Any icebreaker or drift platform equipped for scientific research in the Agreement Area	Include as many indicators of the JPSRM as possible in all scientific (geological, oceanographic, atmospheric, biological etc.) expeditions	Extra costs for adding fishery research (acoustics, long lines, ring nets, trawling, box coring, etc.) and JPSRM scientists to the expeditions
4. Any icebreaker or drift platform accessing the Agreement Area for other reasons than scientific research (tourism, etc.)	At least collection of hydroacoustic data for mapping fish distributions	Extra costs for equipping the vessels with acoustic equipment appropriate for JPSRM data collection. JPSRM scientists are only needed before and after the expedition
5. Vessels normally working in and near ice-covered waters in the Arctic and subarctic for scientific research or monitoring	Include as many indicators of the JPSRM as possible in all scientific (geological, oceanographic, atmospheric, biological, etc.) expeditions and extend the cruise track into the Agreement Area when the ice cover allows	Extra costs for adding fishery research (acoustics, long lines, ring nets, trawling, box coring, etc.) and for extending the expeditions into the Agreement Area when the ice cover allows
6. Fishery vessels normally working in the Arctic shelf seas for standard monitoring programs	Include as many indicators of the JPSRM as possible in the standard monitoring programs and extend the cruise track into the Agreement Area when the ice cover allows	Extra costs for extending the expeditions into the Agreement Area when the ice cover allows
7. Indigenous Knowledge	Include Indigenous Knowledge holders in the design and planning of scientific research expeditions as well as on expeditions themselves	Extra costs to support the engagement of Indigenous Knowledge holders
8. Local knowledge	Include expertise of individuals or organizations (e.g., commercial fishing captains, etc.) who have detailed knowledge of the CAOFA area in design and planning or execution of scientific research expeditions.	Extra costs to support the engagement of local knowledge holders.
9. Exploratory fishing	Collection of data concurrent to exploratory fishing	Costs to outfit exploratory fishing vessels and add observers

Existing national and international monitoring programs in the Arctic shelf seas could be prepared to go further north if ice conditions allow (see Appendix 5 for a recent compilation). Examples include the Joint Russian-Norwegian monitoring program in the Barents Sea,<sup>11</sup> the Chinese National Arctic Research Expedition in the Chukchi Sea, the Distributed Biological Observatory (DBO) in adjacent regions to the Agreement Area in the Pacific Arctic and complementary efforts developing for the Fram Strait, and the Pacific Arctic Climate Ecosystem Observatory (PACEO), which includes operations in both the Pacific gateway and the Agreement Area.



Examples of other possibilities for the JPSRM are to connect to upcoming scientific icebreaker expeditions and new initiatives. Examples of the latter are a Pan-Arctic Observing System of Systems, Arctic PASSION;<sup>12</sup> a research project organizing a pan-Arctic Observation and Monitoring action including plans for an Arctic-Atlantic DBO (started 2021); the new Russian drift platform *Severny Polyus*<sup>13</sup> designed for 2-years autonomous drifting in thick Arctic sea ice focusing on meteorology and oceanography (started 2022); and the *Tara Polar station*,<sup>14</sup> a research station that is planned to drift in the CAO continuously from 2025 to 2045 collecting on-site biological data.

#### 4.2 Data sharing and reports

The SCG will explore the possibility that some external collaborators listed in Table 4 may be interested in establishing a formal relationship with the SCG to support the JPSRM in their competences. For example, it is anticipated that certain intergovernmental research and monitoring programs (e.g., the Arctic Council's CAFF Circumpolar Biodiversity Monitoring Program (both CBMP marine and coastal groups) and ICES/PICES/PAME Working Group on Integrated Ecosystem Assessment for the Central Arctic Ocean (WGICA) may be amenable to providing data or preparing reports to the SCG focused on specific topics that address JPSRM questions and prioritized information needs (Table 4 and as illustrated by dotted lines in Figure 5). Integrating such information into SCG analyses and syntheses would likely be a very effective way to strengthen the JPSRM and the SCG's advice to the COP.

## 5 Implementation

### 5.1 JPSRM implementation plan

Although this framework document has outlined a broad vision of how the JPSRM will be structured, a considerable number of details still need to be formulated. Fortunately, discussions by the Provisional Scientific Coordinating Group (PSCG) in recent years raised several important topics and suggestions that may help to guide the development of the implementation plan. During past discussions, there was general agreement on the priorities of the Implementation Plan of the CAOFA JPSRM and a series of topics that should be addressed to provide details and priorities for the JPSRM. Appendix 8 lists the priorities of the Implementation Plan, and Appendix 9 lists some of these topics (as identified in previous meetings of FiSCAO and the PSCG). Additional information that will assist in developing the JPSRM implementation plan will be identified by the SCG and its working groups.

This implementation plan will build on and revise as needed the recommendations in Appendix 8 and Appendix 9 from previous PSCG and FiSCAO meetings.

### 5.2 Provisional timeline

The SCG will establish milestones and reports aiming for completion of the implementation plan by June 2024. The operational phase of the JPSRM can start immediately after the COP has approved the JPSRM Framework, e.g., by initiatives of single Parties or preferably groups of Parties. This means that data in the context of the JPSRM can already be collected before the Implementation Plan is in place. The Implementation Plan will be revised regularly.

Table 4. Examples of external Arctic groups that may be interested in helping to answer the JPSRM research and monitoring questions through collaboration with the SCG (see Table 2 for additional groups).

Overarching questions	Specific questions	Inter-governmental and international organizations	Multi-lateral research initiatives
1. What are the distributions of species with a potential for future commercial harvests in the central Arctic Ocean?	a. What fish species are currently present in the High Seas? b. Do fishable concentrations of commercial species exist in the High Seas? c. What are their distributions and abundance patterns? d. What are their local life-history strategies, habitat associations, and demographic patterns? e. Do these strategies, associations, or patterns differ among regions of the Arctic?	Circumpolar Biodiversity Monitoring Program-Marine ( <b>CBMP-Marine</b> ) Working Group on Integrated Ecosystem Assessment in the CAO ( <b>WGICA</b> ) International Council for the Exploration of the Sea ( <b>ICES</b> ) N. Pacific Marine Science Org( <b>PICES</b> )	Drift Platform <i>Severny Polyus</i> ( <b>DPSP</b> ) European Fish. Inventory in CAO ( <b>EFICA</b> ) Multidisciplinary Drifting Observatory for Study of Arctic Climate ( <b>MOSAiC</b> ) Pacific Arctic Climate Ecosystem Observatory ( <b>PACEO</b> ) Synoptic Arctic Survey ( <b>SAS</b> )
2. What other information is needed to provide advice necessary for future sustainable harvests of commercial fish stocks and maintenance of dependent ecosystem components?	a. What are the trophic linkages among fishes and between fishes and other taxonomic groups (e.g., quantify food webs identifying keystone forage species)? b. How do the abundances and distributions of species of potential commercial interest vary as a function of climate variability (e.g., time scale of change, extreme events, declining sea ice, and biogeochemical changes)? c. Can the species be harvested sustainably with respect to both target stocks and dependent parts of the ecosystem? If not, what are the prospects for the development of fisheries in the future?	Circumpolar Biodiversity Monitoring Program-Marine ( <b>CBMP-Marine</b> ) Working Group on Integrated Ecosystem Assessment in the CAO ( <b>WGICA</b> ) International Council for the Exploration of the Sea ( <b>ICES</b> ) North Pacific Marine Science Organization ( <b>PICES</b> ) Pacific Arctic Group ( <b>PAG</b> )	European Fisheries Inventory in the CAO ( <b>EFICA</b> ) Pacific Arctic Climate Ecosystem Observatory ( <b>PACEO</b> ) Synoptic Arctic Survey ( <b>SAS</b> )
3. What are the likely key ecological linkages between potentially harvestable fish stocks of the central Arctic Ocean and the adjacent shelf ecosystems that support Indigenous and local communities?	a. What are the connections between fish in the High Seas and those in the adjacent regions? b. What are the mechanisms that establish and maintain these linkages? c. How might fisheries in the High Seas affect adjacent and congruent portions of shelf ecosystems, including fish stocks, fishable invertebrates (crabs, shrimp, mollusks), marine mammals, birds, and fisheries-dependent communities (which include those communities that are dependent on subsistence harvests of fish, invertebrates, and mammals)?	Circumpolar Biodiversity Monitoring Program-Marine ( <b>CBMP-Marine</b> ) Working Group on Integrated Ecosystem Assessment in the CAO ( <b>WGICA</b> ) International Council for the Exploration of the Sea ( <b>ICES</b> ) North Pacific Marine Science Organization ( <b>PICES</b> ) Pacific Arctic Group ( <b>PAG</b> )	Distributed Biological Observatory ( <b>DBO</b> ) European Fish. Inventory in CAO ( <b>EFICA</b> ) Integrated Arctic Observations System ( <b>INTAROS</b> ) Multidisciplinary Drifting Observatory for Study of Arctic Climate ( <b>MOSAiC</b> ) Pacific Arctic Climate Ecosystem Observatory ( <b>PACEO</b> ) Synoptic Arctic Survey ( <b>SAS</b> )
4. Over the next 10-30 years, what changes in fish populations, dependent species and the supporting ecosystems may occur in the central Arctic Ocean and the adjacent shelf ecosystems?	a. What marine species will be productive in the Agreement Area in the next 10-30 years? b. What changes in production and key linkages are expected in the coming 10-30 years? c. What northward population expansions are expected in the next 10-30 years? d. What are the anticipated impacts of changes in ocean acidification in the next 10-30 years? e. How will increased human activity in the region (e.g., ship noise, ship traffic, industrial activity, and pollution affect fish populations, dependent species, ecosystem health, and Indigenous and local communities in the next 10-30 years? f. How will increased fishing activity affect other species bycatch, migratory and wide-ranging marine mammals and birds, and the Indigenous and local communities that depend upon these species to sustain their ways of living?	Circumpolar Biodiversity Monitoring Program-Marine ( <b>CBMP-Marine</b> ) Working Group on Integrated Ecosystem Assessment in the CAO ( <b>WGICA</b> ) International Council for the Exploration of the Sea ( <b>ICES</b> ) North Pacific Marine Science Organization ( <b>PICES</b> ) Pacific Arctic Group ( <b>PAG</b> )	Distributed Biological Observatory ( <b>DBO</b> ) European Fisheries Inventory in the CAO ( <b>EFICA</b> ) Integrated Arctic Observations System ( <b>INTAROS</b> ) Multidisciplinary Drifting Observatory for Study of Arctic Climate ( <b>MOSAiC</b> ) Pacific Arctic Climate Ecosystem Observatory ( <b>PACEO</b> ) Synoptic Arctic Survey ( <b>SAS</b> )
5. What Indigenous Knowledge is available to inform ecological baselines?		Inuit Circumpolar Council ( <b>ICC</b> )	

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## 10 Abbreviations

ABNJ	Areas Beyond National Boundaries
AMAP	Arctic Monitoring and Assessment Program (a working group of the Arctic Council)
AIERP	Arctic Integrated Ecosystem Research Program (a research initiative of the U.S. North Pacific Research Board)
AMAP	Arctic Mapping and Assessment Program (a working group of the Arctic Council)
PASSION	Pan-Arctic Observing System of Systems
CAFF	Conservation of Arctic Flora and Fauna (a working group of the Arctic Council)
CAO	Central Arctic Ocean
CAOFA	Central Arctic Ocean Fisheries Agreement
CBMP	Circumpolar Biodiversity Monitoring Program (a circumpolar program of the Arctic Council's CAFF WG)
CAOFA COP	Conference of the Parties of the Central Arctic Ocean Fisheries Agreement
CDOM	Chromophoric (or Colored) Dissolved Organic Matter
CTD	Oceanographic instrument for measuring conductivity (salinity), temperature and depth in the water column
DBO	Distributed Biological Observatory
DOI	Digital Object Identifier
DPSP	Drift Platform <i>Severny Polyus</i>
DSP-WG	Data Sharing Protocol Working Group of the SCG
EEZ	Exclusive Economic Zone
EFICA	European Fisheries Inventory in the Central Arctic Ocean Consortium
EU	European Union
FiSCAO	Scientific Experts On Fish Stocks In The Central Arctic Ocean

IASC	International Arctic Science Committee
ICC	Inuit Circumpolar Council
ICES	International Council for the Exploration of the Sea (intergovernmental)
IEA	Integrated Ecosystem Assessment
IK	Indigenous Knowledge
LK	Local knowledge
INTAROS	Integrated Arctic Observations System
JPSRM	Joint Program of Scientific Research and Monitoring
LME	Large Marine Ecosystem (developed by the USA NOAA to identify ocean areas for conservation purposes)
MM-WG	Mapping and Monitoring Working Group of the SCG
MOSAIC	Multidisciplinary drifting Observatory for the Study of Arctic Climate
NPRB	North Pacific Research Board
PACEO	Pacific Arctic Climate Ecosystem Observatory (a international research initiative of the Pacific Arctic Group)
PAG	Pacific Arctic Group
PAME	Protection of the Arctic Marine Environment (a working group of the Arctic Council)
PICES	North Pacific Marine Science Organization (intergovernmental)
PSCG	Provisional Scientific Coordinating Group
SAS	Synoptic Arctic Survey
SCG	Scientific Coordinating Group
SDWG	Sustainable Development Working Group (a working group of the Arctic Council)
TPS	Tara Polar Station
WGICA	ICES/PICE/PAME Working Group on the Integrated Ecosystem Assessment for the Central Arctic Ocean

Appendix 8: Data needed to fulfill the goals of the CAOFA JPSRM

1. Indicators, devices and methods

A broad set of JPSRM indicators, devices and methods will be tested during the three-year mapping phase. At the end of the mapping phase, the efficiencies of each of the indicators and the efforts to obtain reliable measurements will be evaluated. For the subsequent 13-year monitoring phase a smaller number of quantitative monitoring indicators will be selected for the JPSRM. During both the mapping and monitoring phases intercalibration of methods will take place regularly, and other forms of calibration and collaboration, e.g., the exchange of samples, will be facilitated within the JPSRM to maintain data consistency and allow data to be combined in analyses.

Table 3: JPSRM indicators in relation to the overarching research questions of the JPSRM (cf. Table 1). JPSRM question 5 is not included in the table as it asked about ILK data availability; it was not a question that would be addressed by the JPSRM. Ice camps including shorter or longer periods when an icebreaker is drifting with the ice with engines turned off)

Overarching question	JPSRM Indicator	Ecosystem parameter / knowledge gained
1. What are the distributions of species with a potential for future commercial harvests in the Agreement Area?	<p><b>Hydroacoustics with standardized settings</b></p> <ul style="list-style-type: none"> <li>• Area scattering coefficient (NASC), 18, 38, 70 Khz, 0-800 m depth</li> <li>• Collected during open water or ice camps</li> </ul>	Fish abundance and biomass
	<p><b>Catch per unit effort with standardized long lines</b></p> <ul style="list-style-type: none"> <li>• Number of fish by species</li> <li>• Age distribution</li> <li>• Length distribution</li> <li>• Weight distribution</li> <li>• Collected during open water or ice camps</li> </ul>	Fish species, age and size distributions [+Calibration of acoustic data (target strength)]
	<p><b>Catch per effort with standardized trawling in larger leads and open-water areas</b></p> <ul style="list-style-type: none"> <li>• Number of fish by species</li> <li>• Age distribution</li> <li>• Length distribution</li> <li>• Weight distribution</li> <li>• Collected during open water or ice camps</li> </ul>	Fish species, age and size distributions [+Calibration of acoustic data (target strength)]
	<p><b>Population demographics</b></p> <ul style="list-style-type: none"> <li>• Sex</li> <li>• Maturity</li> <li>• Fecundity</li> <li>• Length frequency</li> <li>• Collected during open water or ice camps</li> </ul>	Population trends
	<p><b>Box-core sediment otoliths</b></p> <ul style="list-style-type: none"> <li>• Number of fish by species</li> <li>• <sup>14</sup>C age</li> <li>• Life-time age distribution</li> </ul>	Fish species, age and size distributions during the Holocene (ca. 10,000 years) [provides fish data with climate variability for modelling studies]

	<ul style="list-style-type: none"> <li>• Length distribution (modelled)</li> <li>• Weight distribution (modelled)</li> <li>• Collected during open water</li> </ul> <p><b>Deep-sea video cameras</b></p> <ul style="list-style-type: none"> <li>• Number of fish and squid</li> <li>• Species identification</li> <li>• Collected during ice camps</li> </ul> <p><b>Environmental DNA (eDNA)</b></p> <ul style="list-style-type: none"> <li>• Amplicon sequences cytochrome c oxidase subunit 1 (CO1), Cyt b</li> <li>• Amplicon sequences rRNA 12S</li> <li>• Metagenomic sequences</li> <li>• Collected during open water or ice camps</li> </ul>	<p>Fish and squid distributions</p> <p>Species distributions of fish, squid, their invertebrate prey, and their mammal and bird predators</p>
<p>2. What other information is needed to provide advice necessary for future sustainable harvests of commercial fish stocks and maintenance of dependent ecosystem components?</p>	<p><b>Hydroacoustics with standardized settings</b></p> <ul style="list-style-type: none"> <li>• Area scattering coefficient (NASC), 120, 200, 333 Khz, 0-800 m depth</li> <li>• Collected during open water or ice camps</li> </ul> <p><b>Fish, zooplankton, marine mammal and seabird samples</b></p> <ul style="list-style-type: none"> <li>• Stomach contents (genomic)</li> <li>• Stable isotopes (<sup>13</sup>C, <sup>15</sup>N)</li> <li>• Fatty acids composition</li> <li>• Collected during open water or ice camps</li> </ul> <p><b>Distribution/numbers/biomass of dependent ecosystem components</b></p> <ul style="list-style-type: none"> <li>• Phytoplankton</li> <li>• Zooplankton</li> <li>• Benthos</li> <li>• Marine mammals</li> <li>• Sea birds</li> <li>• Collected during open water or ice camps</li> </ul> <p><b>Ambient and fossil otoliths</b></p> <ul style="list-style-type: none"> <li>• Stable isotope <sup>13</sup>C</li> <li>• Stable isotope <sup>18</sup>O</li> <li>• Collected during open water</li> </ul> <p><b>Habitat data (water column, sea ice)</b></p> <ul style="list-style-type: none"> <li>• Depth</li> <li>• Temperature</li> <li>• Salinity</li> <li>• Current direction and speed</li> <li>• Dissolved oxygen</li> <li>• Nutrient concentrations (e.g. nitrate, nitrite)</li> <li>• Carbonate system</li> <li>• Light levels</li> </ul>	<p>Fish prey distribution and biomass</p> <p>Trophic linkages among fishes and between fishes and other taxonomic groups</p> <p>Community composition Opportunities for interactions among trophic levels</p> <p>Reconstruction of ambient temperature and metabolic activity during life span</p> <p>Coupling between fish, squid and zooplankton abundances, distributions and trophic linkages and climate variability (food web modelling)</p> <p>Coupling between fish, squid and zooplankton abundances and distributions and ecosystem productivity (modelling)</p>



	<ul style="list-style-type: none"> <li>• CDOM fluorescence</li> <li>• Chlorophyll fluorescence</li> <li>• Chlorophyll <i>a</i> concentrations</li> <li>• Particle concentrations (e.g. particulate organic carbon, particulate nitrogen)</li> <li>• Flow cytometry</li> <li>• Particulate organic carbon and <math>\delta^{13}\text{C}</math></li> <li>• Benthos (abyssal community)</li> <li>• Marine litter (e.g. microplastics, PCBs, Hg, oil)</li> <li>• Bottom topography and type</li> <li>• Collected during open water or ice camps</li> </ul>	
<p>3. What are the likely key ecological linkages between potentially harvestable fish stocks of the Agreement Area and the adjacent shelf ecosystems that support Indigenous and Local Communities?</p>	<ul style="list-style-type: none"> <li>• Population genetics of fish, squid, invertebrates, marine mammals and seabirds caught both in the Agreement Area and adjacent regions in all seasons</li> <li>• Numbers of seabirds and mammals both in the Agreement Area and adjacent regions</li> </ul>	<p>Connectivity between fish in the Agreement Area and those in the adjacent regions Mechanisms that establish and maintain these linkages</p> <p>Abundance and connectivity of seabirds and marine mammals in the Agreement Area and adjacent regions</p>
<p>4. Over the next 10-30 years, what changes in fish populations, dependent species and the supporting ecosystems may occur in the central Arctic Ocean and the adjacent shelf ecosystems?</p>	<p><b>Evaluation of the JPSRM indicators</b></p> <ul style="list-style-type: none"> <li>• Literature studies in relation to the sampled JPSRM indicators and comparison of the JPSRM results with published data from other regions in the Arctic Ocean</li> <li>• Modelling studies of fish and squid abundances and distributions in relation to food web and ecosystem productivity</li> <li>• Evaluation if species can be harvested sustainably with respect to both target fish stocks and dependent parts of the ecosystem</li> <li>• Long-term trends in the nekton community</li> <li>• Long-term changes in the plankton community</li> <li>• Long-term changes in the benthic community</li> </ul>	<p>Which marine species are likely to be productive in the Agreement Area in the next 10-30 years</p> <p>Which changes in production and key linkages are expected in the Agreement Area in the coming 10-30 years</p> <p>What northward population expansions into the Agreement Area are expected in the next 10-30 years</p> <p>What are the anticipated impacts of changes in ocean acidification in the Agreement Area in the next 10-30 years</p> <p>How increased human activity in the Agreement Area (e.g. ship noise, ship traffic, industrial activity, and pollution) is expected to affect fish populations, ecosystem health, and communities in the next 10-30 years</p> <p>How increased fishing activity in the Agreement Area is expected to affect other species bycatch, migratory and wide-ranging marine mammals, and the Indigenous and local communities that depend upon these species to sustain their ways of living</p> <p>Evaluation of how fisheries in the Agreement Area might affect adjacent and congruent portions of shelf ecosystems, including fish stocks, fishable invertebrates (crabs, shrimp, mollusks), marine mammals, birds, and</p>

		fisheries-dependent communities (which include those communities that are dependent on subsistence harvests of fish, invertebrates, and mammals)
5 What Indigenous Knowledge is available to inform ecological baselines?	<ul style="list-style-type: none"> <li>• Historical and recent changes in harvests, number of animals (i.e. how did the catch of marine mammals and fish fluctuate over the years?)</li> <li>• Sea ice, ocean currents, tides, weather patterns, and other environmental conditions</li> <li>• observed by communities</li> <li>• Movement, distribution, and diet of marine mammals, fish and birds</li> </ul>	<ul style="list-style-type: none"> <li>• Direct, year-round observations of the ecosystems throughout generations</li> <li>• Abundance, distribution, and trophic linkages of invertebrates, fish, birds and marine mammals</li> </ul>

2. Hydroacoustic data collection

Hydroacoustics with 38, 70 and 120 kHz transducers targeting 0-800 m of depth from all ships and drift platforms entering the Agreement Area. Hydroacoustics with a 38 kHz transducer is effective for observing fish with swim-bladders. Hydroacoustics with 70 or 120 kHz transducers have shorter effective observation ranges but can observe smaller organisms (e.g. zooplankton) or fish without a swim-bladder.

In the Eurasian Basin the central Arctic mesopelagic scattering layer occurs in the Atlantic water layer at 100-600 m of depth, but this may be lower on the Pacific side. No usable acoustic data can be collected while steaming in ice due to the sound of ice-breaking. Therefore, it is recommended to stop the engines for ten minutes and drift with the ice after a certain time window. For example: steaming 50 min, drifting 10 min. Drift platforms are ideal for collecting acoustic data. Disturbances from the ship can occur (electrical, mechanical, acoustic) and should be avoided while collecting acoustic water-column data. When possible, hydroacoustic measurements should be collected and combined with trawling, but this is only possible if open water is available. It may also be advantageous to use hydroacoustics on smaller platforms, such as submerged moorings, ROVs or autonomous gliders.

3. Sampling of fishes and benthos

Fish sampling methods adapted to the Agreement Area need to be developed further during the mapping phase. Methods need to be evaluated to ensure that vulnerable habitats are not damaged in the long term. Recent surveys have found very low abundance of mesopelagic fishes due to the low productivity of the ecosystem; therefore, the sampling effort required to collect specimens is expected to be higher than in comparable surveys in subarctic or temperate waters. In the Eurasian Basin, long-line fishing seemed to be only successful for larger predatory fish species >30-40 cm, while small mesopelagic fish species could not be caught by line-fishing, gill nets, ring nets or traps. On the echosounder, the few fish that occur have been seen fleeing any sampling gear that is lowered in the water column (which proves that fish are present but difficult to sample). Trawling with ice-modified trawls has been successful the results have reaffirmed the low densities encountered by previous expeditions ([Annex 14-15](#)). Despite these challenges, the use of

multiple fishing gears is encouraged in order to capture as diverse a range of fish samples as possible. In particular, sampling of sympagic fishes (ice-associated polar cod juveniles) in the Agreement Area is possible using a special-designed “Surface- and Under-Ice Trawl (SUIT) that has proven successful at sampling sympagic fishes under ice cover. Benthic fishes observed in the central Arctic Ocean consist of non-commercial species, except for Greenland halibut *Reinhardtius hippoglossoides* of which single (juvenile) specimens have been encountered in the southern part of the Agreement Area during two sampling events ([Annex 04 and 14](#)). Although bottom trawling can be very disruptive to benthic habitats and should be avoided in sensitive benthic areas such as locations with concentrations of corals and sponges, trawls conducted for scientific purposes corresponding to the JPSRM will be allowed if precautionary measures are taken before trawl operation. Prior to using benthic trawls and other disruptive sampling methods the benthic habitat should be examined using non-disruptive methods such as drop cameras, near-bottom video sleds or ROVs to determine if the area represents a sensitive benthic area. For efficiency forward-looking trawl-mounted cameras could be used if they allow live-video that can be viewed by the captain that provides observation of the seafloor sufficiently far ahead of the sampling gear to allow the captain to abort deployment before the gear makes contact with the seafloor. In addition, benthos, particularly macrobenthos, play an important role in ecosystem functioning and processes. Benthic standing stocks may support key benthic-feeding apex predators, including Pacific walrus (*Odobenus rosmarus divergens*), gray whales (*Eschrichtius robustus*), and bearded seals (*Erignathus barbatus*), thus functioning as a crucial component in the Arctic food-web. Therefore, full considerations should be given to sampling of various benthic invertebrates using box corers or alternate methods.

#### 4. Holocene otoliths

Fish species distributions in the Agreement Area over a longer time scale (Holocene, ca. 10,000 years) can be assessed from otoliths in deep-sea sediments ([Annex 12-13](#)). To collect enough otoliths a large box core sample is necessary (e.g., surface 50×50 cm, the Holocene layer in the CAO ca. 10-15 cm deep). The geological age of the otoliths is dated with the <sup>14</sup>C method, the age of the fish at death is determined from otolith increments. During the Holocene there have been warmer and colder periods, notably the Holocene thermal maximum from around 9000 to 5000 years before present. Thus, the results can be used for modelling of fish abundance in relation to climate variability. The ambient temperature experienced by the fish is reconstructed with the stable isotope ratio  $\delta^{18}\text{O}$ , and metabolic activity by the stable isotope ratio  $\delta^{13}\text{C}$  in the otoliths. The number of otoliths in each layer can be related to temperature and we can predict if fish stocks will increase with climate warming in the future. From the otoliths we can also extract the age of the fish when they died and assess the impacts of temperature on maximum age and age structure of fish stocks.

#### 5. Environmental DNA

Environmental DNA (eDNA) can be used to reconstruct species distributions. A genomic pipeline for Arctic samples focusing on fish and zooplankton is being tested by EFICA (the European Fisheries Inventory in the Central Arctic Ocean Consortium) and results will be evaluated by 20 February 2023 ([Figure 3](#)). Several methods using whole metagenome and amplicon sequencing are used to construct distribution maps of fish, squid, and key zooplankton, perhaps also birds and mammals. When taking eDNA samples all rules for clean sampling in molecular biology must be used. The method is very sensitive and special care should be taken to not contaminate samples from the water column and the ice with, e.g., fish bait (use obligate freshwater species as bait) or waste water discharge from the ship (forbid any ship discharge before sampling has been terminated at each sampling station).

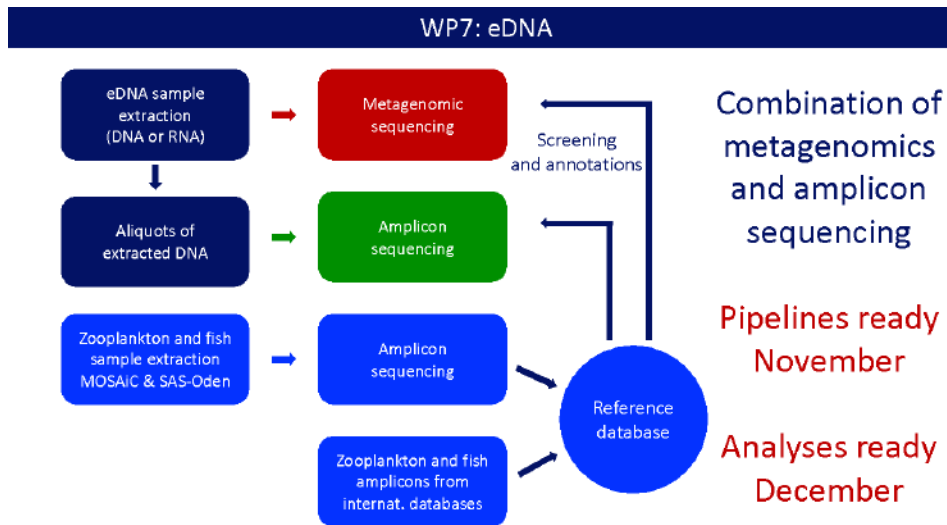


Figure 3: EFICA pipelines for eDNA analyses.

## 6. Deep-sea cameras

Underwater cameras, ROVs and AUVs currently exist that could be deployed to collect data on fish and invertebrate species both on the benthos and in the water column where sampling is extremely difficult. Combining image collection with automatic detection of moving objects (fish, squid, macrozooplankton) from drifting and moored platforms is a good complement to assess species distributions in the Agreement Area and could potentially be a non-destructive sampling method. Experience has indicated that attaching a camera to a CTD has limited success for fish and squid because a CTD moves fast except during water sampling for very short times at specific depths, and fish actively avoid the moving CTD. Due to the generally low abundance of fish and squid, recording many hours is necessary. Thus, targeted deployments of cameras is likely to result in higher success in capturing abundance and distribution patterns of fishes and squids. There has been considerable research in recent years into combining acoustic and optical surveys for fishes (e.g. deployments of cameras guided by acoustic observations of fish). ROV's and AUV's could both be deployed to target both midwater and benthic species. There is also potential to deploy towed camera systems, drift camera systems or stationary camera systems (e.g. floating in the water column, but anchored to the seafloor) that could cover larger areas and potentially require less cost and technological expertise. Size data for species can also be obtained from either using calibrated stereo cameras or laser systems. Finally, underwater cameras can be combined with other gear types for auxiliary data collection. For example mounting stereo-cameras in trawl nets can allow estimation of gear selectivity or even allow fishing with an open codend that becomes a non-destructive method of capturing abundance and size information.

## 7. Trophic linkages

Trophic linkages among fishes and between fishes and other taxonomic groups are studied by analyzing stomach contents with metabarcoding and by comparing stable isotope ratios  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  in zooplankton and fish muscle. An additional method used as a trophic tracer is fatty acid composition in fish (and squid) muscle and liver and in other components of the food web, but this method is more elaborate and expensive. Estimates of phyto- and zooplankton biomass and numbers will be based upon net catches, as well as from acoustic (AZFP and ADCP) data. Phyto- and zooplankton species will be determined from

plankton net hauls. Sediment traps collect sinking particles associated with the phyto- and zooplankton distributions and carbon cycles. Mooring systems including sediment traps with physical, chemical, and biological sensors can monitor annual and interannual changes in phyto- and zooplankton communities.

#### 8. Physical and biogeochemical data

As a standard, research vessels collect oceanographic data with a CTD to measure conductivity (salinity), temperature and depth. CTD rosettes usually carry other instruments as well, such as CDOM fluorescence, chlorophyll fluorescence, UVP particle concentrations. Water samples are taken to measure basic indicators of ecosystem productivity, such as dissolved oxygen, inorganic and organic nutrients, CO<sub>2</sub> (carbonates), chlorophyll *a* concentration, photosynthetic pigments, particulate organic carbon (POC), d<sup>13</sup>C, flow cytometry (cell abundances of bacteria and primary producers), etc. Acoustic Doppler Current Profilers (ADCP) can be used to estimate changes in fluxes and water masses northward through the Atlantic and Pacific gateways, which may be linked to species range expansions either by affecting environmental conditions or entrainment of individuals. Moorings with ADCPs placed in various locations in the gateway would facilitate monitoring of changes in currents.

All these data are useful for modelling fish-stock abundance in relation to the environment and trophic status. For the JPSRM it would be useful to collect all CTD profiles available in international databases made in the Agreement Area during the last 30 years as well as all CTD profiles that will become available during the 14 years of the JPSRM.

#### 9. Population genetics of fish and squid

Population genetic analyses of fish and squid caught both in the Agreement Area and adjacent regions establish connectivity pathways between coastal spawning areas and adults living in the Agreement Area. Principal candidates for such studies (based on the current knowledge) are polar cod *Boreogadus saida*, ice cod *Arctogadus glacialis*, Atlantic cod *Gadus morhua*, Greenland halibut *Reinhardtius hippoglossoides*, Walleye pollock *Gadus chalcogrammus*, Arctic skate *Amblyraja hyperborea*, and armhook squid *Gonatus fabricii* that all are known to occur in the Agreement Area. Other candidates include haddock *Melanogrammus aeglefinus*, Bering flounder *Hippoglossoides robustus*, Alaska plaice *Pleuronectes quadrituberculatus*, and beaked redfish *Sebastes mentella*. Many species of fish are also relied upon by Arctic Indigenous communities who live adjacent to the Agreement Area.

#### 10. Distribution of birds and mammals

Distributions of marine birds and mammals both in the Agreement Area and adjacent regions are necessary to assess the abundance and connectivity of fish predators in the Agreement Area. Marine mammals, migratory birds and seabirds, their flyways and nesting colonies, are also significant and new to be understood, especially with increasing changes in Arctic ecosystems. Many migratory birds, seabirds and marine mammals are relied upon by Arctic Indigenous who live adjacent to the Agreement Area; an important goal of the JPSRM is understanding potential impacts from fisheries on the ecosystem to ensure CAO ecosystems remain healthy and productive, including maintaining healthy marine mammal and bird populations that sustain ongoing harvests. Very few data exist from the Agreement Area and they are mainly anecdotal. It is anticipated that bird and marine mammal densities are currently low in the Agreement Area, with the exception of the Chukchi Sea. A possible task for the JPSRM could be to compile data from as many previous expeditions to the Agreement Area as possible, e.g., using photographic documentation by cruise participants.

### 11. Indigenous Knowledge

The ICC has defined Indigenous Knowledge as:

“Indigenous Knowledge is a systematic way of thinking applied to phenomena across biological, physical, cultural, and spiritual systems. It includes insights based on evidence and acquired through direct and long-term experiences and extensive and multigenerational observation, lessons, and skills. It has developed over millennia and is still developing in a living process, including knowledge acquired today and in the future, and it is passed on from generation to generation.

Under this definition, Indigenous Knowledge goes beyond observations and ecological knowledge, offering a unique way of knowing to identify research needs and apply to research, monitoring, assessments, decision-making, policy and the overall understanding the Arctic – it is our Way of Life.”

Inuit bring a holistic understanding of the Arctic ecosystem, our homeland, which looks at the dynamic relationship between its components that are interrelated and interdependent. Because of this unique understanding, Inuit have thrived and survived in the Arctic for thousands of years.

### 12. Local Knowledge

Local knowledge is the knowledge that people in a given community have developed over time, and continue to develop. It is:

- Based on experience
- Often tested over centuries of use
- Adapted to the local culture and environment
- Embedded in community practices, institutions, relationships and rituals
- Held by individuals or communities
- Dynamic and changing

### 13. Modelling studies

Data regarding species distributions, particularly in relation to oceanographic conditions, water depth and benthic morphology and substrate (for benthic species), and results of diet studies and trophic analyses can be combined to develop models of CAO populations and communities. Given expected low abundances for most species and the relatively short duration of the mapping phase (3 years) local data on reproductive rates and other demographic parameters will be limited and likely will need to be borrowed from other populations for model development. The monitoring phase of the JPSRM will provide an opportunity to estimate demographic variables and patterns within the CAO to support model refinement prior to fishery development.

**Appendix 9.** Excerpts from FiSCAO and PSCG meetings providing examples of topics that should be addressed when establishing priorities for the JPSRM implementation plan. These examples are not listed in priority order, and they comprise only a partial list of relevant topics to be considered in the implementation plan.

Sampling information from subareas of the CAO High Seas and adjoining marine areas.
Criteria for prioritizing subareas in concerning the relative availability (or lack) of information, degree of sea ice loss, and water depth. Examples of potential demersal areas include the East Siberian Sea including the Chukchi Borderlands and waters northwest of Wrangel Island.
Refuge areas for polar fishes from climate change effects, both physical and biological, within which species can complete their lifecycles are of particular ecological importance.
Synoptic mapping surveys conducted over as much of the High Seas CAO as possible following standardized sampling protocols and the use of consistent data formats.
Historic and contemporary baseline data that may be available through indigenous and local knowledge holders regarding species distributions and abundances, and environmental conditions in waters adjacent to the High Seas CAO, and to a lesser extent within the High Seas CAO.
Data from previous data collection programs to be identified and prioritized for the Pacific and Atlantic gateways.
Pelagic surveys conducted in areas where there have been documented, observed, or expected northward range expansions by potentially harvestable species.
Surveys in areas where environmental changes have been documented or are expected to occur.
Identifying which indicators are most important for detecting change in the current and future status of commercial fish stocks and dependent (subsistence harvested and protected) species.
The extent to which the JPSRM should focus on marine species that are: 1) potential targets of commercial fisheries, 2) harvested for subsistence purposes, or 3) already protected by governmental or intergovernmental conservation measures.
Data collection priorities focusing on: 1) identifying fish species distributions and relative abundances, 2) understanding population structure and the factors affecting species distributions and productivity.
Assessing the availability and viability of data for species of commercial and subsistence interest, including: 1) distributions of potential commercial fishes and invertebrates, 2) fishing vessel activity in waters adjacent to the High Seas CAO, and 3) marine mammal and seabird abundance, distributions, diets, condition or foraging behaviors.
Cumulative impacts on ecosystems due to anthropogenic activities in addition to potential impacts of commercial fisheries (e.g., shipping, energy).
Understanding broad ecosystem components, including: zooplankton transport and potential establishment into the High Seas CAO, deep scattering layer, primary productivity and associated variables, sea ice, ocean currents, sea temperature, ocean acidification.
Current physical, chemical and biological oceanographic conditions and the distributions and abundances of marine invertebrates, fishes, mammals, and birds in the High Seas portion of the central Arctic Ocean and surrounding waters.



## **APPENDIX 7**

### **Rules of Procedure for the Scientific Coordinating Group of the Parties to the Agreement To Prevent Unregulated High Seas Fisheries In The Central Arctic Ocean (As adopted by the COP 14 June, 2023)**

#### **Preamble**

**RECALLING** that the Scientific Coordinating Group (SCG) was established by the Conference of the Parties (COP) to the *Agreement To Prevent Unregulated High Seas Fisheries In The Central Arctic Ocean* during its meeting of November 23-25, 2022, building on the work previously conducted by meetings of the Provisional Scientific Coordinating Group established by the Signatories to the Agreement and, prior to this, by the Scientific Experts on Fish Stocks in the Central Arctic Ocean (otherwise known as the FiSCAO);

**ACKNOWLEDGING** that the SCG was established pursuant to Article 4(6) of the Agreement to provide scientific support and advice to the COP on matters related to the implementation of the Agreement, the development of reports and advice for the meetings of the COP, and the provision of support for the scientific work called for under the Agreement;

**AND RECOGNISING** that Terms of Reference found in Appendix 1 were adopted by the COP to the Agreement for the SCG for the function of the joint scientific meetings in accordance with Article 4(6) of the Agreement,

The SCG meetings shall be governed by the following Rules of Procedure:

#### **1. Definitions**

For the purposes of these rules:

- (a) “Agreement” means the *Agreement to Prevent Unregulated High Seas Fisheries In The Central Arctic Ocean*, done at Ilulissat, Greenland on October 3, 2018 and entered into force on June 25, 2021;
- (b) “Party” or “Parties” means a Party or the Parties to the Agreement;
- (c) “Conference of the Parties” (COP) is as defined in the Rules of Procedure for the Conference of the Parties to the Agreement; and
- (d) “SCG” refers to the Scientific Coordinating Group established by the COP to lead the joint scientific research and monitoring work under the Agreement and to govern the joint scientific meetings.

## 2. Membership

- (a) Each Party shall be a Member of the SCG.
- (b) Each Member of the SCG shall be represented by a delegation appointed by each Party, including scientists, technical experts, holders of Indigenous Knowledge and holders of local knowledge as the respective Party deems appropriate.
- (c) Observers referenced in Rule 9 and invited external experts referenced in Rule 4(e)(ix) are not Members of the SCG.
- (d) Each Party shall send a preliminary list of its delegates and primary point of contact to the SCG Chairperson at least twenty calendar days in advance of the SCG meetings. Each Party shall submit a final list of delegates and primary points of contact to the SCG Chairperson as soon as possible, but no later than 24 hours after the opening session of the SCG meetings.
- (e) Each Party shall promptly notify the SCG Chairperson of any changes to the primary point of contact for its delegation in the period between meetings.

## 3. Terms of Reference

The Terms of Reference (ToR) for the SCG meetings adopted by the COP in November 2022 are attached as Appendix 1. These ToR may be amended by the COP taking into account Articles 4 and 5 of the Agreement.

## 4. Chairpersons

- (a) The Chairperson and Vice-chairperson are nominated by the SCG from among the Parties for a term of two years, and approved by the COP. The chairpersons should preferably rotate among the Parties so as to ensure a fair sharing of responsibilities and opportunities and should reflect a gender balance.
- (b) The Chairperson and Vice-chairperson shall be eligible for re-appointment but shall not serve for more than two successive 2-year terms in the same capacity.
- (c) The Chairperson and Vice-chairperson shall take office immediately upon approval of their appointment by the COP.
- (d) The Chairperson, and Vice-chairperson when acting as Chairperson, shall cease to act as a representative of a Party when performing the duties of the Chairperson.
- (e) The duties of the Chairperson, exercised in cooperation, as appropriate, with the Vice-Chairperson during meetings of the SCG or the intersessional periods, shall include to:
  - (i) convene meetings;

- (ii) preside over meetings;
  - (iii) draft and distribute the provisional agenda;
  - (iv) establish subsidiary bodies as per Rule 8;
  - (v) process requests and convey the Parties' decisions regarding observer status at SCG meetings as per Rule 9;
  - (vi) oversee the production of a report of the proceedings of each meeting as per Rule 7;
  - (vii) distribute the report adopted by the SCG to SCG Members and observers, the Chairperson of the COP and the Parties;
  - (viii) present the report adopted by the SCG at the COP meetings, and present the report to external meetings of other bodies and organisations ; and,
  - (ix) Unless the Parties decide otherwise pursuant to Article 6 of the Agreement, following consultations with the SCG Members<sup>1</sup>, invite external experts to meetings of the SCG and its subsidiary bodies. External experts would attend in their personal capacity and not represent a Party or organization and would have no status at the meeting other than to provide specific advice to the SCG on particular issues.
- (f) Whenever the Chairperson is unable to perform duties set out in Rule 4(e), the Vice-chairperson shall exercise the power and duties prescribed for the Chairperson.
- (g) If the position of the Chairperson is vacated during a term, the Vice-chairperson shall, notwithstanding Rule 4(a), serve as Chairperson for the balance of the term, until a new Chairperson is appointed by the COP. In such circumstances, the COP may appoint a new Vice-chairperson to serve in this role for the balance of the term.

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<sup>1</sup> The SCG Members understand that the words “following consultation with the SCG Members” in these Rules of Procedure require the Chairperson to consult all Members and subsequently inform them of the decision verbally or in writing. If a Member considers that the view it communicated is not reflected in the action taken by the Chairperson after the consultation, that Member may seek a decision by the Parties pursuant to Article 6 of the Agreement. All decisions discussed intersessionally are communicated promptly by the COP Chairperson to all Parties via electronic means to ensure an accurate understanding of the positions of the Parties.

## 5. Provision of advice and recommendations

- (a) The advice and recommendations of the SCG shall be based on the Joint Program of Scientific Research and Monitoring (JPSRM) approved by the COP, including the best available scientific information, and shall take into account the work of national scientific programs, relevant scientific and technical organizations, bodies and programs, as well as Indigenous Knowledge and local knowledge.
- (b) The SCG shall make all efforts to adopt its advice and recommendations to the COP by consensus of its Members.
- (c) If all efforts to reach agreement by consensus have been exhausted, the different views of the SCG Members shall be set out in its report to the COP.

## 6. Meetings

- (a) The SCG shall meet every two years and at least four months in advance of the COP biennial meetings, or more frequently, as decided by the COP.
- (b) As a general rule, meetings of the SCG shall be held in person. This does not preclude the Chairperson from convening the meeting by other means, including by online or other electronic means following consultation with the SCG Members.
- (c) With regard to SCG meetings held in person, if a member requests, in writing, to participate electronically, the host Member shall facilitate attendance through electronic means.
- (d) An invitation to attend SCG meetings shall be made available to all SCG primary points of contact at least ninety calendar days prior to the meeting. Following consultation with the Parties and the host of the SCG meeting, where no other alternative exists, the SCG Chairperson may seek to limit the number of participants per delegation, experts and observers for a SCG meeting, factoring in the available space for the meeting.
- (e) A draft provisional agenda for the SCG meeting shall be made available to all Members, together with any available relevant material or documents, seventy calendar days before the meeting.
- (f) Any SCG Member may, at least forty-five calendar days before the date of the meeting request the inclusion of one or more items in the provisional agenda. Such requests shall be accompanied by a memorandum and any relevant material or documents on the proposed item(s).
- (g) The Chairperson shall circulate the final provisional agenda to all Members and observers at least forty calendar days in advance of the meeting.

- (h) Members who wish to circulate material or documents relevant to the meeting shall provide electronic versions of these to the Chairperson at least thirty-five calendar days prior to the SCG meeting. The Chairperson shall make the material and documents for the SCG meeting available to all SCG Members and observers at least thirty calendar days in advance of the meeting.

## 7. Reporting

- (a) Each time the SCG meets, the SCG Chairperson shall oversee the preparation of a written report, factoring in the confidentiality requirements set out in Rule 12 of the COP Rules of Procedures and its Appendix 1. The SCG may appoint one or more rapporteurs from among the delegates to support the Chairperson in preparing this written report.
- (b) The report shall contain:
- i) a summary record of discussions and advice and recommendations where appropriate and when requested;
  - ii) the final agenda;
  - iii) the complete list of material and documents; and,
  - iv) the list of participants.
- (c) The SCG report shall reflect the best available scientific information, used and discussed during the meeting or taken into account, the work of national scientific programs, relevant scientific and technical organizations, bodies and programs, and Indigenous Knowledge and local knowledge, used, discussed or taken into account during the meeting.
- (d) The SCG shall endeavor to adopt its meeting report by the end of each SCG meeting. The report shall be drafted by the Chairperson factoring in the confidentiality requirements of Appendix I of the COP Rules of Procedure. The Chairperson shall endeavor to distribute this draft report before the end of the meeting, for the SCG Members and observers to provide input and comments and for adoption by the SCG by the end of the meeting. In cases where this is not possible, the Chairperson shall distribute the draft SCG report to SCG Members for review as soon as possible after the close of the SCG meeting but no later than thirty calendar days of the close of the meeting. The SCG Members and observers shall provide their comments on the draft report within fourteen calendar days of receipt of the draft report. A final report shall be adopted by consensus of the SCG and disseminated to the SCG Members and observers, the Chairperson of the COP, and the Parties within sixty calendar days of the close of the SCG meeting

- (e) The report adopted by the SCG shall be made publicly available by the SCG Chairperson within ten calendar days of dissemination to the SCG Members, the Chairperson of the COP and the Parties.
- (f) All participants must report their affiliation and institutional role to be included in the SCG report.

## **8. Subsidiary Bodies**

### **(1) Sub-groups**

- (a) Following consultations with the SCG Members, the Chairperson may conduct the work of the SCG meetings in plenary sessions, or in smaller sub-groups during a meeting to deal efficiently with the assigned ToR.
- (b) A Chairperson and rapporteur of the Sub-group shall be appointed from among the delegates.
- (c) Attendance at sub-group meetings shall be determined by each SCG Member and any observer.
- (d) Sub-groups shall report to the SCG Chairperson at the plenary session and provide information for the SCG meeting report.
- (e) Sub-groups shall follow the SCG Rules of the Procedure.

### **(2) Working Groups**

- (a) Unless the Parties decide otherwise pursuant to Article 6 of the Agreement, following consultations with the SCG Members, the SCG may establish Working Groups and their terms of reference, and appoint their chairperson, to deal with tasks that cannot be accomplished by a single SCG meeting, *e.g.* tasks that require participation of external experts, including scientists, Indigenous Knowledge holders and local knowledge holders, not present in a SCG meeting, or tasks that require long-term or intersessional work.
- (b) The SCG shall notify the COP regarding the establishment of any Working Groups.
- (c) Attendance at any Working Group meetings shall be determined by each Party and any observer and communicated to the SCG Chairperson.
- (d) Working Groups shall report to the SCG plenary through the SCG Chairperson.
- (e) Working Groups shall follow these Rules of Procedure, except if otherwise decided by the COP.

**(3) Ad Hoc Teams**

- (a) Following consultations with the SCG Members, the SCG Chairperson may establish intersessional ad hoc teams as needed to address specific task objectives and products advancing the SCG’s work.
- (b) The SCG Chairperson, in consultation with SCG Members, may establish ad hoc teams’ terms of reference and appoint their leaders.
- (c) Attendance at any ad hoc team meetings shall be determined by SCG Members and any observers.
- (d) Ad hoc teams shall report to the SCG plenary.
- (b) Ad hoc teams shall follow these Rules of Procedure, except if otherwise decided by the SCG.

**9. Observers**

(1) Any entity with an interest in the work of the Agreement may request to attend a meeting of the SCG and its subsidiary bodies as an observer. Such an entity shall submit a written observer candidate request, including information referred to in paragraph 9(3) to the SCG Chairperson at least sixty calendar days in advance of the meeting. The Chairperson shall promptly and no later than fifty-seven calendar days before the SCG meeting submit the request to the Parties for consultation and decision intersessionally, through electronic means. The Parties shall provide any views they may have on the request at least fifty calendar days before the SCG meeting.

(2) Unless the Parties decide otherwise pursuant to Article 6 of the Agreement, following consultations with the SCG Members, an observer candidate that meets the requirements of this Rule may attend the meetings of the SCG and its subsidiary bodies. Any Party objecting to the admission of an observer candidate shall specify the reasons for the objection. The Chairperson shall convey the decision of the Parties to each observer candidate no later than 40 calendar days before the SCG meeting. The SCG may impose terms and conditions for observer participation as referenced in Rule 12 and Appendix 1 of the COP Rules of Procedure.

(3) Written requests from observer candidates shall include the following information:

- a) Name of the observer candidate;
- b) Name(s) of the representative(s) of the observer candidate; and,
- c) Brief description of the observer candidate and how its work or how its knowledge, including, where relevant, from scientific, Indigenous and local knowledge systems, will contribute to the meetings of the SCG or Sub-groups or

Working Groups at which the observer candidate wishes to attend, and to furthering the goals of the Agreement.

(4) Subject to confidentiality requirements found in Rule 12 and Appendix 1 in the COP Rules of Procedure, observers that are permitted to attend an SCG meeting and its Subgroups or Working Groups:

- i) shall be given access to meeting material and documents;
- ii) may participate in the discussions in the SCG meeting and meetings of its subsidiary bodies when given the floor by the Chairperson; and
- iii) may submit material or documents to the meeting at least thirty-five calendar days in advance of the meeting for the purpose of informing the Members on a relevant matter.

#### **10. Language**

- (a) English shall be the working language of the SCG and its subsidiary bodies. Other languages may be used, on condition that Members and observers doing so will provide interpreters.
- (b) All official publications and communications of the SCG shall be in English.

#### **11. Interpretation**

In the event that there are questions regarding the operation of the SCG or the interpretation/application of its Rules of Procedure, the COP Rules of Procedure shall inform the SCG.

#### **12. Amendments**

These Rules of Procedure may be amended by a decision of the COP. All amendments to these Rules of Procedure shall be adopted in accordance with Article 6 of the Agreement.



## Appendix 1

CAOFA-2022-COP1-13-Rev01

### **THE CENTRAL ARCTIC OCEAN FISHERIES AGREEMENT** **SCIENTIFIC COORDINATING GROUP**

(As adopted by the COP on November 25, 2022)

The Parties to the Agreement to Prevent Unregulated High Seas Fisheries in the Central Arctic Ocean (“the Agreement”) hereby establish a Scientific Coordinating Group (SCG) to advance the implementation of the Agreement. The SCG is the successor body to the PSCG established by the Parties in 2019.<sup>1</sup>

#### **Terms of Reference for the Scientific Coordinating Group**

1. The Scientific Coordinating Group shall lead the joint scientific research and monitoring work under the Agreement and govern the joint scientific meetings, including the provision of scientific support and advice to the Parties on matters referred to joint scientific meeting in accordance with the Agreement.
2. The SCG is to consist of delegations appointed by each Party, including scientists, technical experts, holders of Indigenous Knowledge and holders of local knowledge as the respective Party deems appropriate. While not members of the SCG, other participants may attend the SCG meetings as per the SCG Rules of Procedure.
3. Functions of the SCG are to:
  - a. present a Joint Program of Scientific Research and Monitoring (JPSRM) and an associated implementation plan to the COP for approval, propose updates to these documents, as necessary, coordinate work under the JPSRM, and coordinate scientific activities by the Parties in a manner consistent with Article 4 of the Agreement;

<sup>1</sup> The establishment of a scientific group to support the work under the Agreement was originally proposed at the 5<sup>th</sup> Meeting of the Scientific Experts on Fish Stocks in the Central Arctic Ocean (FiSCAO) and was recommended at the Arkhangelsk Roundtable (April 12-13, 2019). The PSCG was established on an interim basis at the May 2019 Ottawa meeting of the Signatories building on the work previously conducted by FiSCAO with the understanding that a more formal body would be established when the Agreement enters into force as provided in Article 5 paragraph 2 of the Agreement, subject to any further guidance from the COP. In the interim, the PSCG operated under the Provisional Terms of Reference. The Provisional Terms of Reference were used as a basis to formulate the Terms of Reference for the SCG and reviewed by the Parties, taking into account, *inter alia*, the outcomes of the workshop aiming at the implementation of Article 4(4) and Article 5(2) of the Agreement regarding Indigenous and local knowledge and participation of Arctic Indigenous peoples to be hosted by Canada in the fall of 2019.

- b. identify processes and mechanisms to seek and incorporate Indigenous Knowledge and local knowledge, through the inclusion of representatives of Arctic communities, including Arctic Indigenous peoples, in the work of the SCG;
  - c. present a data sharing protocol to the COP for approval as called for in Article 4 of the Agreement;
  - d. provide scientific advice for the development of conservation and management measures for exploratory fishing, and other interim measures, as requested by the Parties;
  - e. develop quantitative indicators based, *inter alia*, on data collected during the mapping phase;
  - f. facilitate the sharing of relevant data and the possible exchange of samples;
  - g. cooperate with relevant scientific and technical organizations, bodies, and programs; and
  - h. to implement other functions as may be assigned by the COP.
4. The outcomes of the functions provided in paragraph 3 will be included in the reports of the SCG meetings submitted to the COP.

## **APPENDIX 8**

### **Terms of Reference: SCG Exploratory Fishing Questions Working Group (EFQ-WG)** (As Adopted by COP2 June 14, 2023)

The Exploratory Fishing Questions Working Group (EFQ-WG) was established to develop answers to the “Scientific and Indigenous Knowledge Questions for the SCG on Exploratory Fishing under Article 5 of the CAOFA” with the following Terms of Reference:

1. Develop a timeline with identified milestones to answer the COP exploratory fishing questions to inform the COP exploratory fishing measures and acknowledging the information on this topic available now and needed in the future.
2. Provide to the SCG Chairperson by October 2023, prior to the autumn SCG meeting, and in time for a quick review by the SCG prior to submitting to the COP for its use in developing conservation measures prior to its June 2024 meeting preliminary answers to the exploratory fishing questions identified as the highest priorities by the COP (i.e., at least the top four priorities in the 2023 SCG report **Table 1**).
3. Provide additional answers to the SCG regarding the questions not answered in TOR #2 above by the SCG’s 2024 in-person meeting.
4. Inform the SCG about where new/additional information and data are needed to be available to answer the longer-term exploratory fishing questions and fill information gaps within the JPSRM Implementation Plan.
5. The EFQ-WG may cooperate with other working groups such as the COP Exploratory Fishing Working Group and SCG MM-WG, and include multiple representatives from each Party and any approved observers with expertise, including scientific, Indigenous Knowledge, and local knowledge, as well as appropriate external experts, of ecosystem components of the Agreement Area and adjacent waters (e.g., fish, marine mammals, oceanography, ecosystem production, birds, and lower trophic level species).
6. The EFQ-WG shall follow the SCG Rules of Procedure.

**Table 1.** (as Adopted by COP2, June 14, 2023)

COP approved questions in order of agreed priority with estimates of approximately how long it will take the SCG to provide answers to the “Scientific and Indigenous Knowledge Questions for the SCG on Exploratory Fishing under Article 5 of the CAOFA.” Time categories: 1=2 months; 2=1 year; 3=1-5 years (two time categories indicates that a partial answer will be available first, followed by a fuller answer later). [Re-ordered priority key: A=3+ parties; B=2 parties; C=1 party; D=next highest priority; E=identified by COP as a lower priority]

No.	Question	Time
2-A	What ecosystem information is currently available or needed to establish conservation and management measures for exploratory fishing in order to minimize its ecosystem effects?	2
15-A	What measures should be considered for avoiding, minimizing or mitigating impacts of exploratory fishing on the Agreement Area and adjacent areas including on Arctic Indigenous peoples and local communities whose livelihood depend on Arctic ecosystems?	2
17-A	Please identify which questions in this list need to be answered and what additional information is needed prior to authorizing exploratory fishing to avoid, minimize or mitigate ecosystems impacts and otherwise meet the requirements of the Agreement.	1
14-A	How will the Parties ensure that exploratory fishing is duly limited in duration, scope and scale to minimize impacts on fish stocks and ecosystems?	2
7-B	What components of the CAO ecosystems are vulnerable to perturbations from fishing gear and therefore should be avoided by exploratory fishing efforts using that type of gear? Alternatively, how could impacts from such perturbations be sufficiently minimized?	2
8-C	How do we define non-target and dependent species? How should non-target and dependent species be considered in exploratory fishing plans?	1, 2
1-D	Including the results of the FiSCAO meeting and the mapping phase, what baseline data currently exist for and related to the Agreement area?	1
3-D	How will the Parties collaborate to collect information on fishery-independent surveys, fishery dependent data collection, other platforms, and inclusion of Indigenous Knowledge and Local Knowledge?	1, 2
5-D	What is the estimated timeframe needed to provide existing and future data and information described in this list to conduct necessary evaluation of exploratory fishing by the SCG?	1
10-D	What parts of the Agreement area and seasons may have favorable oceanographic conditions to support potential commercially viable species and may thus be prioritized for exploratory fishing?	2, 3
13-D	How will exploratory fishing in a changing marine ecosystem affect the production and abundance of fish and invertebrates?	2, 3
4-E	What communication regarding Science Knowledge, Indigenous Knowledge and Local Knowledge with Arctic Indigenous peoples is needed to support COP exploratory fishing decisions?	1, 2
4a-E	How will Indigenous Knowledge and Local Knowledge be incorporated with national research programs and the JPSRM to develop the knowledge base for this region that contributes to decision-making regarding exploratory fishing? How will multiple knowledge systems be evaluated?	2
4b-E	What type of Indigenous Knowledge and geographical coverage is available?	2
6-E	How do we <u>define</u> and identify vulnerable species and ecosystems in the context of the Central Arctic Ocean, in light of existing guidelines, including the FAO Deep-Sea Fisheries in the High Seas Guidelines?	1, 2
9-E	In accordance with the requirements of the Agreement, including those in Article 5(1)(d)(ii) and (iii), what criteria should the CAO Parties consider when defining potential future commercial fisheries that may be the focus of exploratory fishing, for example: species, abundance, distribution, ecosystem role and interactions, cultural significance, gear, economics, etc.?	2
9a-E	What type of data and information, including scientific knowledge, Indigenous Knowledge and Local Knowledge is needed or could be collected from exploratory fishing, noting that information from all 3 knowledge systems may not be collected on each exploratory fishing trip? What sort of sampling design and data collection is needed by exploratory fisheries to improve our understanding of relative abundance and distribution of target species?	2
9b-E	What bounds should be set on types of gear used, how that gear is used and seasonal restrictions in exploratory fishing to ensure precautionary exploratory fishing activity (examples: limitations on types of gear, fishing depth, limitations on operation of gear, etc.)?	2
11-E	What aspects of exploratory fishing should be the focus of data collection associated with impacts to Indigenous communities and local communities, including data collection related to pollution and emissions, noise, sea ice, for the evaluation of possible impacts, including cumulative impacts, to Indigenous and local subsistence activities and marine mammal populations in the Pacific and Atlantic Gateways? How can these impacts be mitigated?	2
12-E	What specific aspects of climate change should be accounted for to minimize the impact of exploratory fishing on the ecosystems in this rapidly changing region?	2
16-E	What can we learn from the scientific committees of existing RFMOs and other relevant scientific and management bodies that could inform CAOFA SCG and COP best practices in order to avoid mistakes and shortcomings from being repeated in the CAO?	2

## **APPENDIX 9**

### **DRAFT RESOLUTION ON CLIMATE CHANGE**

We, the Conference of the Parties (COP) to the Agreement to Prevent Unregulated High Seas Fisheries in the Central Arctic Ocean (the Agreement),

**Recognizing** that the Agreement acknowledges the impacts of climate change on central Arctic Ocean (CAO) ecosystems;

**Emphasizing** that climate change is leading to shifts in the global distribution and abundance of fish stocks, altering marine ecosystems, and affecting livelihoods and food systems worldwide, including in the Arctic;

**Further emphasizing** that climate change is a global, urgent issue that is affecting species and marine ecosystems in the CAO and Arctic Indigenous communities and local communities bordering the CAO at a rate unprecedented elsewhere on the planet;

**Noting** significant changes in the Arctic Ocean caused by climate change including reductions in the extent of sea ice and scientific research pointing to the role of the CAO in modulating the effects of climate change globally;

**Acknowledging** international initiatives to address climate change and its effects, including through the United Nations Framework Convention on Climate Change and the Paris Agreement;

**Recognizing** the 2007 United Nations Declaration on the Rights of Indigenous peoples;

**Emphasizing** the importance of involving Indigenous peoples and local peoples who live in coastal communities that depend on the bordering ecosystems of the Agreement Area and further underlining the importance of including Arctic Indigenous peoples and local peoples and Indigenous Knowledge and local knowledge in the implementation of the Agreement;

**Recalling** the relevant provisions of the *United Nations Convention on the Law of the Sea* of 10 December 1982 and the *Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highlight Migratory Fish Stocks* of 4 August 1995;

**Recognizing** the commitment in the United Nations General Assembly resolution 77/118 on *Sustainable fisheries, including through the 1995 Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks, and related instruments* to assess and consider the impacts of climate change in the fisheries sector and to apply the precautionary approach in accordance with international law and the 1995 Code of Conduct for Responsible Fisheries of the Food and Agriculture Organization of the United Nations;

**Noting** the work of the Intergovernmental Panel on Climate Change with specific reference to the Special Report on the Ocean and Cryosphere in a Changing Climate (2019) and the Sixth Assessment Report (2022) pertaining to the findings regarding Arctic marine ecosystems;

**Mindful** of the need for data from multiple knowledge systems to monitor the cumulative impacts of climate change in the Arctic on marine resources, ecosystems and Indigenous and local communities;

**Further mindful** of the need to apply the precautionary approach to fisheries management to ensure conservation and sustainable use of marine resources in the Agreement Area;

**Noting** that several regional fisheries management organizations have recently taken additional steps to prepare for the emerging impacts of climate change on fish stocks and ecosystems under their jurisdiction;

**Acknowledging** that the COP should consider climate change impacts to marine living resources and the ecosystems in which they occur within the Agreement Area;

**Recognizing** that Resolutions adopted by the COP may put an emphasis on one or another matter relevant to the objective pursued by the Agreement without altering its objective and purpose;

Resolves to:

1. Assess and consider the potential impacts of climate change on species and marine ecosystems in the CAO and Arctic Indigenous communities and local communities bordering the CAO.
2. Collect and incorporate scientific knowledge and apply Indigenous Knowledge and local knowledge to understand the interconnected relationship between climate change and marine ecosystems and species as well as Arctic communities.

3. Develop and implement the Joint Program of Scientific Research and Monitoring (JPSRM) under the Agreement and ensure the JPSRM takes into account the impacts of climate change on the ecosystems of the CAO.
4. Consider recommendations by the SCG that take into account the best available scientific knowledge, Indigenous Knowledge and local knowledge on the potential impacts of climate change on species, ecosystems, and Arctic communities in the implementation of the Agreement, including when developing and implementing Conservation and Management Measures.
5. Include climate change as it pertains to the Agreement in deliberations at the meetings of the COP, SCG and, where appropriate, any other subsidiary body.
6. Consider options to reduce the environmental impacts of meetings of the COP, SCG and, where appropriate, any other subsidiary body, including encouraging hybrid participation where possible.

## **APPENDIX 10**

### **Terms of Reference**

#### **COP Working Group on Exploratory Fishing (EF-WG)**

The COP Ad Hoc Working Group on Exploratory Fishing (EF-WG) is established with the following Terms of Reference:

1. The EF-WG shall develop a draft text of Conservation and Management Measures (CMM) for exploratory fishing in accordance with Article 5.1(d) of the Agreement for consideration by the June 2024 COP meeting.
2. The EF-WG shall have a chair and may include multiple representatives from each Party and permitted observers and invited individuals and organisations.
3. Meetings of the EF-WG may be held online or by other electronic means. This does not preclude the Chairperson from convening a meeting in person, associated with a COP meeting.
4. The EF-WG may communicate and collaborate with other bodies and Working Groups under the Agreement, including the Scientific Coordinating Group Working Group on Exploratory Fishing Questions (SCG EFQ-WG).
5. The EF-WG shall follow the COP Rules of Procedure.



**APPENDIX 11**

**CALENDAR OF 2023-24 CAO MEETINGS**

<b>DATE</b>	<b>MEETING</b>	<b>VENUE</b>
1. Intersessional	COP to appoint a Chairperson for the EFQ-WG	Correspondence
2. Intersessional, by October 1, 2023	COP Consideration and Decision on Climate Change Resolution	Correspondence
3. July 2023	SCG MM-WG	Virtual
4. August 2023	SCG DSP-WG	Virtual
5. September 2023	SCG DM-WG	Virtual
6. September 2023	SCG EFQ-WG	Virtual
7. September 2023	SCG MM-WG	Virtual
8. October 2023	SCG Meeting	Virtual
9. October 2023	COP EFM-WG	Virtual

10. November 2023	SCG MM-WG	Virtual
11. November 2023	SCG EFQ-WG	Virtual
12. December 2023	SCG DSP-WG	Virtual
13. January 2024	SCG MM-WG	Virtual
14. January 2024	SCG DM-WG	Virtual
15. January 2024	SCG EFQ-WG	Virtual
16. Early February 2024 (Dates TBC)	SCG In-Person Meeting	CANADA (Venue TBC)
17. February 2024	COP EF-WG	Virtual
18. June 2024 (Dates TBC)	In-Person COP Meeting	KOREA (Venue TBC)

**APPENDIX 12**

**CAOFA ORGANISATIONAL CHART**

**Central Arctic Ocean Fisheries Agreement**

