



AMS Town Hall: Jan 27 2022



# NOAA Unified Forecast System (UFS) Research to Operation (R2O) Project

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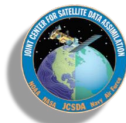
**Jim Kinter<sup>1</sup>, Vijay Tallapragada<sup>2</sup>, Jeff Whitaker<sup>3</sup>**

<sup>1</sup>George Mason University, <sup>2</sup>NWS/NCEP/EMC, <sup>3</sup>OAR/ESRL/PSL

UFS R2O Project Leads



EMC, PSL, GSL,  
CSL, NSSL, ARL,  
GFDL, AOML,  
NESDIS





# Agenda



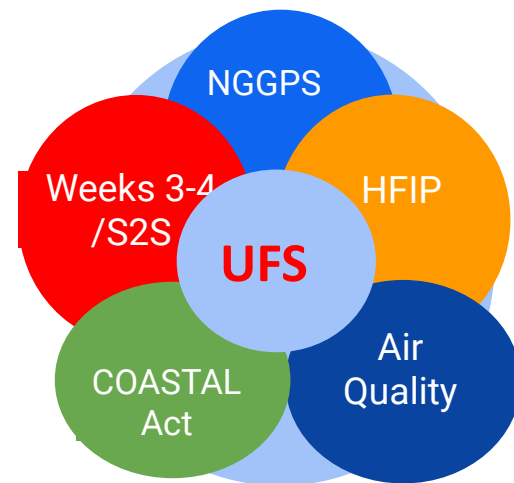
- **Opening Remarks from OAR - Dr. Dorothy Koch**
- **Opening Remarks from NWS - Dr. Steve Smith**
- **Programmatic Overview - Dr. James Sims**
- **Technical Overview - Dr. Jim Kinter**
- **Engaging with the UFS R20 Project - Dr. James Sims**
- **NOFO opportunities to collaborate with UFS - Dr. Yan Xue**
- **Q&A Session**



# NWS/OSTI-Modeling Programs



- **Next Generation Global Prediction System (NGGPS)**  
POCs: James Sims, Farida Adimi
- **Weeks 3-4/ Subseasonal to Seasonal Prediction (Weeks 3-4/S2S)**  
POCs: Yan Xue, Deepthi Achuthavarier
- **Hurricane Forecast Improvement Program (HFIP)**  
POCs: Youngsun Jung, Sikchya Upadhyay
- **National Air Quality Forecast Capability (NAQFC)**  
POCs: Youngsun Jung, Jose Tirado-Delgado
- **Consumer Option for an Alternative System to Allocate Losses (COASTAL) Act**  
POCs: James Sims, Stacy Mackell



The **Unified Forecast System (UFS)** was established in 2014 as part of the NWS/NGGPS Program, to unify forecast “application” systems from weather to climate.



# Unified Forecast System (UFS) UFS R20

A community-based, coupled Earth modeling system, to support the Weather Enterprise and to serve as the source for NOAA's operational applications.

- First established as part NOAA/NWS/NGGPS program in 2014
- Unify forecast “application” codes and infrastructure, using open, community codes

## Engagement opportunities

- Model releases
- Model analysis opportunities
- Annual user tutorials and workshops

## Organization

- Steering Committee
- Application Teams
- Working Groups

<https://ufscommunity.org>

## UFS Strategic Plan (2021-25); Science Goals:

- Reduce near-surface biases
- Incorporate new data types targeting specific Forecast Skill Priorities
- Test and implement a coupled component capability
- Increase physical consistency of physics and dynamics
- Establish ensemble-based methods to describe uncertainty
- Develop FV3-based Whole Atmosphere Model (for Space Weather application)

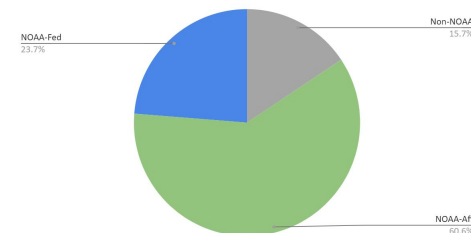


# UFS-R20 Project History



- **Summer 2019:**
  - EPIC community meeting, need to better organize the UFS community to prepare
- **Fall 2019:**
  - NWS and OAR program managers agree to coordinate and commit resources
  - Program office invited 3-pager ideas from UFS community (approx 60 submitted, \$50M/y)
- **Winter 2019-2020:**
  - Proposal invited (2-year project)
  - Project team and [proposal](#) assembled
- **March 12-13, 2020:** Face-to-face peer-review
- **April-May 2020:** Funding finalized
  - **\$13M/yr: NWS-OSTI \$10M and OAR-EPIC & JTTI \$3M**
- **July 2020:** Project launch, Kick-off meeting (July 9-10), **200+ attended**
- **October 2020:** First Quarterly Program Review
- **July 2021:** Year 2 kick off
- **July 2021: First Annual Meeting**
- **December 2021: Year 3 extension review**

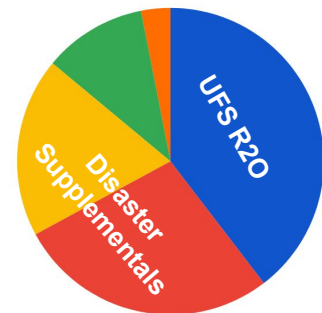
Participation to the UFS-R20 Kick-off meeting  
Total number: 219





# NOAA Investments in UFS

## NOAA Investments in UFS



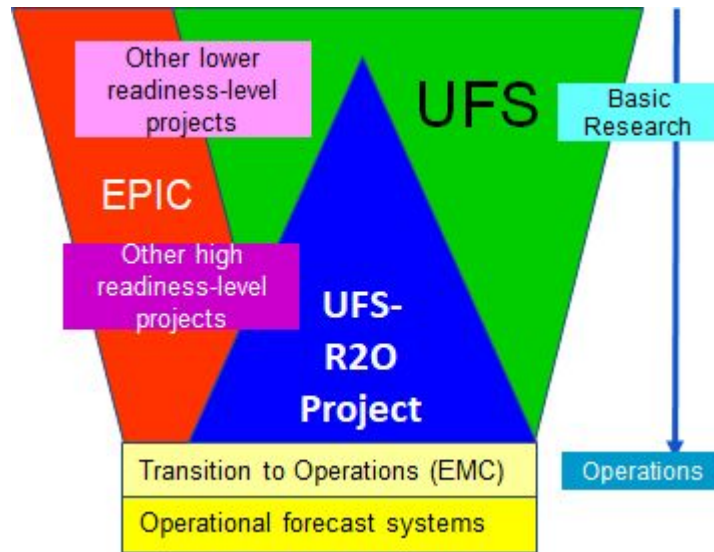
## Unified Forecast System (UFS)

- NOAA programs that support the UFS: NGGPS, Weeks 3&4, Air Quality, JTTI, EPIC, and Hurricane and Disaster Supplementals

## UFS Research to Operations (UFS R2O) Project

- Three year project (FY20-23) with 5-year vision
- Developing the next-generation global and regional forecast systems for NOAA's operations by FY24
- NOAA's largest investment in the UFS: \$13M/yr, jointly supported by NOAA Operations (NWS) and Research (OAR)
- Community team (NOAA, NCAR, JCSDA, Universities)
- Website: <https://vlab.noaa.gov/web/ufs-r2o>

● UFS R20 (STI & WPO) ● HSUP1 ● HSUP2 ● pre-EPIC ● JTTI





# OAR/WPO R20 Portfolio



## OAR/WPO Programs Supporting NOAA's Unified Forecasting System:

- **Joint Technology Transfer Initiative (JTTI) (RL 4-8)**
  - POC: [Chandra.Kondragunta@noaa.gov](mailto:Chandra.Kondragunta@noaa.gov)
  - FY22 JTTI NOFO: \$2M (Proposals due on Nov 17, 2021)
  - FY22 JTTI Internal NOFO (NOAA): \$3M (Proposals due on Nov 1, 2021)
- **Climate Testbed (RL 5-8)**
  - POC: [Jessie.Carman@noaa.gov](mailto:Jessie.Carman@noaa.gov)
  - FY22 CTB NOFO: \$1.25M (Proposals due on Nov 17, 2021)
- **Subseasonal to Seasonal Forecasting (RL 2-4)**
  - POC: [Jessie.Carman@noaa.gov](mailto:Jessie.Carman@noaa.gov)
  - FY22 S2S NOFO: \$1.75M (Proposals due on Nov 17, 2021)
- **EPIC**
  - POC: [Maoyi.Huang@noaa.gov](mailto:Maoyi.Huang@noaa.gov)



# EPIC - Earth Prediction Innovation Center

*Partnering with the community for the benefit of the nation*

**Vision:** Enable the most accurate and reliable operational numerical forecast model in the world.

**Mission:** To be the *catalyst* for community research and modeling system advances that continually inform and accelerate advances in our nation's operational forecast modeling systems.

Contact: [maoyi.huang@noaa.gov](mailto:maoyi.huang@noaa.gov)

## What EPIC is...

- A virtual community model development environment
- Management of cloud- ready code
- Community access to NOAA observations, data & tools
- Community support & engagement
- Clear research & model transition to operations priorities
- Expected expansion to other additional model components
- EPIC: focus on the Unified Forecast System (UFS)

## Community Engagement



## Cloud Use







# UFS R20 Project Goals



**Global Coupled Medium-Range Weather (MRW)/Subseasonal-to-Seasonal (S2S) Applications:**  
*Global Forecast System (GFS v17) and Global Ensemble Forecast System (GEFS v13)*

**Regional Short-Range Weather (SRW)/Convection Allowing Model (CAM) Applications:**  
*Rapid-Refresh Ensemble Forecast System (RRFS v1), Three-Dimensional Real-Time Mesoscale Analysis (3DRTMA), and Hurricane Analysis and Forecast System (HAFS v1)*

- Data Assimilation (DA):
  - Coupled: Allow observations of one component (e.g. atmosphere) to update all components.
  - Community JEDI for initialization of all forecast systems
  - Advanced ensemble, hybrid and 4D-Var algorithms, enhanced use of satellite radiances.
- Physics: Next-gen moist physics suite unified from convective-allowing to global
- Atmospheric Composition: high-resolution inline air quality prediction and direct aerosol feedback
- Hurricane Analysis & Forecast System (HAFS) with multiple moving nests



# UFS-R20 Priorities



## MRW/S2S Priorities

- **Reduce near-surface biases**
- Improve representation of tropical and stratospheric variability, including **Madden Julian Oscillation (MJO)** and **quasi-biennial oscillation (QBO)**
- Implement a **coupled** ensemble prediction system, including **reanalysis** and **reforecast** capabilities.
- Improve quantification of **model uncertainty**
- **Advance initialization** through improved use of observations and advances in data assimilation algorithms.

## SRW/CAM Priorities

- Implement a **Three-Dimensional Real-Time Mesoscale Analysis**, updated every 15 minutes, for real-time nowcasting/situational awareness
- Develop a unified **Rapid-Refresh Ensemble Forecast System** for regional CAM scales to simplify the operational product suite including **Community Multiscale Air Quality (CMAQ)**

## Hurricane Priorities

- Establish **Hurricane Analysis and Forecast System (HAFS)**
- Develop **Initial Operational Capability (IOC)** for the **2023 hurricane season**
- Finalize two configurations for implementation to replace operational HWRF and HMON



# “Leveraged” NOAA Funded Projects UFS R20

- **NWS/OSTI Notice of Funding Opportunity (NOFO) Projects**
  - **NGGPS, Weeks 3-4/S2S, HFIP (applications due Feb 7, 2022)**
- **OAR/WPO NOFO Projects**
  - **JTTI, CTB, S2S (applications closed Nov 17, 2021)**
- **Hurricane Supplemental Projects**
  - **FY18/IFAA:** Physics, Hurricane modeling, SAR & FV3-CAM, Infrastructure, DA/JEDI, and observation processing
  - **FY19/DSAP:** Hurricane modeling, RRFS on cloud, wildfire smoke, and satellite products for fire and smoke
  - **FY22: Flood and Precipitation, Wildfire, and Hurricane**



# Future Plans



- **Community and cloud, expand partnerships for:**
  - Development & simulation
  - Model evaluation
- **Strengthen forecaster engagement:**
  - Model developments driven by forecaster needs
  - Partner on code retirement plans and process
- **Phase 2 (2023-2025?):**
  - Broaden NOAA engagement
  - Include new forecast applications
- **Strengthen interagency partnerships**

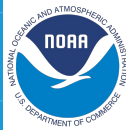


# UFS-R20 Technical Overview

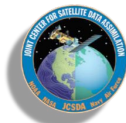
<https://vlab.noaa.gov/web/ufs-r20>

## Project Leads

**Jim Kinter, Vijay Tallapragada, Jeff Whitaker**

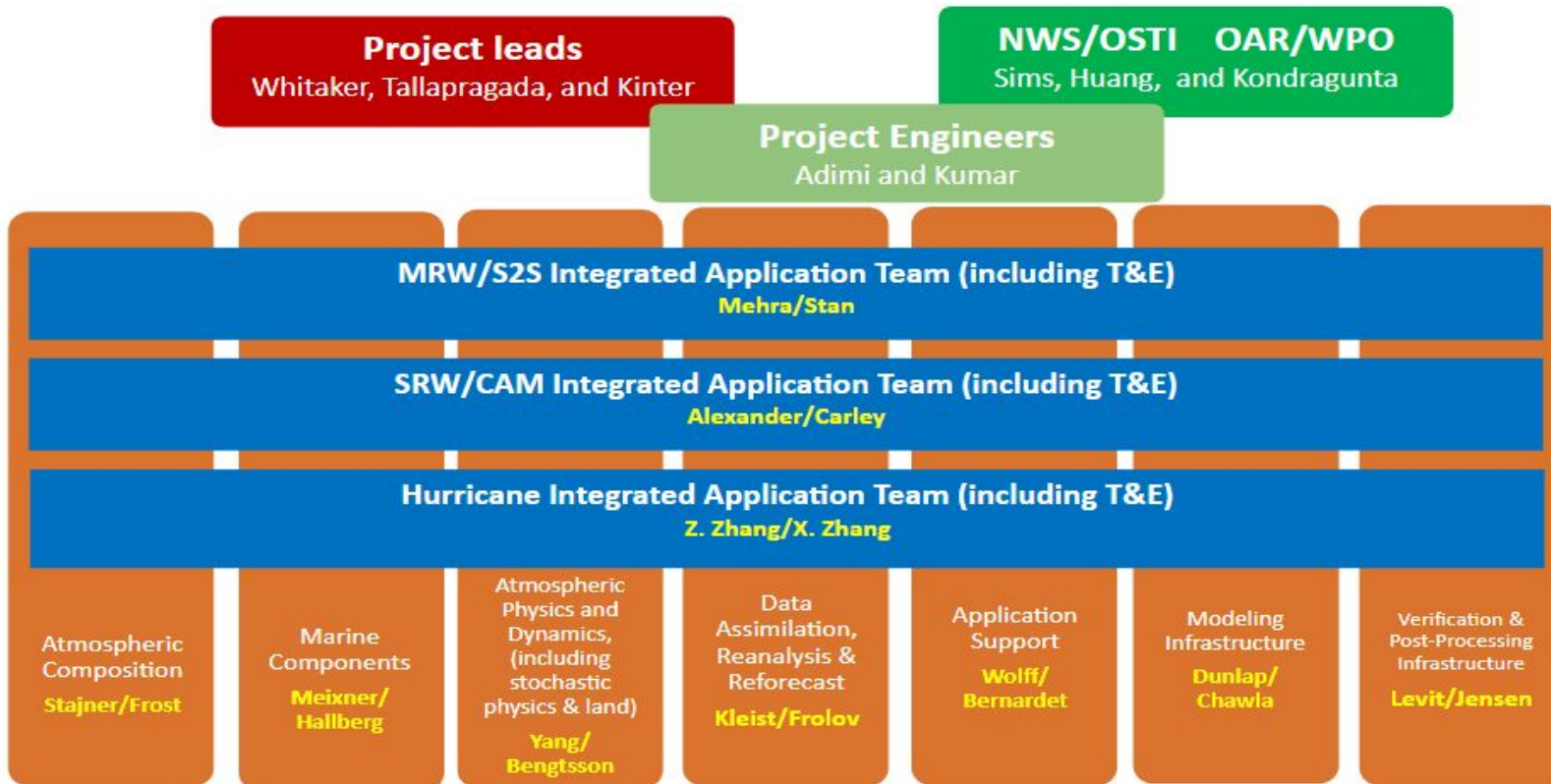


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GFDL, AOML,  
NESDIS



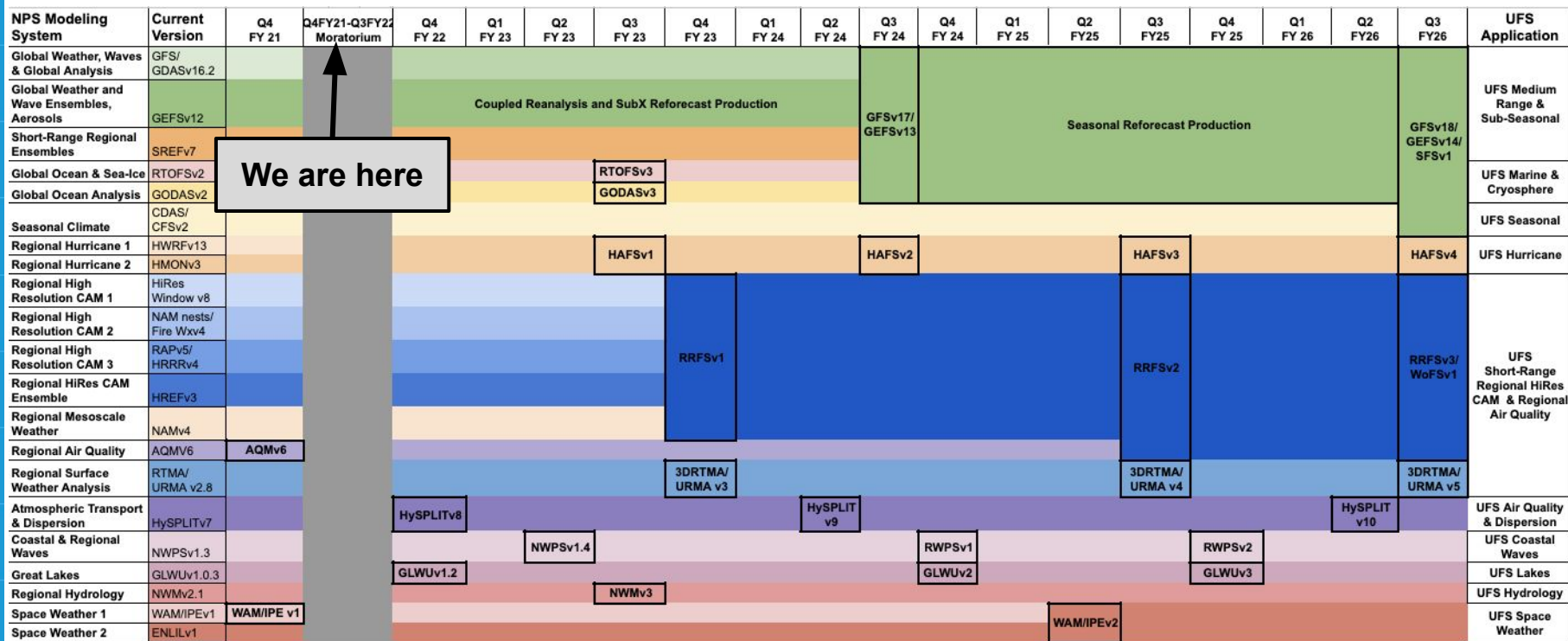


# New Project Structure





# Notional Timelines for Operational Implementation



**We are here**



- **Evidence-driven development motivated by forecast (stakeholder) priorities and guided by scientific requirements**
- **Coordinated development** of shared modelling and data assimilation infrastructure and algorithms (across Earth prediction enterprise)
- **Open source**, community accessible code with agile development
- **Prototyping and testing** (unit, regression, and scientific tests)
- **Continuous evaluation** of results





## MRW/S2S -- Medium-Range Weather / Seasonal to Subseasonal

**To create more accurate forecast guidance using applications that span the global domain and time scales from about one week to about two years.**

*Three Applications with Global, Coupled Models:*

- **Global Forecast System (GFS)**: deterministic (v17: 13-km grid) medium-range forecast guidance for up to 2 weeks lead-time
- **Global Ensemble Forecast System (GEFS)**: probabilistic (v13: 25-km) sub-seasonal forecast guidance up to 4 weeks
- **Seasonal Forecast System (SFS)**: probabilistic (v1: 25-km) seasonal forecast guidance for 4 weeks to 2 years

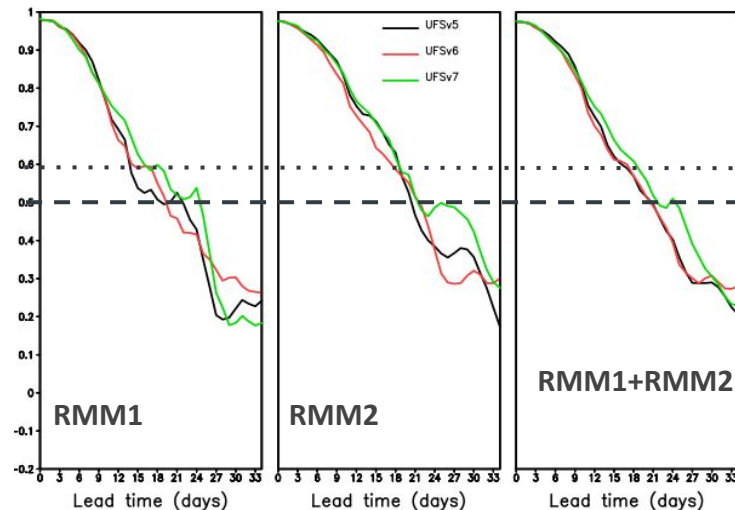


# MRW/S2S Integrated Application - Major Accomplishments



- Global coupled system (Atm-Ocean-Ice-Wave) **prototype-7 completed** with candidate GFSv17 physics (including Noah-MP land surface model).
  - Final prototype-8 expected in Q2FY22 (**GFS/MOM6/CICE6/WW3/Noah-MP/GOCART**)
- Prototype forecasts have **skill at target lead-times comparable to or superior to existing operational levels**
- Considerable **improvement in weeks 3-4 of MJO** and basket of standard metrics
- **40-year 1 deg marine reanalysis** using JEDI Sea-ice Ocean and Coupled Analysis (SOCA, <https://www.jcsda.org/soca>)

AC: All MJO cases



**Better MJO AC in P7: approx 1-2 more days of high AC**



# Focus Areas: Gaps and Challenges - MRW/S2S



## Data Assimilation

- 30-year reanalysis by 'replaying' to ERA5/ORAS5; Land surface DA; Utilize all-sky/all-surface radiances

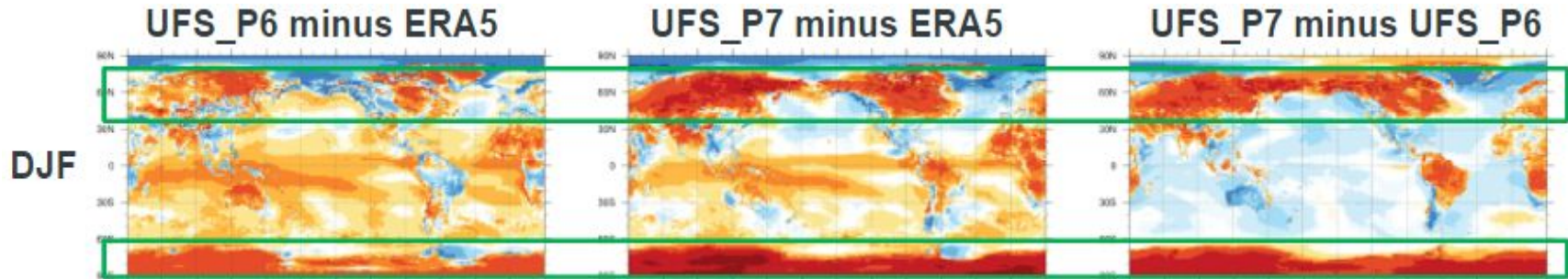
## Testing and Evaluation

- Unified, portable, extensible workflow system, including verification and validation with METplus; Process-based analysis to attribute sources of bias and RMS error

## Model Development

- Land surface modeling; Aerosol-radiation feedback

**Communication among developers and evaluators is essential**



**In P7, compared to P6, Over ocean: reduction of warm bias, Over land: increase of warm bias**



## SRW/CAM -- Short-Range Weather / Convection Allowing Modeling

**To create more accurate high-resolution forecast guidance using applications that span the regional domains (CONUS and OCONUS) and time scales from about nowcasting to about three days.**

### *SRW/CAM encompasses three applications*

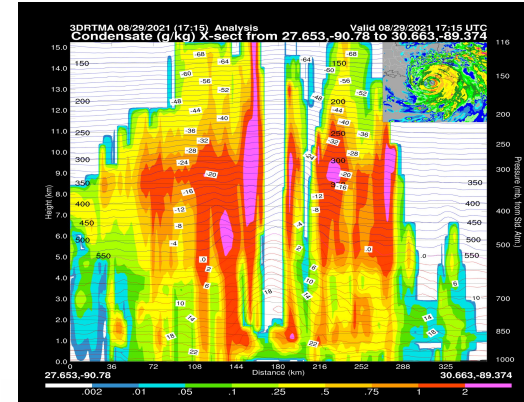
- **3-Dimensional Real Time Mesoscale Analysis (3DRTMA)**
  - 15-minute 2.5-to 1.25 km analysis system
- **Rapid Refresh Forecast System (RRFS)**
  - Based on the FV3-Limited Area Model (LAM)\*, Rapidly updated, Convection-allowing (~3 km), Hybrid EnVar assimilation (~ 36 mem), Ensemble forecasts (~9 mem), Stochastic and multiphysics suite, 18h+ hourly, 60h every 6 hours
- **Warn on Forecast System (WoFS)**
  - 18 member forecasts provide probabilistic output; 6-hr fcsts every 30 min (available@T+30 min); Will nest **inside** the RRFS ensemble



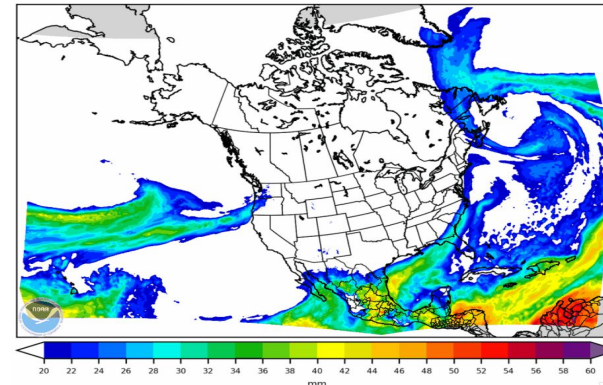
# SRW/CAM Integrated Application - Major Accomplishments



- Established 3DRTMA and 3DURMA including support for OCONUS
- FV3-LAM implemented in operations on 5/11/21 (replaces NMMB member in HREFv3).
- Established RRFS model and ensemble configuration
- Multi-physics ensemble tested on cloud HPC



Analyzed 3D Cross-section of Hurricane Ida from 3DRTMA



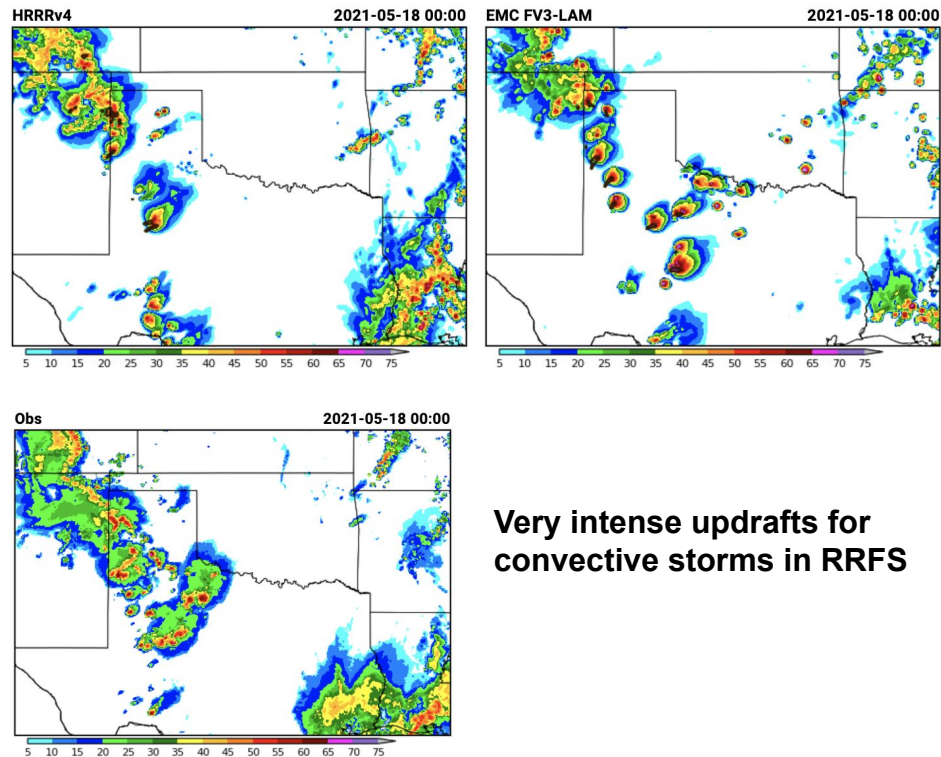
Real-time demonstration of RRFS



# Focus Areas: Gaps and Challenges - SRW/CAM



- **3DRTMA:**
  - *AI/ML, improvement of the 3DRTMA background*
- **RRFS:**
  - *Convective-scale performance issues with storm structure and extreme precipitation rates*
- **WoFS:**
  - *Change model core from WRF-ARW to FV3 LAM and retune*



**Very intense updrafts for convective storms in RRFS**



## HAFS -- Hurricane Analysis and Forecast System

**To create more accurate high-resolution forecast guidance for tropical cyclones across the globe.**

### *Hurricane Integrated Application Team goals:*

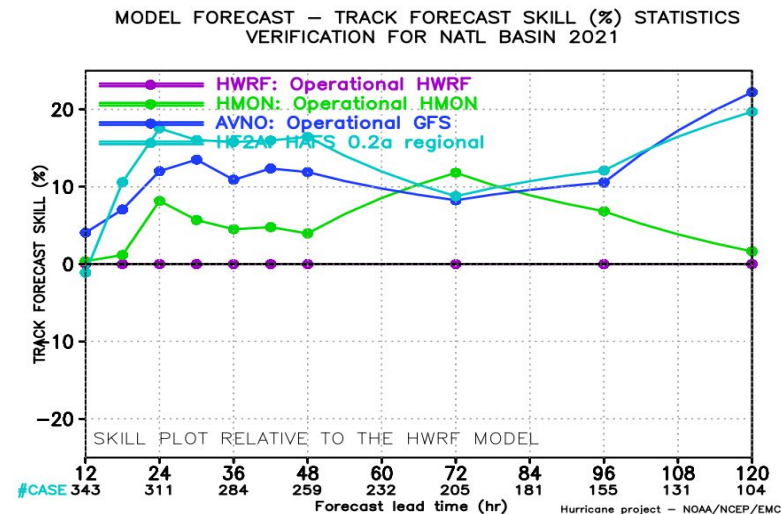
- Develop Hurricane Analysis and Forecast System (HAFS) based on UFS
- Finalize two configurations for implementation to replace operational HWRF and HMON in FY23



# Hurricane Integrated Application - Major Accomplishments



- First version of moving nest in global and regional framework
- Self-cycled hybrid 3D Ensemble Variational Data Assimilation system
- Surface and planetary boundary layer schemes for tropical cyclone forecasts
- Two-way HYCOM ocean model coupling and One-way WaveWatch-3 coupling using Community Modeling of Environmental Prediction System (CMEPS) software
- Several Real-time demo configurations of Hurricane Analysis and Forecast System (HAFS) executed



**Track forecast guidance from HAFS-A is more skillful than operational hurricane forecast systems, HWRf/HMON/GFS, i.e. improves 10-20% superior to that from HWRf**



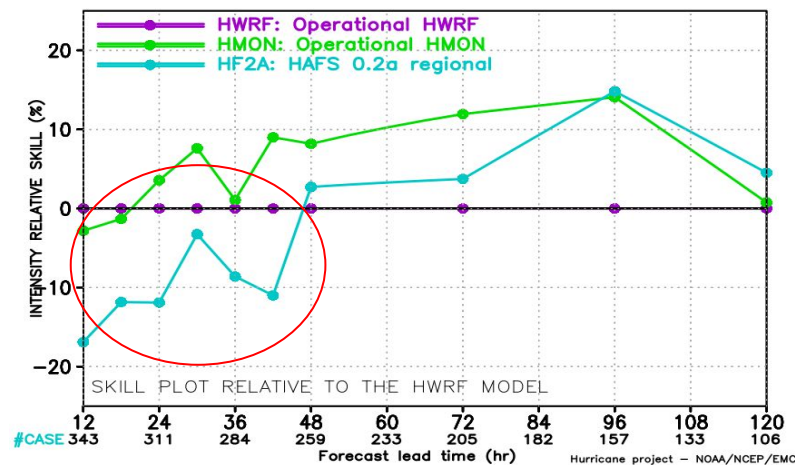


# Focus Areas: Gaps and Challenges - Hurricane



- Scale-aware physics at different grid resolutions
- Vortex initialization and multi-scale DA
- Improve moving nest: flexible refinement, multiple storms, scalability, and feedback schemes
- Coupled Ocean-Wave-Atmosphere (two-way) interactions

MODEL FORECAST – INTENSITY RELATIVE SKILL (%) STATISTICS  
VERIFICATION FOR NATL BASIN 2021



**Intensity forecast guidance from HAFS-A are improved over the operational HWRf after day-2 but still lag behind at the first 48hr forecasts (need for Vortex initialization and Data Assimilation)**



# Path Forward



- **Integrate with EPIC**
  - Proposal included sections anticipating EPIC engagement
- **Community support (enhanced by EPIC)**
  - Support multiple compute-platforms and community collaborators
- **Organization**
  - Coordinate across multiple institutions using contemporary communication tools and project engineers; integrate other funded NOAA projects
- **Make model output available to the community**
  - NOAA Data Lake & NOAA Big Data Program



# Engaging with the UFS-R20 Teams

**UFS-R20 Web Site**  
<https://vlab.noaa.gov/web/ufs-r2o>





## UFS-R20 data on AWS cloud:

<https://vlab.noaa.gov/web/ufs-r20/dataproducts>

- 40-year 1 deg marine reanalysis using marine JEDI (SOCA)
- MRW/S2S prototype data (*P5, P6, P7, P8 to come soon*)
- RRFS ensemble prototype data

## UFS code releases: <https://ufscommunity.org/>

- MRW/S2S application
- SRW/CAM application
- Components: METplus, ESMF, CCpp, ...



# How to Engage with the UFS-R20 Teams

- For **specific interests** contact Application Team and Development Cross Cutting Team Leads (Slide 12)
- For **sustained collaborations** with the UFS R20 Project on the developing systems, partners are encouraged to contribute to UFS development code on Github  
<https://github.com/ufs-community/ufs-weather-model>
- For user supported and tested system, the publicly released MRW/S2S v1.1 system is best  
[\(https://ufscommunity.org/news/medrangeweatherapp\\_v1p1/\)](https://ufscommunity.org/news/medrangeweatherapp_v1p1/)



# UFS Meetings & Reports



- AMS Jan 2022
  - Special Session on the UFS R20 Project (**1B, J2B, 3B, Jan 24**)
  - UFS Community Modeling Forum (**Weds, Jan 26**)
  - EPIC Symposium (**J9, J10, J11, J12, Jan 24-26**)
  - UFS R20 Project Town Hall (**Thurs, Jan 27**)
- [UFS Webinar Series](#) *Monthly, Every Second Thursday 1pmMT/3pmET*
- [Weeks 3-4/S2S Webinar](#) *Monthly, Every First Monday 4pmMT/2pmET*
- Developmental Testbed Center (DTC) UFS Evaluation Metrics Workshop Report ([Final Metrics Lists](#)) [METplus Training Series](#) (Nov 29 2021 - May 1 2022)
- [Forecasters Workshops Report](#)
- Land Modeling Workshop Report (to release soon)



# NOFO Opportunities to Collaborate with UFS



**NWS/OSTI NOFO Projects (NGGPS, Weeks 3-4/S2S, HFIP):**  
**FY22 Applications due on Feb 7, 2022**

*<https://www.weather.gov/sti/stimodeling>*

**OAR/WPO NOFO Projects (JTTI, CTB, S2S):**  
**FY22 Applications Closed on Nov 17, 2021**

*<https://wpo.noaa.gov/NOFO>*



# FY22 NWS/OSTI NOFO

(NOAA-NWS-NWSPO-2022-2007072 on grants.gov)



**Eligible Applicants:** US institutions of higher education , industry, non-profit, state, local, Indian government

**Three Competitions:** 1) NGGPS; 2) Weeks 3-4/S2S; and 3) HFIP

**Total Amounts:** Approximately \$3,000,000 for the first year of multi-year (2 or 3 year) projects; Maximum \$300K per project

**Point of Contact (POC) & Readiness Level (RL):**  
*POC from NCEP centers or UFS R20 teams*  
*RL from 4 to 7 with target operational systems*

**Timeline:**  
Application due: **Feb 7, 2022**  
Project start date: Sep 1, 2022

**NGGPS:** Advance the **UFS Medium-Range Weather Application**, coupling among atmosphere, ocean, wave, ice, land and atmospheric composition, data assimilation and ensemble techniques, post-processing forecast tools

**Weeks 3-4/S2S:** Advance the **UFS Subseasonal (GEFS) and Seasonal (SFS) Applications**, coupled data assimilation, reanalysis, process-level diagnostic tools and validation/verification metrics

**HFIP:** Advance the **UFS Hurricane Application**, improve operational hurricane forecasts to meet societal requirements to save lives and mitigate loss of economic disruption

**Contact:** [nws.sti.modeling.team@noaa.gov](mailto:nws.sti.modeling.team@noaa.gov)





# Season Forecast System (SFS v1) UFS R20

- SFSv1 will replace the Climate Forecast System version 2 (CFSv2) to provide guidance for seasonal outlooks in FY26
- Improve representation of **slowly varying processes** in the **land, ocean, sea ice and aerosols** and their interactions with atmosphere
- Improve representation of **atmosphere-ocean-ice coupling, atmosphere-land coupling, stratosphere-troposphere coupling**, and simulation of climate modes such as **ENSO, MJO and QBO**
- Improve **ensemble design to best estimate uncertainties**, and **conduct multi-decade, coupled reanalysis and reforecasts to calibrate real-time forecasts**



# Questions?



For more information:

- **UFS-R20 Project:** <https://vlab.noaa.gov/web/ufs-r20>
  - James Sims (NWS OSTI) [jamese.sims@noaa.gov](mailto:jamese.sims@noaa.gov)
  - Vijay Tallapragada (NWS EMC) [vijay.tallapragada@noaa.gov](mailto:vijay.tallapragada@noaa.gov)
- **UFS:** <https://ufscommunity.org/>
  - Hendrik Tolman (NWS OSTI) [hendrik.tolman@noaa.gov](mailto:hendrik.tolman@noaa.gov)
  - Ricky Rood (U-Michigan) [rbrood@umich.edu](mailto:rbrood@umich.edu)
- **EPIC:**
  - Maoyi Huang (OAR WPO) [maoyi.huang@noaa.gov](mailto:maoyi.huang@noaa.gov)
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