

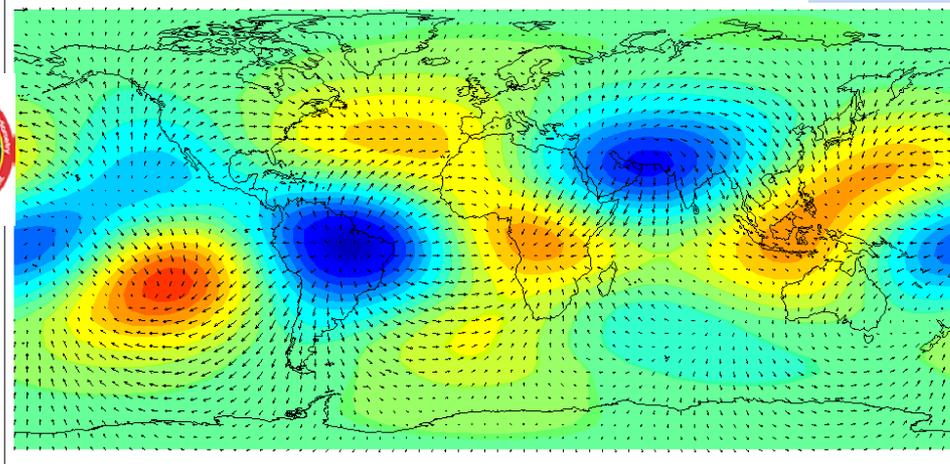
# Data Assimilation in the Vertically Extended Global Atmosphere Models of NEMS

Valery Yudin<sup>1</sup>, Svetlana Karol<sup>1</sup>, Tim Fuller-Rowell<sup>1</sup>,  
Daryl Kleist<sup>2</sup>, Kate Friedman<sup>2</sup>, and Adam Kubaryk<sup>2</sup>



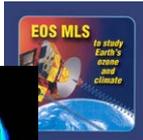
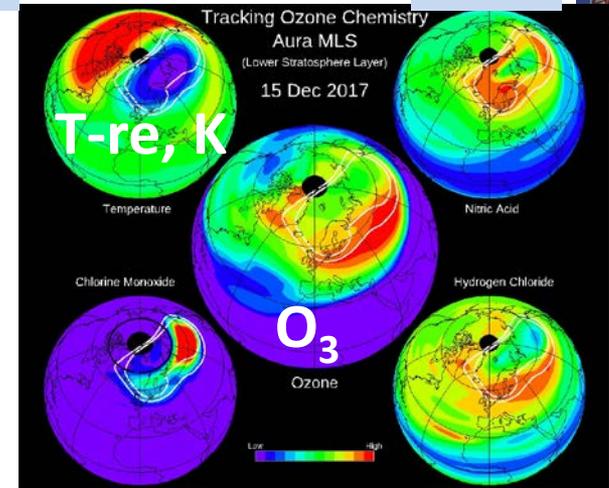
UT = 0 h

100 km



Temperature [K]

20 km



**SABER/TIMED Temperature Diurnal Variations** ----- **MLS EOS Aura Ozone, T-re and tracers**  
(Sounding Atmosphere by Broadband Emission Radiometry) (Microwave Limb Sounder)

<sup>1</sup> – Colorado University, CIRES

<sup>2</sup> – NOAA/NCEP/EMC

NGGPS PI Meeting

August 7 2018, College Park, MD <sup>1</sup>

# Background and Purpose

- **Vertically-extended models of the atmosphere have the potential to improve the longer-range tropospheric weather forecasts – the so-called downward control requires removing the artificial lid on weather models**
- **Propagation of waves into the upper atmosphere are important for space weather applications – migrating and non-migrating tides driven in the troposphere (water vapor, latent heat release) and in the stratosphere (ozone) propagate to the ionized lower thermosphere “dynamo” region, and directly into the upper thermosphere**

# Methodology and Approach - 1

- *Extend the NOAA operational Data Assimilation (DA) capability into the mesosphere and thermosphere*
- *Use the middle atmosphere satellite data (MLS & SABER) to produce realistic retrospective forecast and analysis.*
- *Develop and test application of the Gridpoint Statistical Interpolation (GSI) DA system in the Vertically Extended (VE) global atmosphere models of NOAA with top lids of 80 km (NEMS/GSM-91L; FV3GFS-128L) and ~600 km (NEMS/WAM-150L and FV3WAM).*
- *Upgrade model physics of VE models to perform analysis of data (temperature, ozone, oxygen) with strong diurnal cycles*
- *Upgrade specification background forecast errors in GSI to introduce “errors of the hour” to properly blend the data and forecast performing assimilation of diurnal cycles*

# Methodology and Approach - 2

- *Use the operational GFS workflow V14.0 and upgrade the GSI-observer modules to read MLS temperature and ozone data along with SABER temperature and atomic oxygen to compute appropriate innovations (Observation minus Forecast).*
- *Introduce and test “intermediate” solutions into the radiance data assimilation scheme of upper satellite sensors to avoid negative impact of their analysis in the mesosphere and lower thermosphere.*
- *Use the WAM ensemble forecast to develop and test novel background error covariances for temperature, horizontal winds, ozone and atomic oxygen; start testing of the 3DEnVAR GSI scheme with IAU for adequate assimilation of diurnal cycles and tidal signals in the Mesosphere and Lower Thermosphere (MLT)*
- *Verify analysis of SABER and MLS data in WAM using independent radar and satellite winds and lidar temperature observations in the MLT*
- *Transfer analysis of MLS and SABER ozone and temperature observations into FV3GFS-128L recently developed extension of FV3GFS above 55 km*

# Outline

## Vertically extended NOAA/NEMS models:

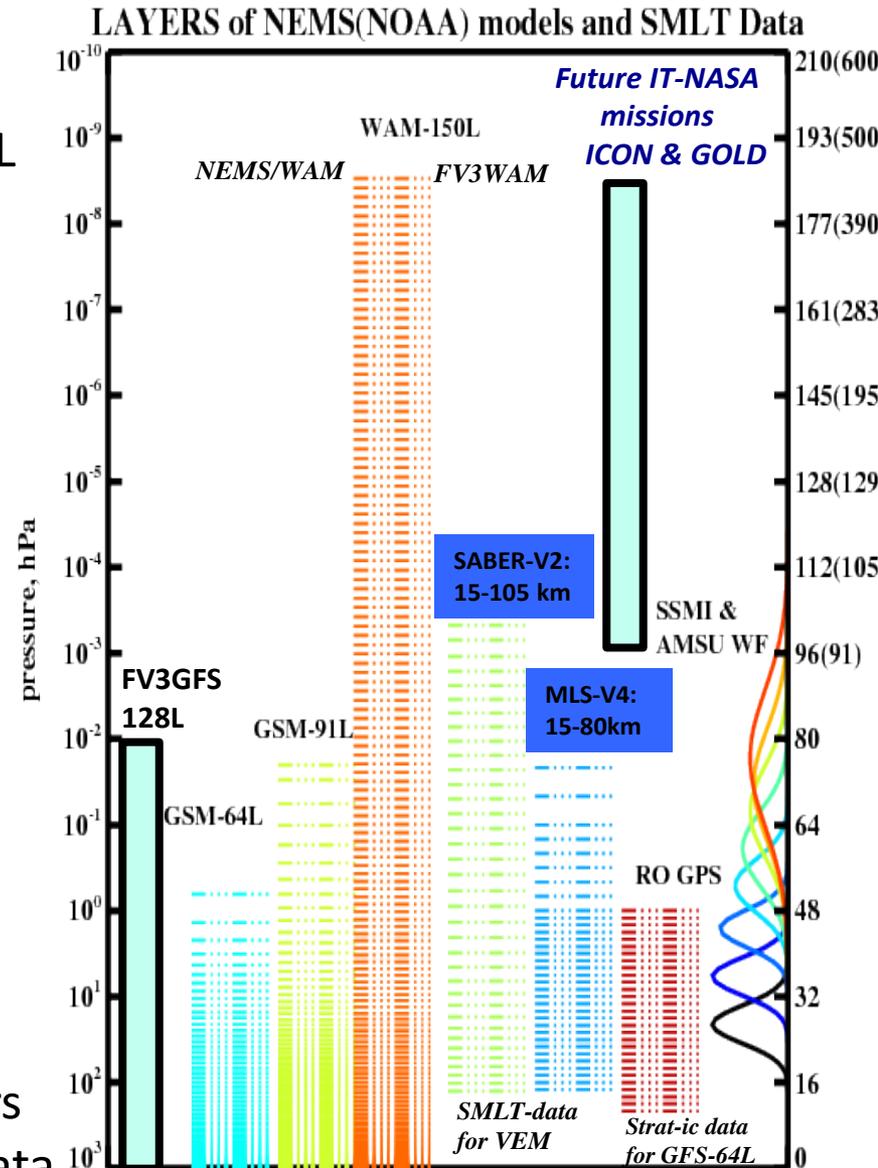
- > with *Spectral dycore*:  
NEMS/GSM-91L & NEMS/WAM-150L
- > with *FV3-based dycore*:  
NEMS/FV3GFS-128L & FV3WAM
- > Realistic initialization of models for A-F;
- > Appropriate updates of model physics.

## Vertical extensions for GSI and EnKF:

- > extension of background errors > ~55km;
- > treatment for extended radiance analysis;
- > adjustment of workflow scripts, postprocessing and verifications > ~55km;

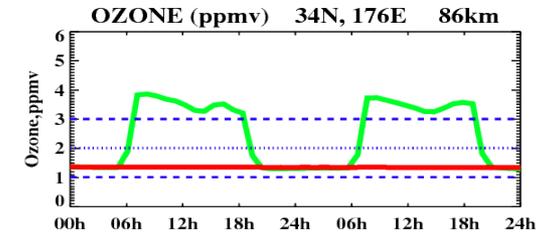
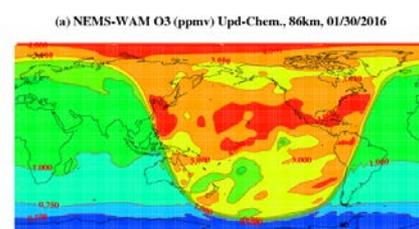
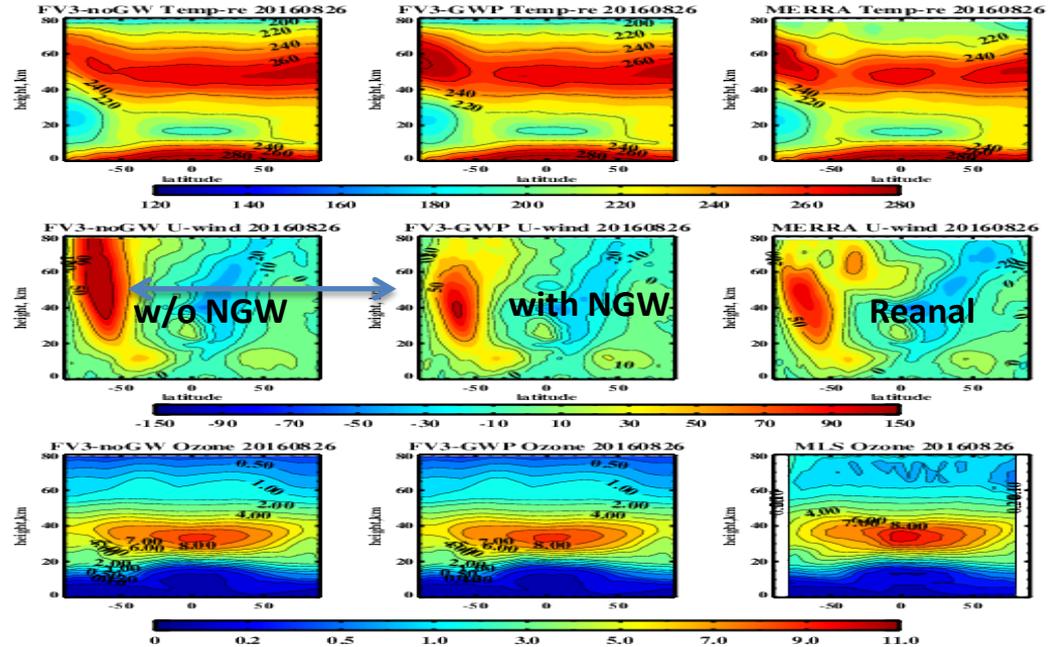
## SMLT data analysis (T, O<sub>3</sub>, O) in NEMS/WAM:

- > middle atmosphere analysis: SABER & MLS temperature, ozone & atomic oxygen data;
- > treatments of data biases and model errors
- > preparation for analysis of GOLD & ICON data

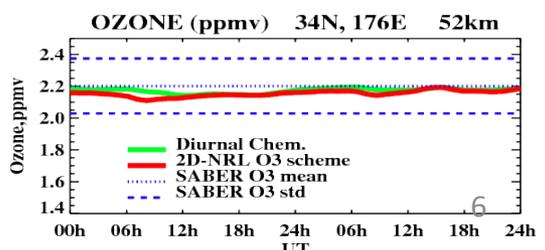
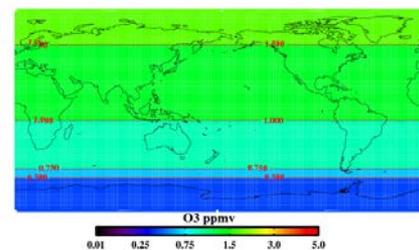


# Preparing and tuning VE NOAA model forecasts to analyze MLS and SABER data

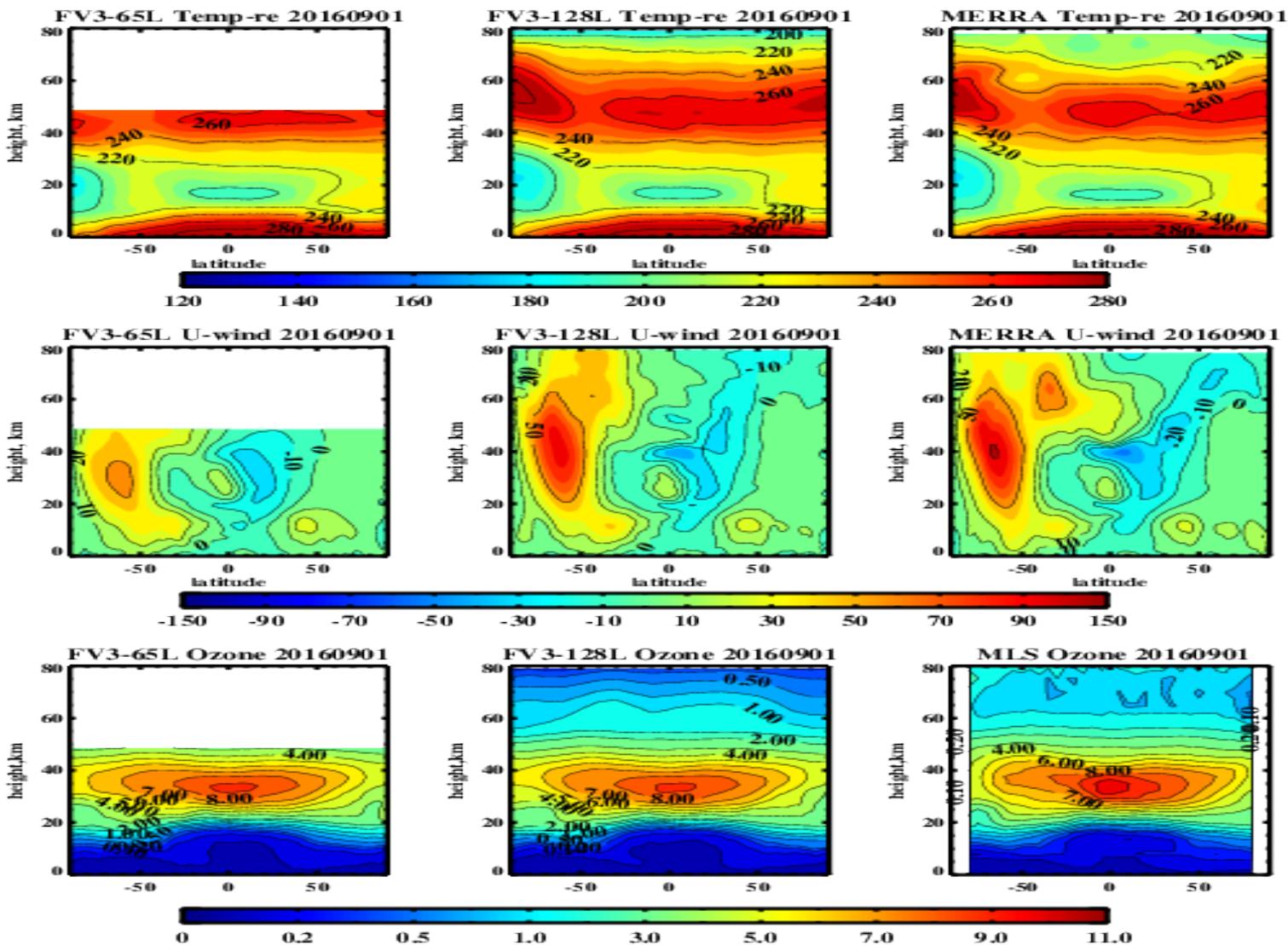
- Nonstationary GW physics to address model biases > ~40 km.
- Additional tune-ups of physics (eddy diffusion, Rayleigh friction, tides) for the realistic analyses in the stratosphere, mesosphere & lower thermosphere (MLT).
- Diurnal variations of O<sub>3</sub> and O in the upper stratosphere and MLT
- Balanced Initialization of of VE models using nudging algorithms below 40 km with available analyses (GDAS, GEOS-5)



**At 96 km O<sub>3</sub>- completely depends on diurnal CHEMSITRY**

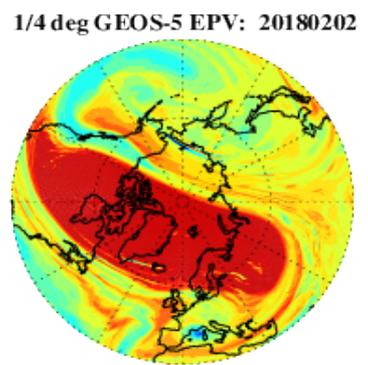
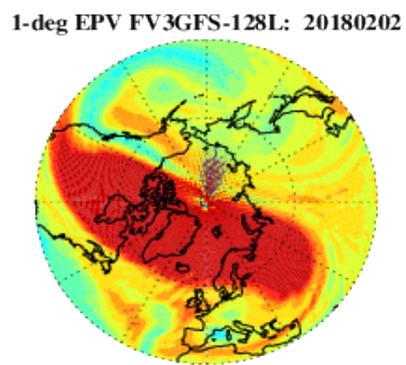
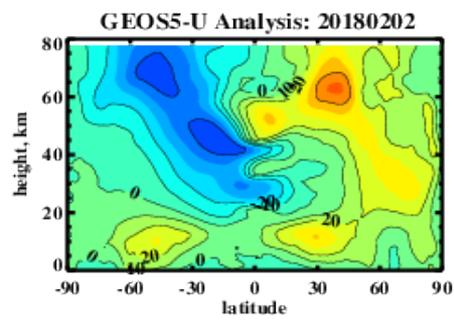
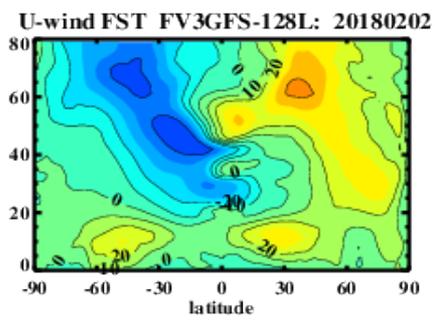
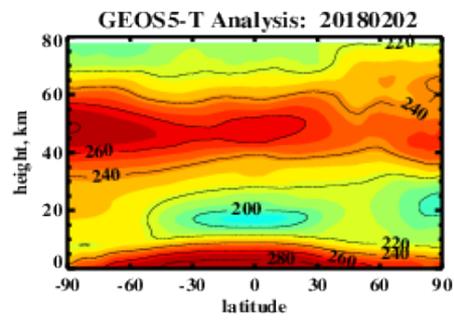
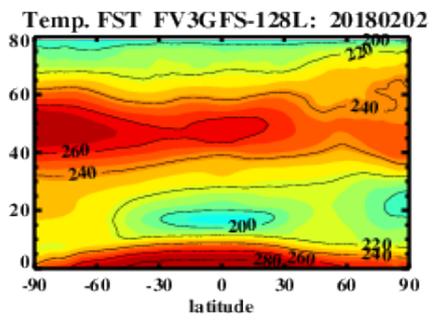


# Zonal Mean Temp-re, Wind and O<sub>3</sub> (01-09-2016) after 20-day forecasts by FV3GFS-65L (left), FV3GFS-128L (mid) . MERRA & MLS-O3 (right)



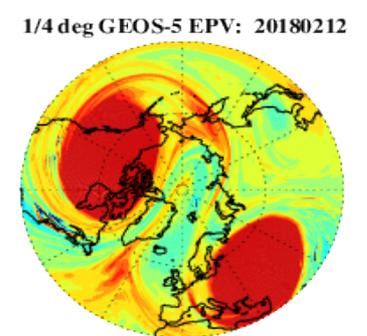
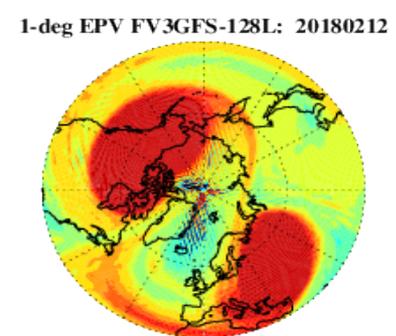
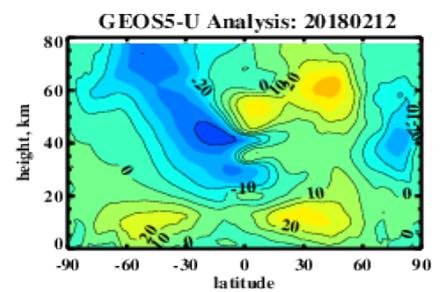
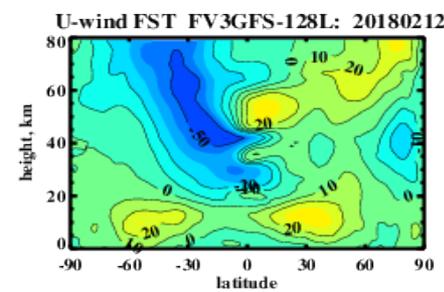
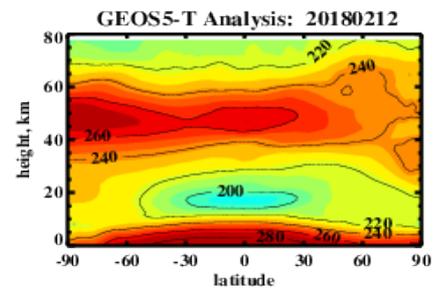
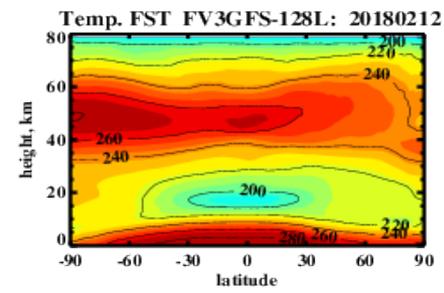
Extra work to tune FV3GFS (64L, 128L) to remove numerical damping near the model top

# FST: FV3GFS-128L vs AN: GEOS-5 Feb 12, Onset of SSW



Balanced Initialization of FV3GFS by GEOS-5

**Before onset of SSW -2018  
Feb 2 (start day of FST -Feb 1)**

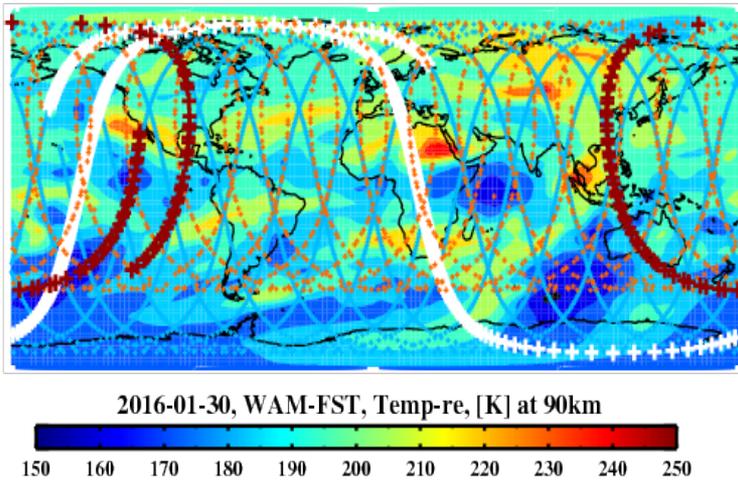


*New FV3GFS-128L 10-day forecast  
successfully now predict SSW-2018 onset  
Next step: assimilate MLS & SABER data*

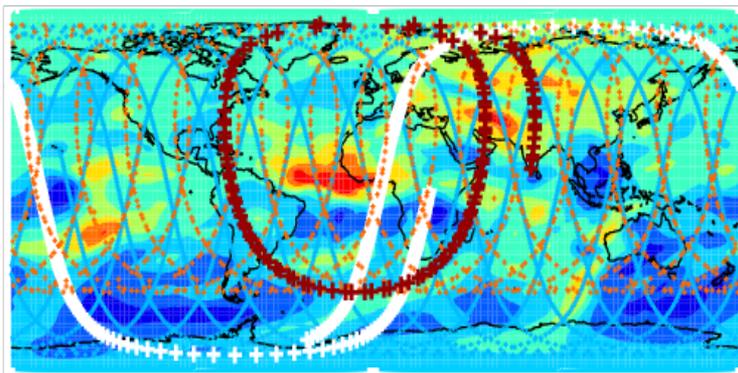
# SABER and MLS Temperature Vertical Profiles:

Data Coverage ( SABER: ~1800 profiles per day; MLS: ~1800 profiles), Vertical Resolution (SABER 2.5 km; MLS > 3.5-15 km) and Data-Data discrepancy

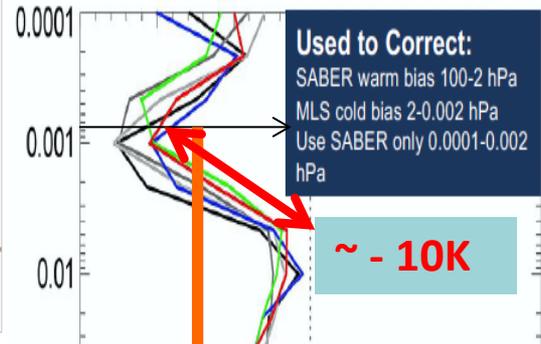
(a) 00UT: MLS and SABER ORBITS with WAM-FST for T-re



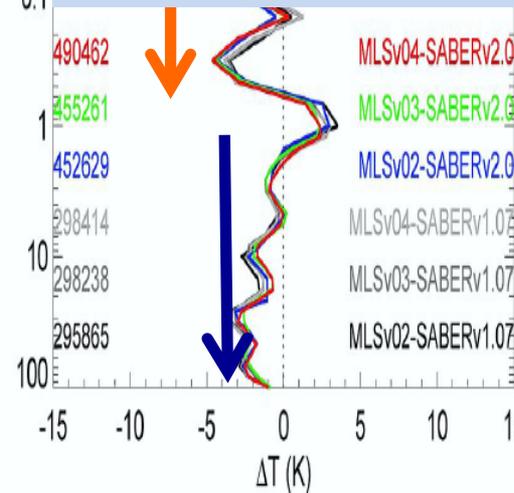
(b) 12UT: MLS and SABER ORBITS with WAM-FST for T-re



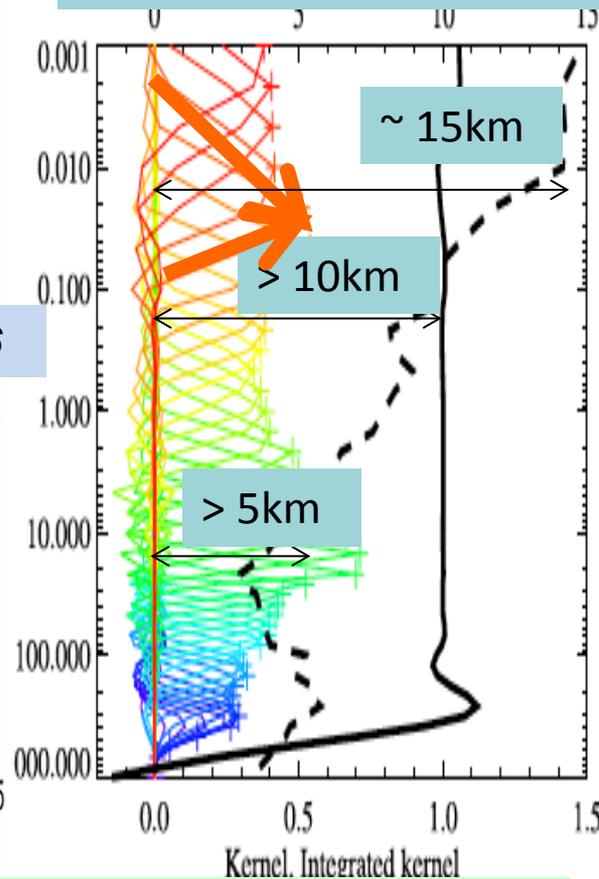
## MLS-SABER bias



## Eckermann et al. 2016



## MLS Kernels (solid) & Vert. Resolution(km,dash)



> 1 hPa: Vertical Mapping to MLS-data space with Averaging Kernels

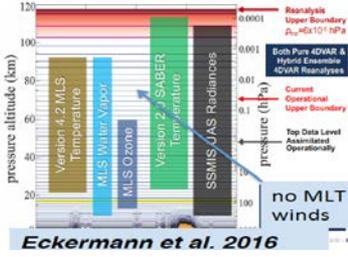
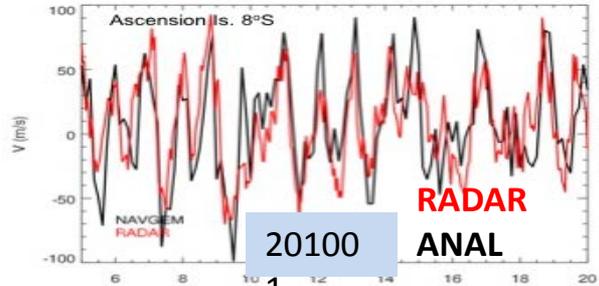
# RETROSPECTIVE ANALYSIS

## of MLS/Aura and SABER/TIMED data (2005-present)

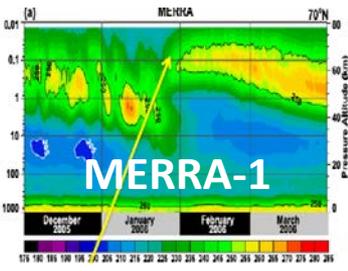
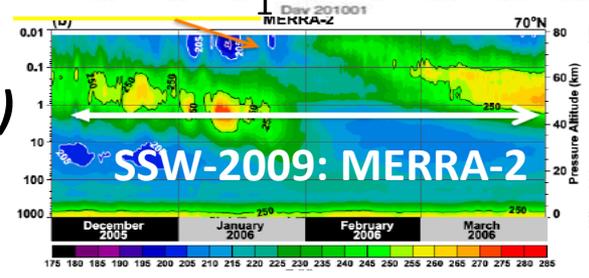
**CMAM-3DVAR with SABER-Temp (TL-90)**  
*S. Polavarapu (2005-11 Env Canada/YU/TU)*



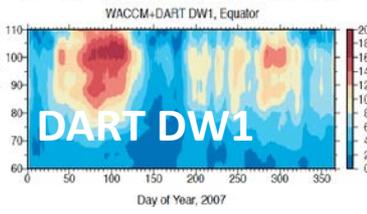
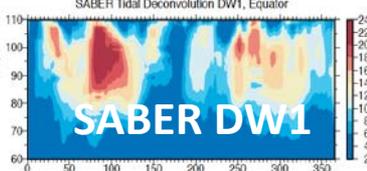
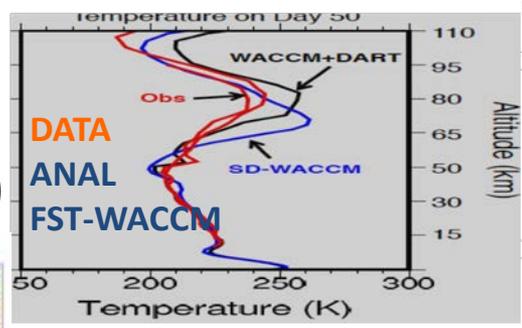
**NOGAPS-ALPHA (3DVAR-FGAT, 2008) =>**  
**HT-NAVEM (4DVAR-Ens, TL-115km, 2016**  
**T, O<sub>3</sub> H<sub>2</sub>O - MLS & SABER-T) K. Hoppel,**  
*S. Eckermann (NRL).*



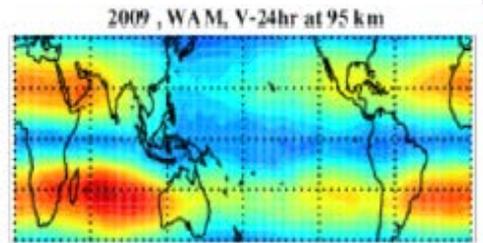
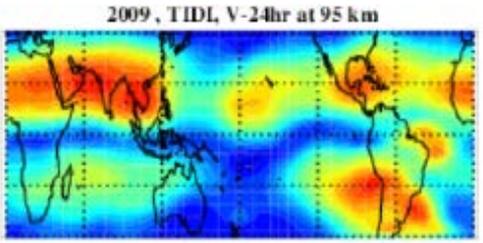
**MERRA-2 (GSI-3DVAR, TL-80km, MLS-T/O<sub>3</sub>,**  
**2004-) R. Gelaro et al. (NASA/GMAO, 2017)**



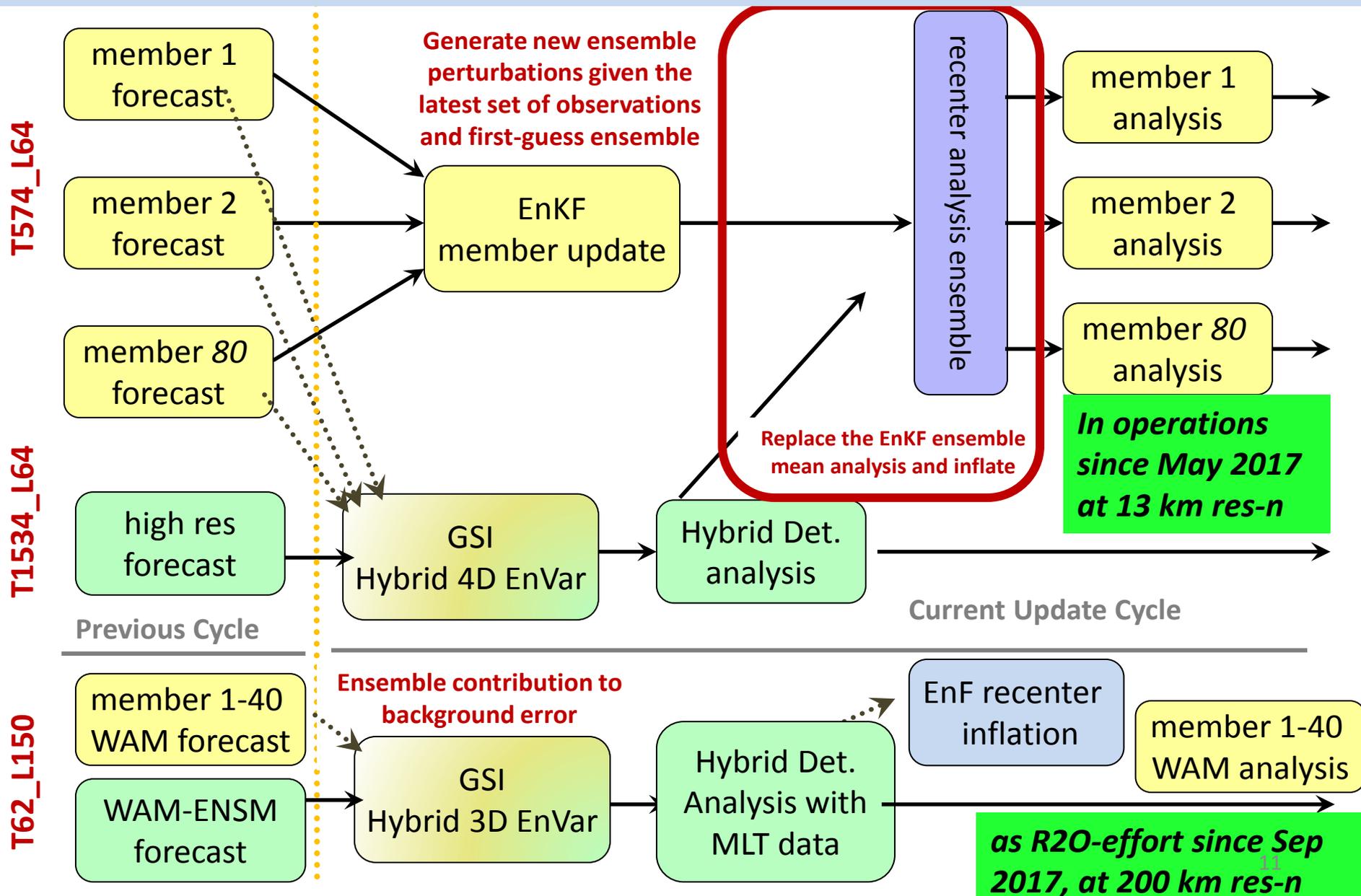
**WACCMX-DART (ENKF-6hr, CCM, TL-500km**  
**SABER-T; MLS-T), N. Pedatella et al. (NCAR).**



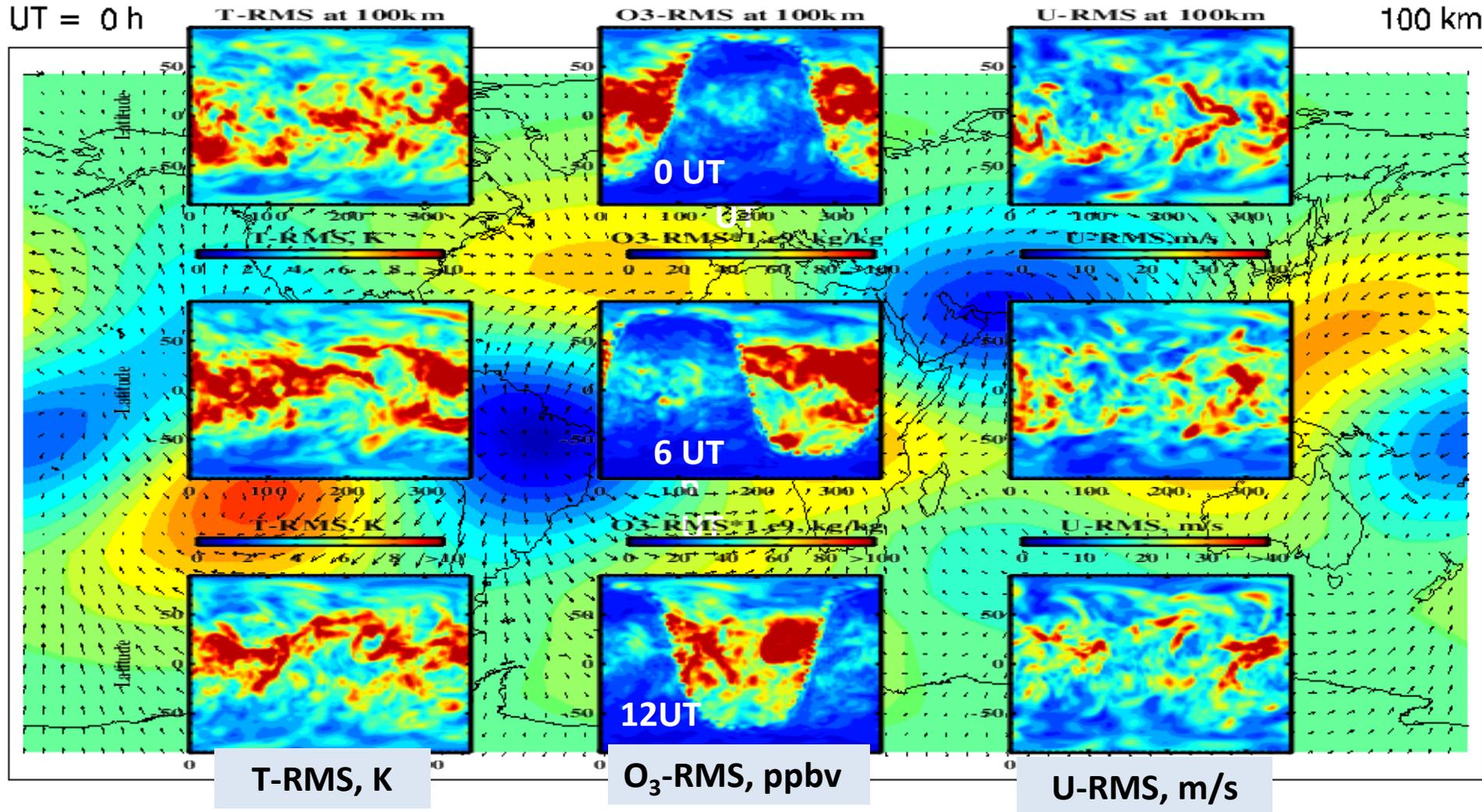
**WAM-GSI-3DVar, (TL-500km, O<sub>3</sub> & T of**  
**SABER & MLS), Yudin et al. (CU/SWPC/NWS)**



# NOAA GDAS/GFS (55km -TL) Operational Hybrid 4DEnVar and WAM-3DEnVar R2O effort (500km -TL, bottom)



# WAM: Ensemble-based Errors of the "Hour" at ~100 km

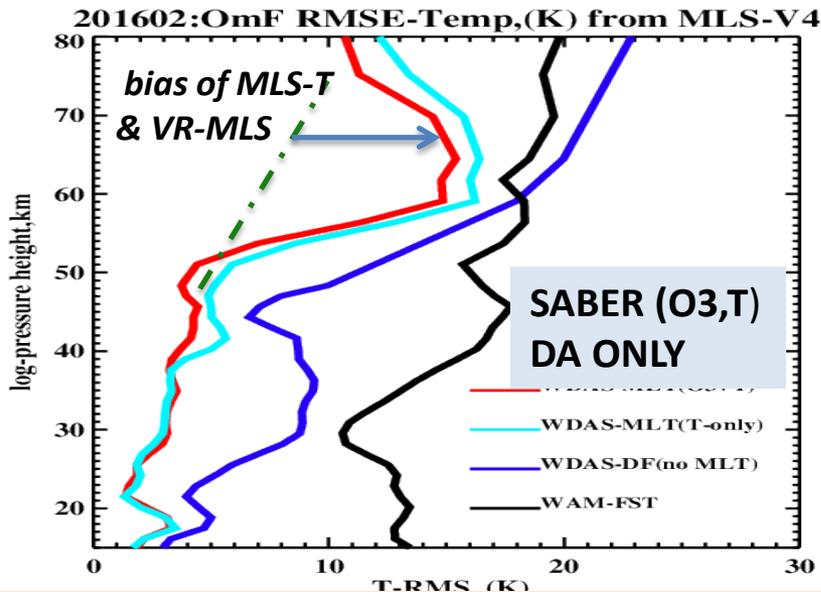
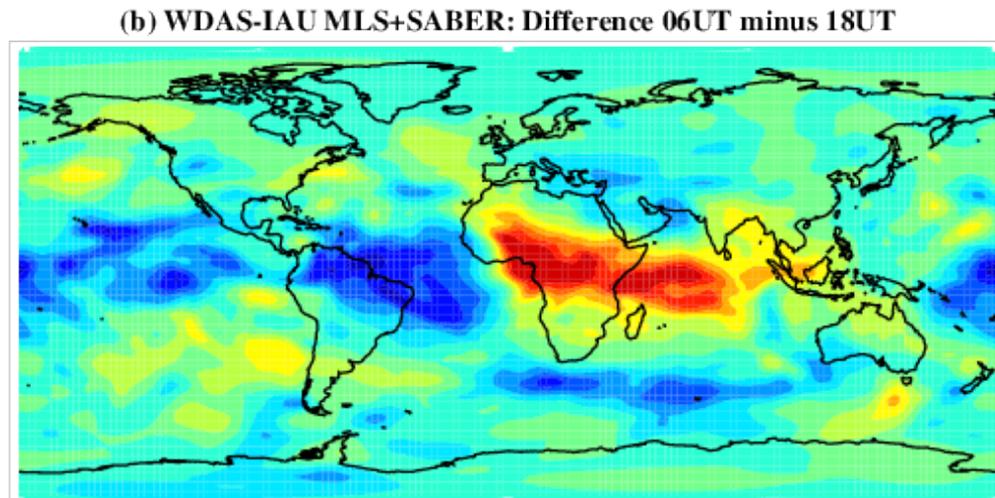
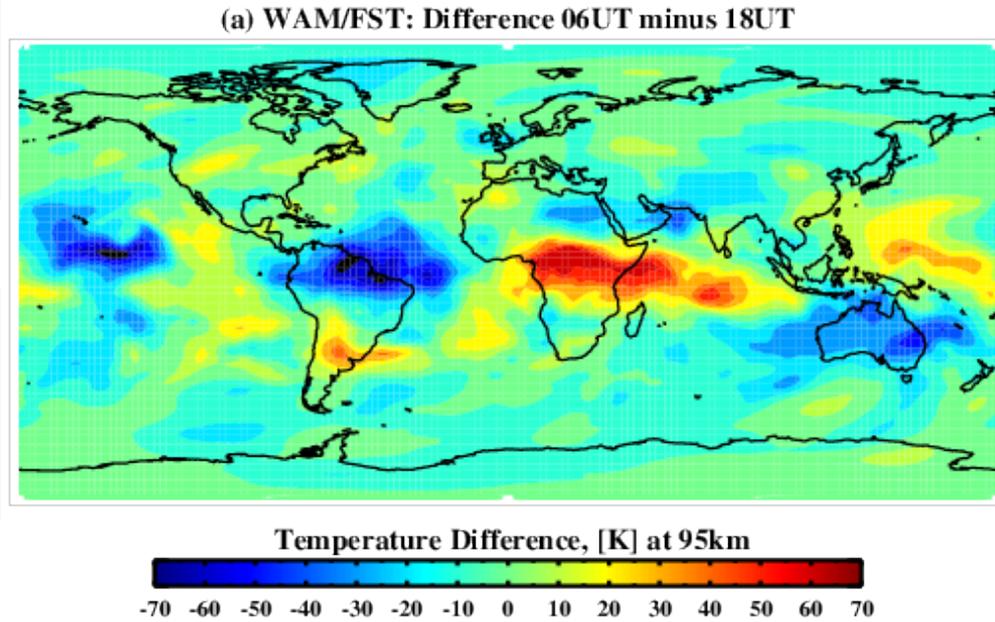
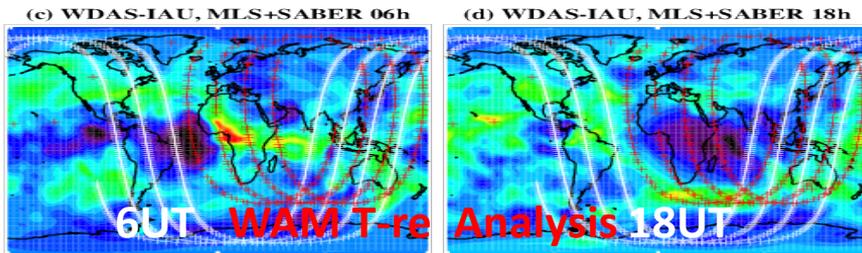
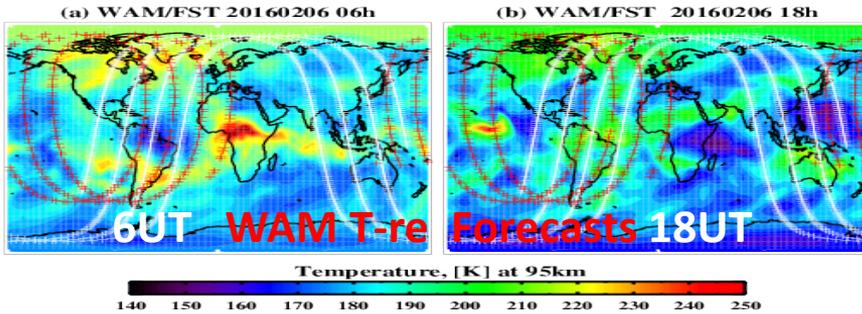


New Diurnally Variable WAM errors



Animation of SABER Temp-re anomalies & TIDI winds, TIDI team at University of Michigan

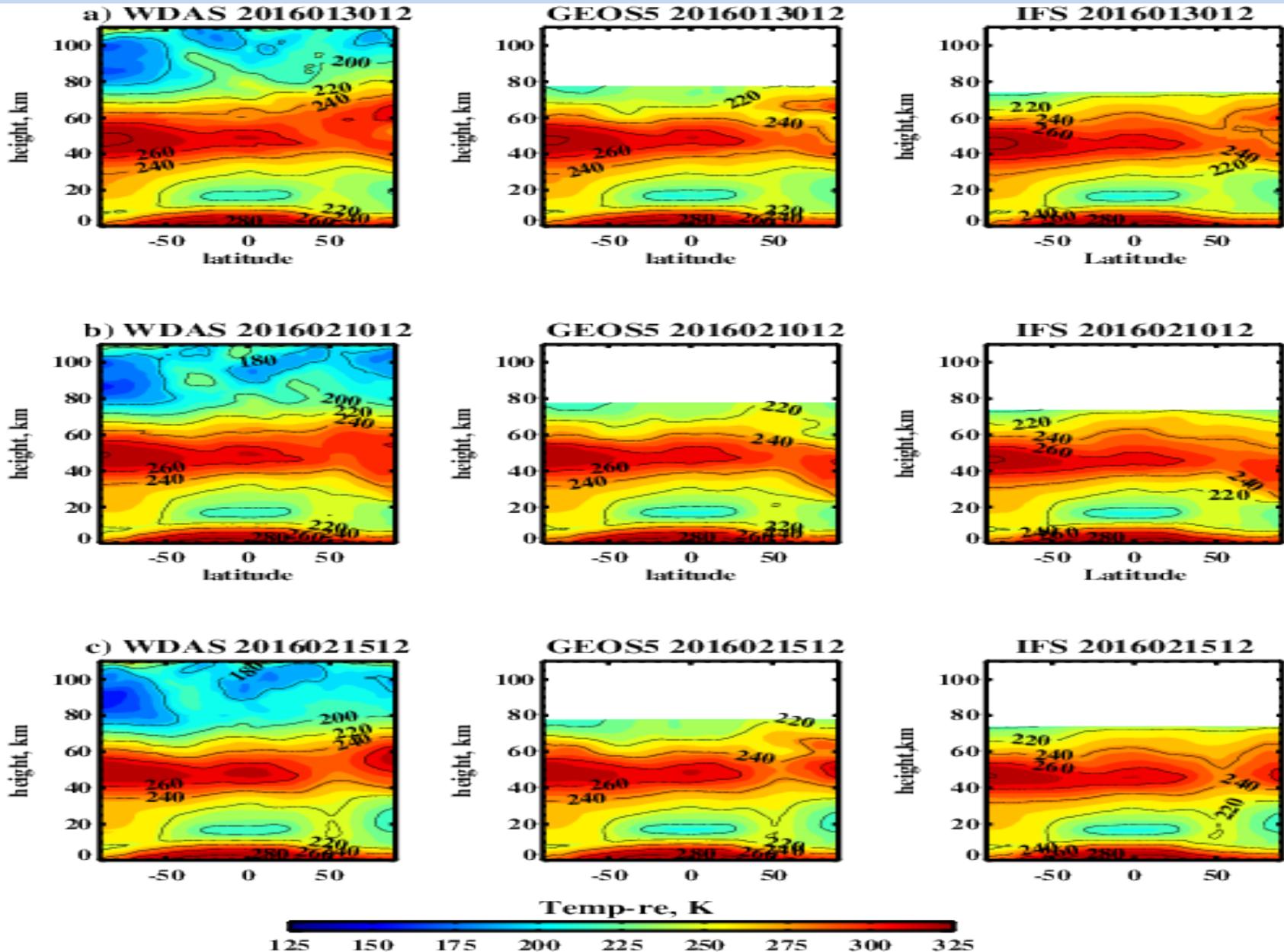
# 100 km: Diurnal Cycles in WAM and WAM-WDAS, 20160206



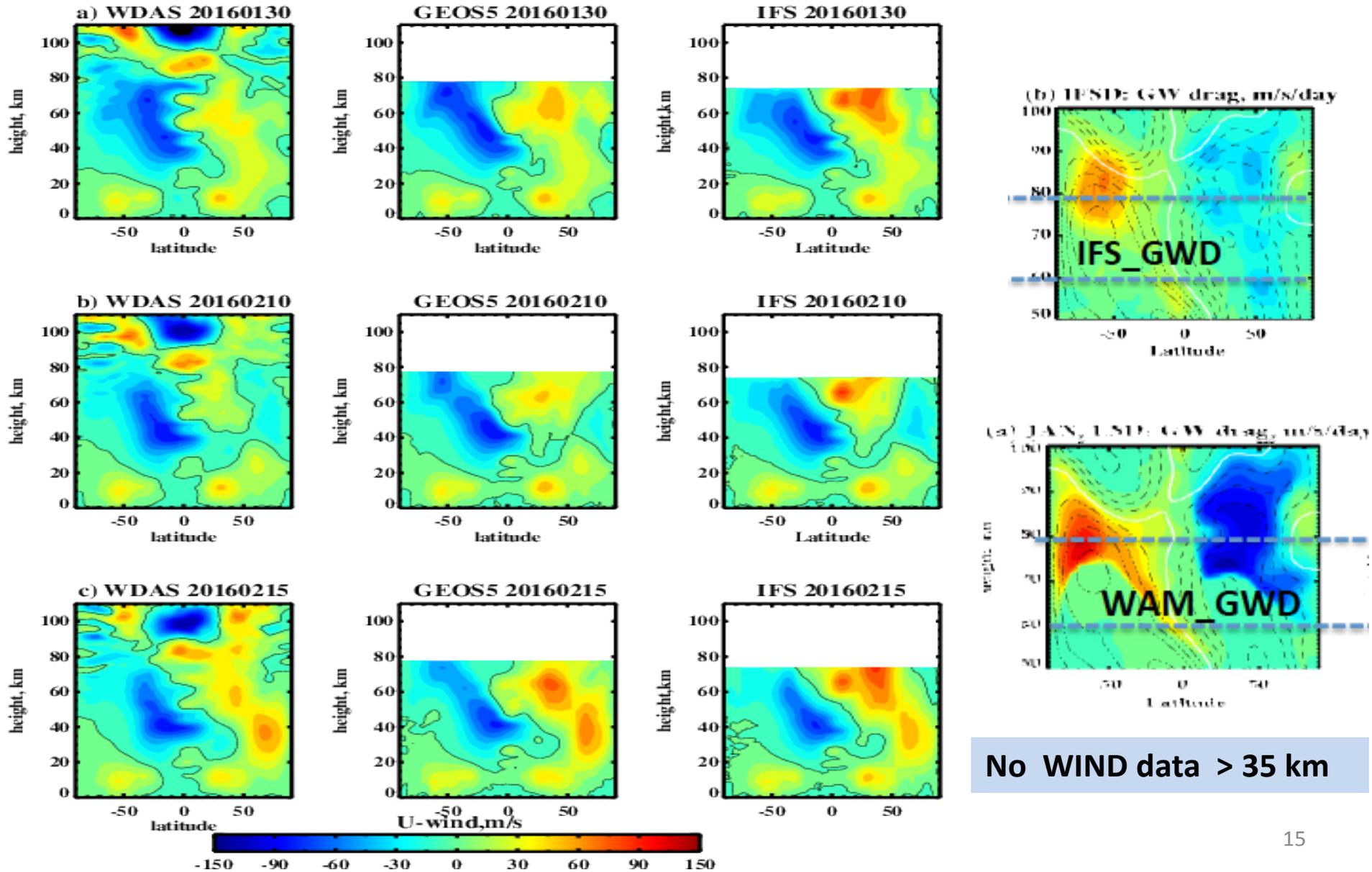
RMS of DA & FST relative to non-anal. MLS-T

# Temperature Analysis: WAM-DAS vs GEOS-5 and IFS/ECMWF

(Jan-Feb 2016 at 12 UT, Stratospheric Pulsations => Density-Temp in MLT )



# WDAS vs GEOS-5 and IFS, Jan-Feb 2016, Zonal Winds



No WIND data > 35 km

## 2-Year Accomplishments and Work during 3-rd Year of the Project Extension

- **Two VE spectral configurations of NEMS – GSM-91L & WAM-150L were tuned and properly initialized to perform the 6-hr A-F cycling with the MLS and SABER data in operational GFS workflows (V14.0)**
- **The vertical extension of GSI – GSIex/3DVar scheme for MLS and SABER T-re and O<sub>3</sub> data include the following 3 aspects:**
  - (a) *tapering of Jacobians of the upper channels in the MLT, where the radiance bias corrections need to be adapted for the “accurate” use;*
  - (b) *preparation of the 6-hr MLS and SABER data files for GSI-observer;*
  - (c) *upgrades of the background variances (zonal mean fields) for GSIex.*
  - (d) *diagnostics of data-data and model-data persistent errors for appropriate bias correction scheme and data quality control;*
- **The successful trial performance of GSIex in GSM-91L and WAM-150L for Arctic winters (Jan-Feb) of 2016 and 2018;**
- ***Project extension, Sep 2017-Aug 2018: Transfer 2-Yr results into FV3GFS-128L and FV3WAM with GSIex/3DVar and 3DEnVar***

# Collaborations between EMC, SWPC, GFDL, JCSDA and CU

## Collaborative work includes the following themes:

- ❑ further diagnostics and improvements of wave dynamics (GWs and tides), physics and photochemistry of WAM (CU-CIRES and SWPC);
- ❑ development and update of DA schemes in GSI (EMC, CU and JCSDA) with modifications of the GFS workflows for WAM (CU-CIRES and EMC );
- ❑ Knowledge of the FV3 dycore (CU-CIRES and GFDL), related to numerical dissipation and wave simulations (GWs) for FV3GFS-128L

## Collaboration between NOAA Testbeds/Centers, EMC & CU:

*Kate Friedman, Adam Kubaryk, Daryl Kleist (EMC), Svetlana Karol and Tim Fuller-Rowell (CU-CIRES) worked with PI, Valery Yudin to perform the DA experiments and WAM ensemble predictions in the GFS operational workflows,*

## Collaboration between JCSDA, GMAO and CU-CIRES

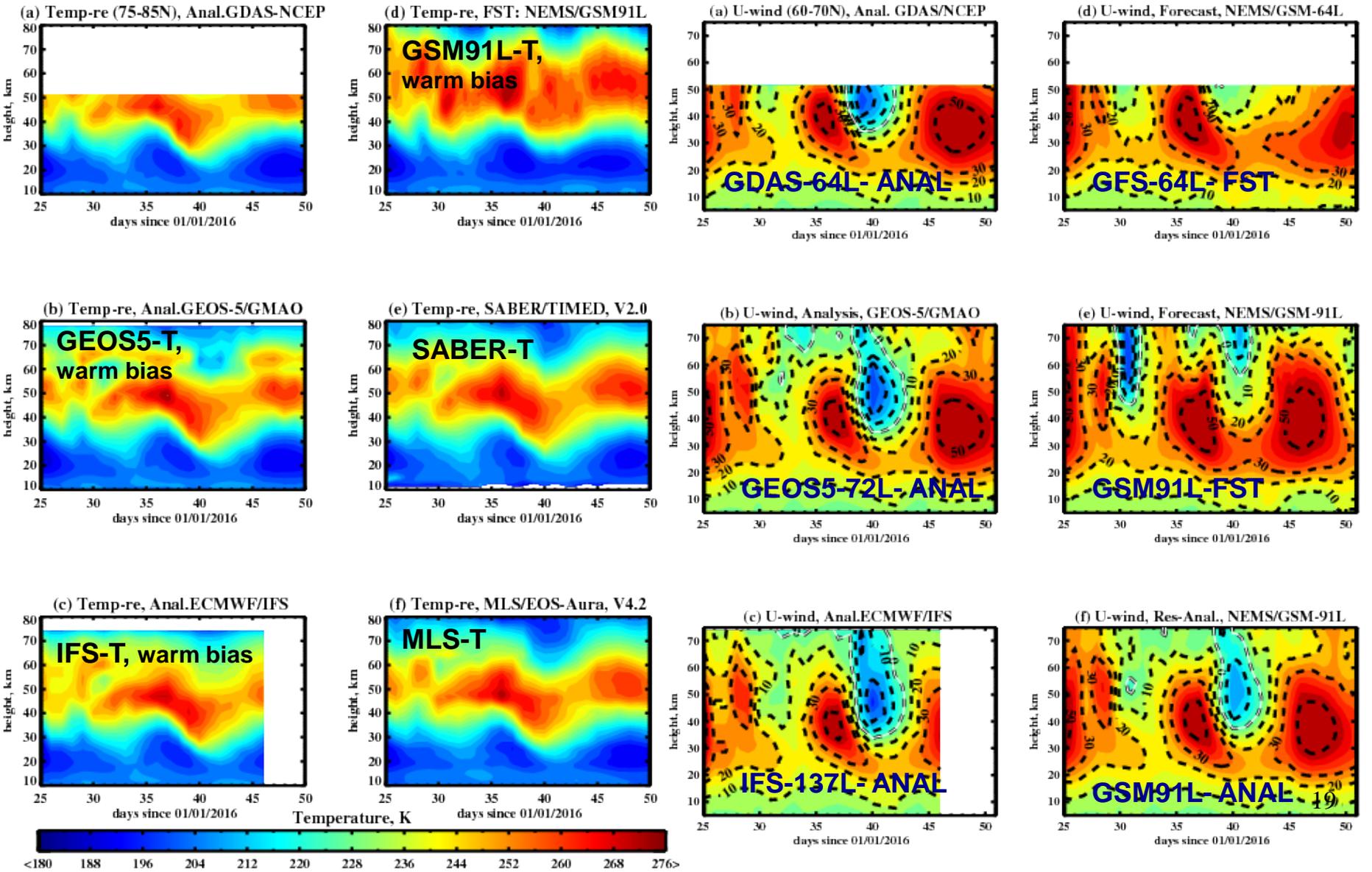
*Thomas Auligne (JCSDA) and PI coordinated consultation between GMAO of NASA/GSFC and CU-CIRES on the data analysis of the MLS O<sub>3</sub> and T data*

*PI would like to acknowledge the productive consultations with Shian-Jiann Lin and Lucas Harris, on the FV3GFS-128L development during his GFDL visit in Sep 2017.*

**Thank You**

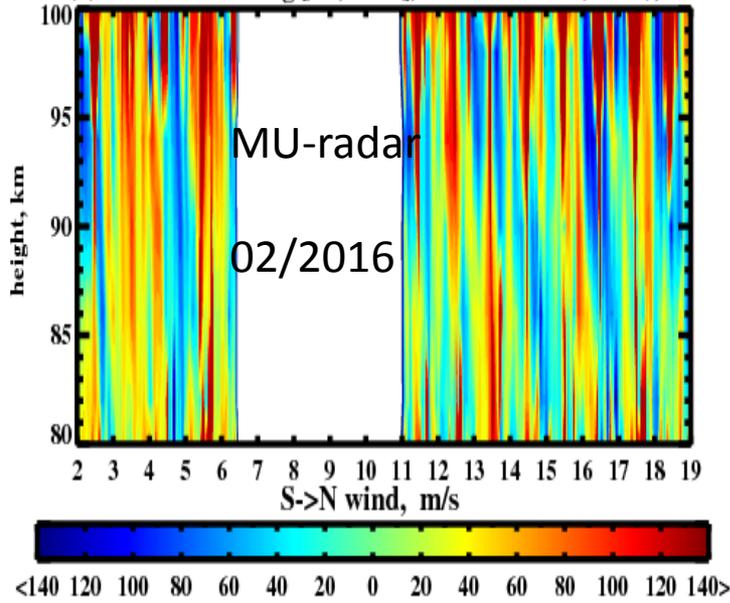
# Arctic Polar Temperature & Winds: Analyses vs Forecasts

## Jan-Feb 2016 (Analyses: GDAS-64L, GEOS-5, ECMWF, and GDAS-91L with MLS & SABER data; FST->GSM-91L with NGWs; GSM-64L)

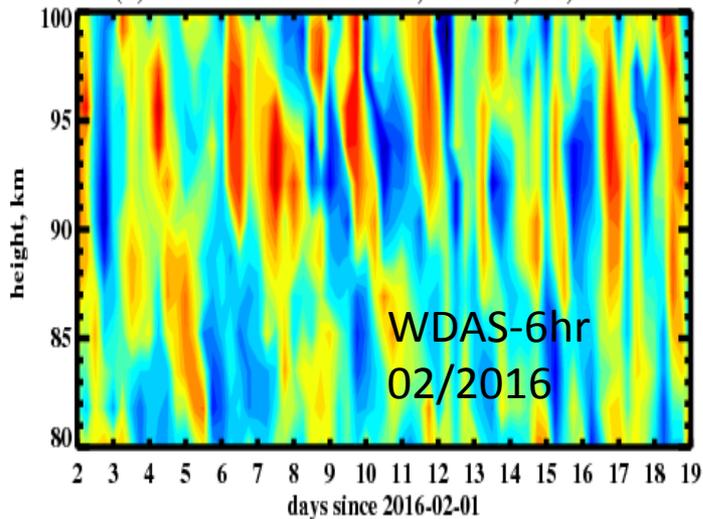


# MLT Wind Verifications by independent wind data: Radars & TIDI

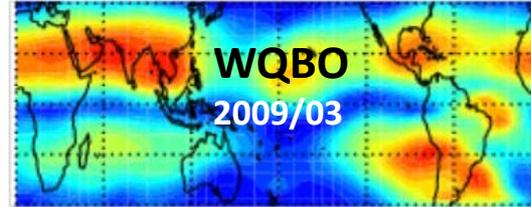
(a) Feb: Kototobang [0S,100E], radar V-wind (0.5hr), m/s



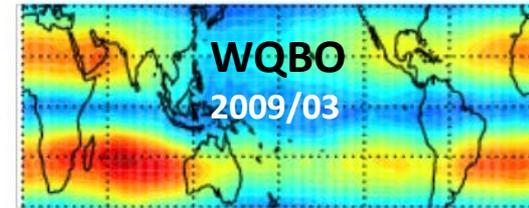
(b) Feb 2016: WDAS-MLT, V-wind, m/s, 6hr-res



2009, TIDI, V-24hr at 95 km

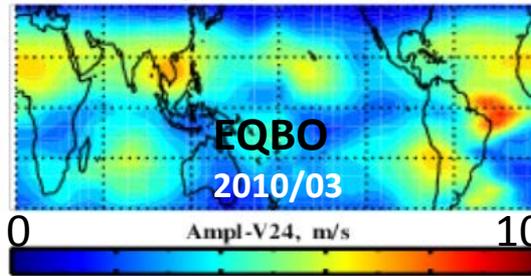


2009, WAM, V-24hr at 95 km

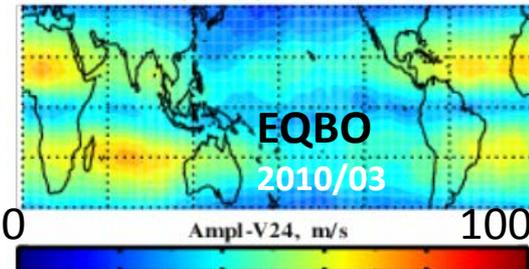


**TIDI 24-hr V- Ampl. March 95 km**    **WAM 24-hr V-Ampl.**

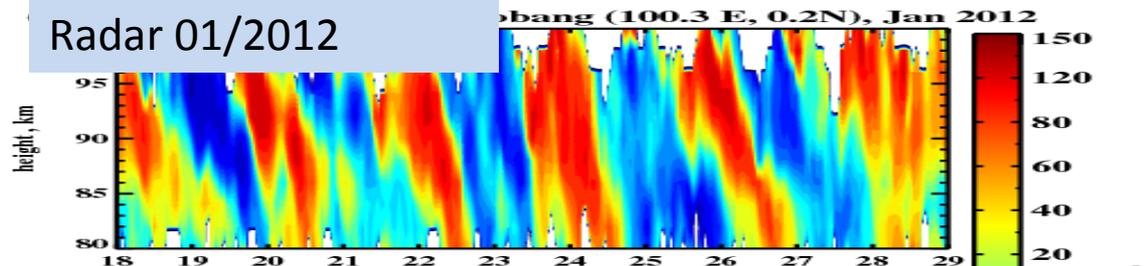
2010, TIDI, V-24hr at 95 km



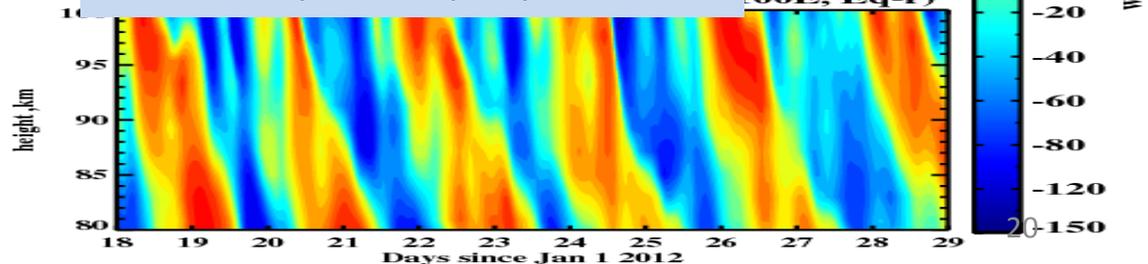
2010, WAM, V-24hr at 95 km



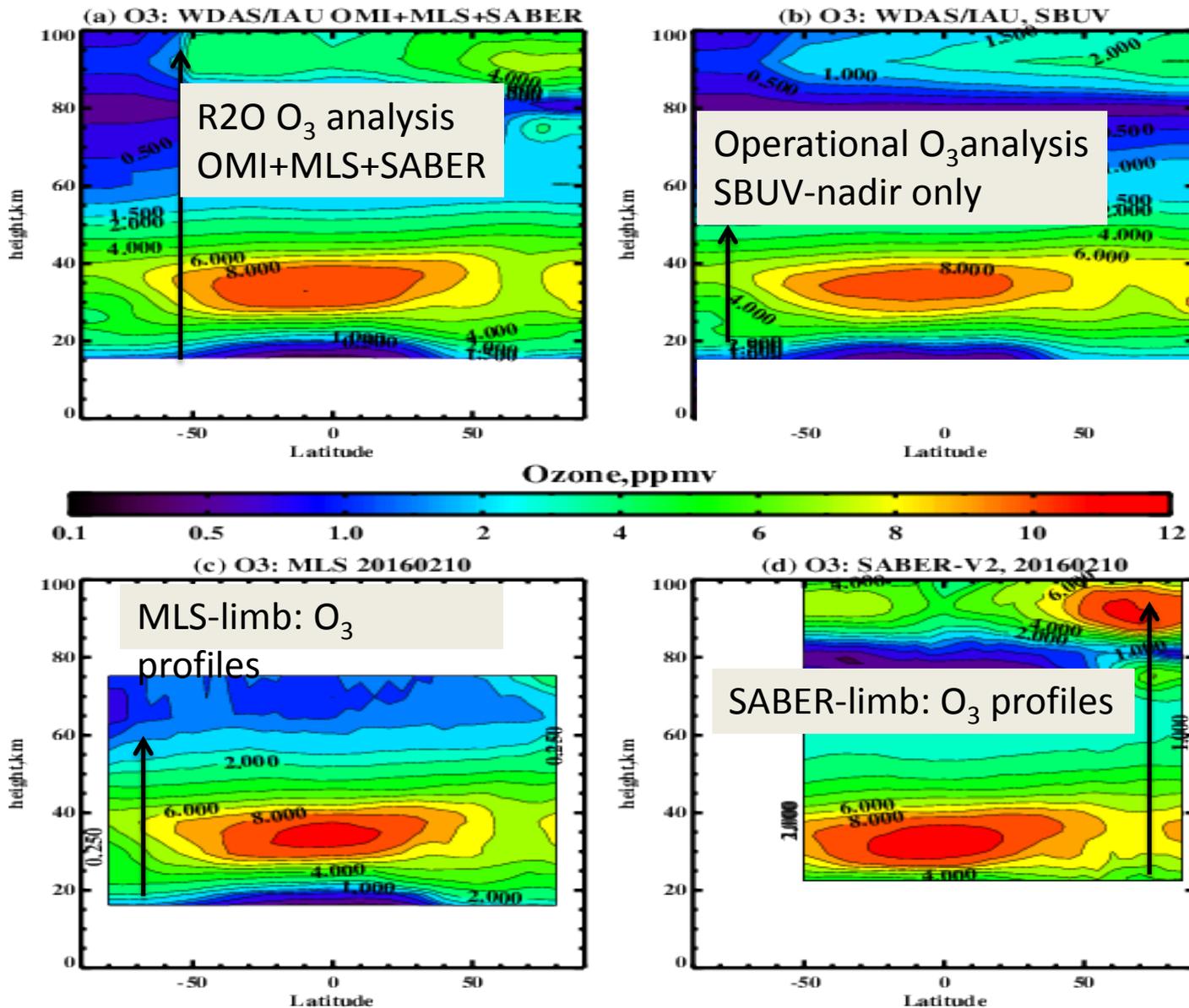
Radar 01/2012



WACCM-X/GEOS5, 01/2012

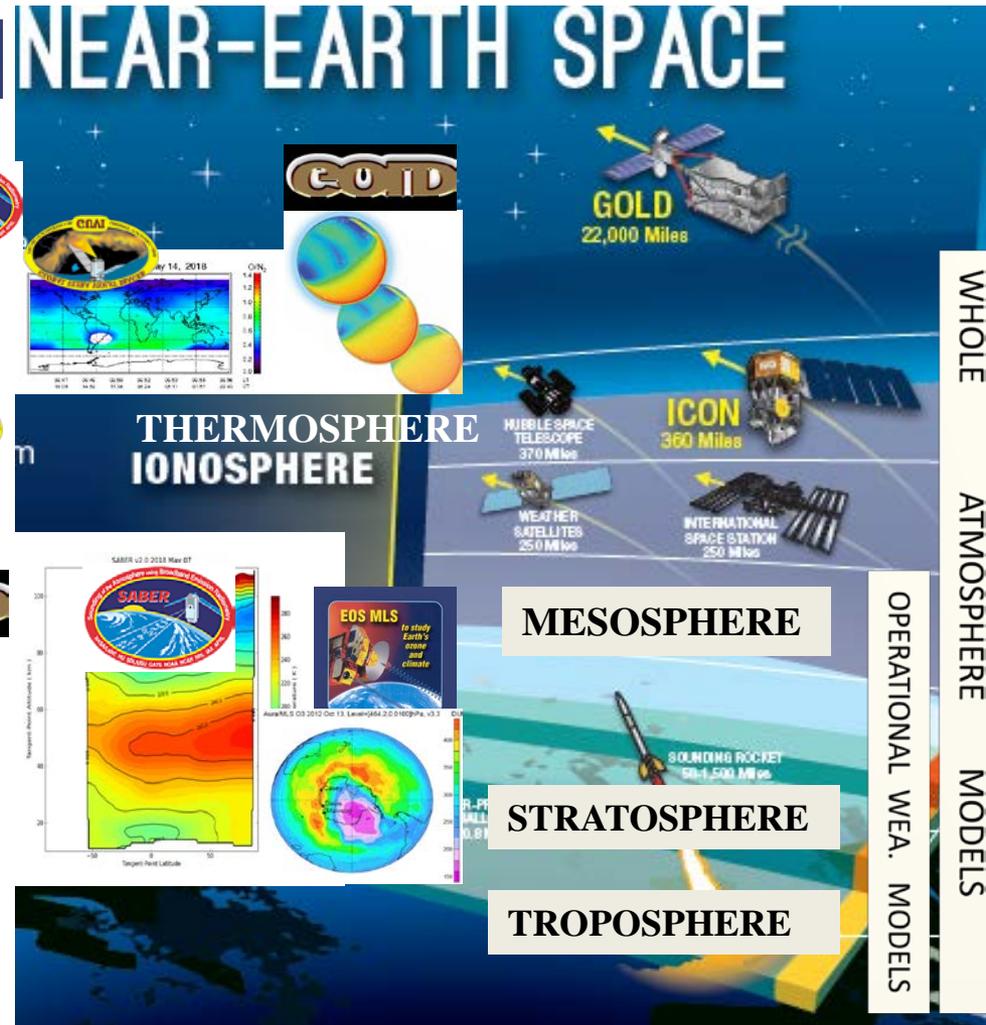


# 2016-02-10: Ozone DA in WAM (OMI+MLS+SABER) vs WDAS-SBUV



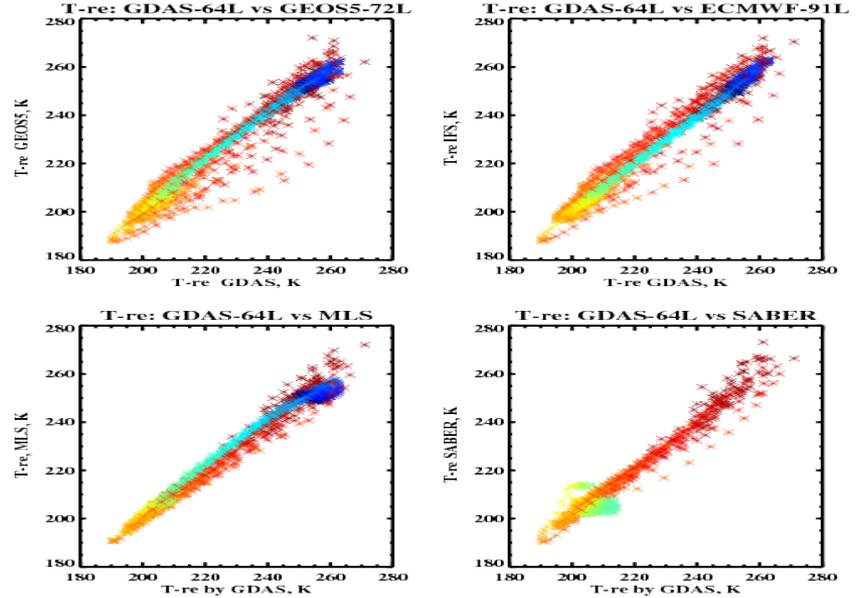
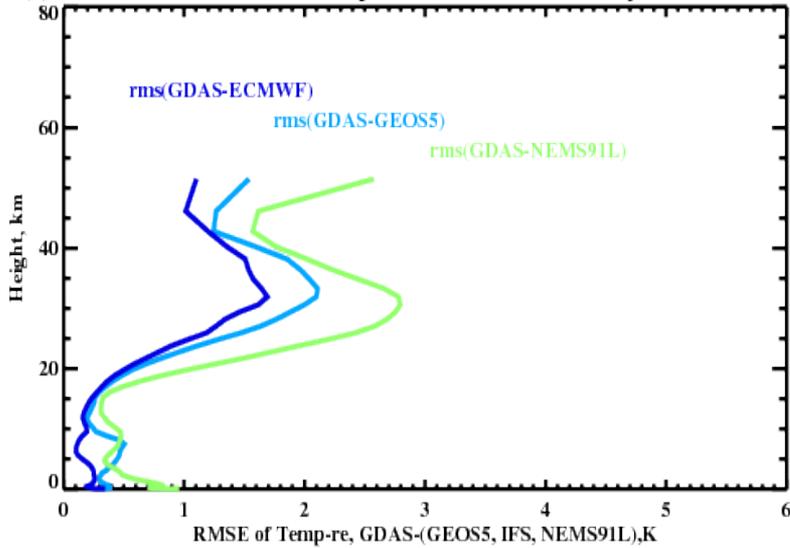
# Towards the Near-Real Time Data Analysis with MLS, SABER, GUVI and GOLD Temperatures and Composition

- New MLS v4.2 NRT Data (T, O<sub>3</sub>, 3hr latency; supported by GEOS-5 DAS) 
- SABER v2.0 Data (T, O<sub>3</sub>, ~7-10day latency now; possible consideration) 
- GUVI v13 Data (O/N<sub>2</sub> ~3-10day latency now; possible consideration) 
- GOLD Western Disk Data (T, O/N<sub>2</sub>; NRT-delivery is under consid; Launch: Jan 25, 2018) 
- **Goal of NASA's GOLD-ICON Explorers:** *What is the global-scale response of the ITM to Space weather above and Terrestrial weather below?*
- **To achieve it:** Missions needs Integrated Space-Terr. Weather Analysis-Forecast System Support + combined support from TIMED and MLS-Aura sensors.



# Simple Verifications at VG of GDAS & GEOS-5

(a) 2016 01/15-02/10: Polar Temp-re RMSE between Analyses and Forecasts



(b) 01/15-02/10: Temp-re RMSE between Analyses, Forecast & MA data

